# 2016 VERIZON INDYCAR SERIES



# OFFICIAL RULE BOOK

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# 2016 VERIZON INDYCAR SERIES RULE BOOK

# PREFACE

The Rules apply to the 2016 Verizon IndyCar Series. Each Member has been provided with or has been given access to a copy of the Rules, is deemed to have a full awareness and understanding of the Rules, and has agreed to abide by and be personally responsible for compliance with the Rules.

Further information may be obtained by contacting the INDYCAR offices at 4551 West 16th Street, Indianapolis, IN 46222, Telephone (317) 492-6526.

# 1. GENERAL

## 1.1. Governance

## 1.1.1. General

- **1.1.1.1.** The Rules govern the 2016 IndyCar Series and supersede all previous Rules, bulletins and supplementary Rules and regulations, unless otherwise indicated. Capitalized terms used in the Rules have the meanings set forth in Chapter 18, Glossary.
- **1.1.1.2.** Each Member is personally responsible for the Member's compliance with the Rules.
- **1.1.1.3.** INDYCAR may penalize any Member and/or exclude any Member or equipment from any Event if INDYCAR deems any act, any omission, any condition to be hazardous and/or not meeting the specifications, requirements, spirit, illustrations, and/or intent of, the Rules. Acts, omissions or conditions that may warrant penalty and/or exclusion include without limitation any action which INDYCAR deems to be a threat to or a violation of the integrity or safety of INDYCAR, the IndyCar Series and/or orderly conduct of the Event, and/or which relates to the design or construction of a Car or repairs to a damaged Car.
- **1.1.1.4.** If the Rules do not expressly permit a variation, then the Member shall presume that such variation is not permitted. If a Member is uncertain if an act, part, etc. violates a Rule, the burden is on the Member to receive pre-approval in writing from INDYCAR. For this purpose, e-mails are not written approval unless otherwise expressly permitted and specified by INDYCAR by bulletin. The Member assumes the

risk of noncompliance. It is not necessary for a competitive advantage to be gained to constitute a violation of these Rules.

#### 1.1.2. INDYCAR Authority

- **1.1.2.1.** The Rules shall be applied, constructed, and interpreted by INDYCAR, and INDYCAR's application, construction, and interpretation shall be final and binding.
- **1.1.2.2.** INDYCAR reserves the right to revise the Rules at any time, including, without limitation, prior to or during an Event. Notices, bulletins, supplementary Rules, regulations, and penalties are effective on the date and time issued, regardless of the date and time when a Member receives actual notice.
- 1.1.2.3. INDYCAR shall have the following rights: (i) to authorize and supervise Events of any kind: (ii) to create, interpret, revise and enforce Rules at any time: (iii) to render decisions concerning the Rules and Events; (iv) to grant, approve, refuse, enforce or withdraw Licenses, memberships, and sanctions; (v) to assign and cancel dates for Events; (vi) to appoint and rescind the appointment of Officials: (vii) to impose, enforce and/or remove penalties for any violation of the Rules: (ix) to establish, interpret and enforce standards of eligibility for participation in Events; (x) to establish Rules for its own procedure; and (xi) to do any and all things which, in its judgment, are consistent with the enhancement, safety and/or integrity of the Events. INDYCAR also reserves the right to take whatever action and/or impose whatever penalty it deems necessary to maintain the integrity and safety of INDYCAR, the IndyCar Series, and/or its Events.

### 1.1.3. Officiating

- 1.1.3.1. INDYCAR will select Officials.
- **1.1.3.2.** INDYCAR shall have the authority to take any actions and make any determinations it deems necessary or appropriate during, or in connection with, an Event including without limitation determinations as to whether a Rule violation has occurred, whether a penalty should be imposed, the specifications of any penalty and the enforcement of any penalty.
- 1.1.3.3. INDYCAR shall have the right to determine whether or not to publicly publish or cause to be publicly published a notice stating that INDYCAR has penalized any Member and/or rendered a judgment on a review and/or appeal. If published, the notice may include without limitation a description of the penalty or judgment and names of the affected Member(s). Having accepted the privileges and obligations of membership, the Members referenced in any such notice waive any and all rights of action against INDYCAR and/or against any individual or entity publishing such notice. INDYCAR may without limitation publicize the results referenced in such notice in the posting and awards or otherwise.
- **1.1.3.4.** INDYCAR will (i) secure necessary timing and scoring and technical equipment; (ii) and record all timing and scoring information and technical information, including the standings of an Event; (iii) control the designated timing and scoring and technical areas; (iv) provide competition information to the Competitors, the Event Promoter and the press; (v) and facilitate the award distributions. INDYCAR shall be the final authority on the content of all official postings.

- **1.1.3.5.** INDYCAR may without limitation order the completion, postponement, or cancellation of any Event or any portion thereof for any reason. INDYCAR may terminate a Race before its scheduled number of laps or allotted time and in such case determine the Race results and disposition of the awards and may terminate a Race after one or more Cars have finished. INDYCAR may increase or reduce the scheduled number of laps or allotted time, and/or may declare an Event completed, postponed, terminated or abandoned.
- **1.1.3.6.** As soon as practical after the conclusion of an Event, INDYCAR shall prepare a report giving the results of the Event and may identify reviews lodged and any penalty issued.

## 1.1.4. Acceptance of the Rules

- **1.1.4.1.** Every Member who is involved in and/or participates in any way in any Event shall be deemed to have a full awareness and understanding of and to have accepted, the Rules.
- **1.1.4.2.** Every Member agrees to follow the direction of INDYCAR with respect to the enforcement and interpretation of these Rules or be subjected to penalties for failure to comply with such directions.

### 1.1.5. Assumption of Risk, Liability Release, Acknowledgment and Indemnity

1.1.5.1. ASSUMPTION OF RISK - EVERY MEMBER AGREES TO BE BOUND BY THE RULES AND ASSUMES ALL OF THE RISK OF SUCH MEMBER'S INVOLVEMENT AND/OR PARTICIPATION IN AN EVENT. 1.1.5.2. LIABILITY RELEASE - RECOGNIZING THAT AUTOMOBILE RACING CAN BE A HAZARDOUS UNDERTAKING, MEMBERS, FOR THEMSELVES. THEIR HEIRS. EXECUTORS. REPRESENTATIVES, SUCCESSORS AND ASSIGNS, AGREE, BY THEIR MEMBERSHIP, THAT THEY RELEASE AND DISCHARGE INDYCAR GROUP FROM ANY AND ALL LIABILITY FOR DAMAGES TO PROPERTY. PERSONAL INJURY, AND/OR DEATH, IN ANY WAY RELATING TO ANY EVENT OR THE MEMBERS' INVOLVEMENT AND/OR PARTICIPATION IN THE EVENT, REGARDLESS OF HOW THE CLAIM MIGHT ARISE INCLUDING WITHOUT LIMITATION RACE OFFICIATING. RULE INTERPRETATION AND VIOLATIONS. PHYSICAL CONDITION OF THE TRACK, AND/OR EMERGENCY TREATMENT OR RESCUE.

### 1.1.5.3. ACKNOWLEDGMENT

- **1.1.5.3.1.** MEMBERS RECOGNIZE THIS RELEASE APPLIES EVEN IF INDYCAR GROUP OR ANY OF THEM ACTED NEGLIGENTLY AND UNDERSTAND THAT MEMBERS MAY BE ASKED TO ACKNOWLEDGE THIS ASSUMPTION OF RISK AND RELEASE BY OTHER AGREEMENTS THEY MIGHT SIGN AS A PREREQUISITE TO PARTICIPATE IN AN EVENT OR EVENTS.
- **1.1.5.3.2.** EACH MEMBER ACKNOWLEDGES THAT THE MEMBER HAS MADE THE MEMBER'S SPOUSE AND/OR DEPENDENTS AWARE OF THE MEMBER'S DECISION TO ASSUME AND INCUR THE RISK ASSOCIATED WITH RACING WITHOUT THE RIGHT TO PURSUE CLAIMS OF WRONGDOING.

1.1.5.4. INDEMNITY – EACH MEMBER ACKNOWLEDGES THAT MEMBER IS RESPONSIBLE FOR VIOLATION OF MEMBER'S AGREEMENTS BY MEMBER, MEMBER'S REPRESENTATIVES INCLUDING LOVED ONES AFFECTED BY MEMBER'S INVOLVEMENT AND/OR PARTICIPATION, AND MEMBER'S PARTICIPANTS AND GUESTS. THIS RESPONSIBILITY APPLIES TO ALL VIOLATIONS OF MEMBER'S AGREEMENTS WITH INDYCAR. THIS INCLUDES THE MISUSE OF CREDENTIALS AND THE FAILURE TO ACCEPT THE ASSUMPTION OF RISK, THE WAIVER AND RELEASE OF LIABILITY, THE BINDING NATURE OF THE RULES, INDYCAR'S INTERPRETATION OF THE RULES, AND THE FINALITY OF THE APPEAL PROCEDURE. MEMBER UNDERSTANDS THAT THIS RESPONSIBILITY INCLUDES THE DUTY TO INDEMNIFY AND HOLD INDYCAR GROUP HARMLESS FROM THE CONSEQUENCES AND DAMAGES ARISING FROM THE VIOLATION.

#### 1.2. Safety

- **1.2.1. Safety Policy** While INDYCAR seeks to maintain safe conditions for Competitors and other taking into account all aspects of the Event, Members recognize that conditions may not be safe and can be affected by human error. At any Event, each Member acknowledges and agrees that racing is a hazardous activity and each Member's involvement and/or participation is with expressed assumption of this risk.
  - **1.2.1.1.** While acknowledging the inherent risk of racing to Competitors and other Members involved and/or participating in an Event, Members are personally responsible for their own safety, for the safety of each Member of Member Group and for the safety of their racing

equipment. No Event Promoter, INDYCAR, or any Manufacturer, or other Member is responsible for the adequacy of any Member's racing equipment or racing activity to accomplish this purpose. No Event Promoter, INDYCAR, or any Manufacturer or other Member makes any representation, express warranty, implied warranty or covenant that safety equipment and/or personnel will prevent or reduce the Member's risk or extent of injury in any Event.

1.2.1.2. INDYCAR may take any action including canceling, postponing, temporarily stopping or delaying an Event, if INDYCAR determines that basic safety requires such action. INDYCAR may order off the Track any Member or Car that INDYCAR determines constitutes a hazard. INDYCAR may, prohibit any Member or Member's equipment from entering or continuing in an Event if INDYCAR considers the Member's acts or omissions to be negligent, reckless or hazardous or that the Member is acting without due consideration to others. Except as provided in Rule 12.2.1, none of such decisions during an Event may be reviewed and/or appealed. In the event a Driver is ordered off the Track due solely to driving performance, the Entrant may replace the Driver with a qualified Driver approved by INDYCAR and continue in the Event pursuant to Rule 5.1.2.2.2.

### 1.2.2. Security

- **1.2.2.1.** Only authorized individuals are permitted on the Track and in other restricted locations designated by INDYCAR or an Event Promoter.
- **1.2.2.2.** INDYCAR and the Event Promoter have the right to engage in such searches of individuals and property at any Race Location,

as they deem necessary or appropriate for the safety and security of the Event. If they deem necessary, INDYCAR and the Event Promoter have the right but are not required to remove any individual and/or property from the Race Location.

- **1.2.2.3.** INDYCAR may but is not required to penalize any Entrant, Associate, or other Member whose representative or equipment enters a restricted area at any Race Location prior to the scheduled opening time or remains in a restricted area at a Race Location after the scheduled closing time without prior approval from INDYCAR and the Event Promoter.
- **1.2.2.4.** Only Members possessing a valid Driver's license may operate a motorized vehicle in a restricted area during an Event. Only INDYCAR-approved vehicles are permitted in the pit area. INDYCAR and/or an Event Promoter may have additional or different requirements for a particular Event and INDYCAR may modify the foregoing Rule accordingly.
- **1.2.2.5.** Members must not operate or permit another individual to operate a motorized or non-motorized vehicle at the Race Location negligently, recklessly or without due consideration to Members, spectators or others.
- **1.2.2.6.** Smoking is not permitted on the Track, in the pit area, or in any fuel service area. INDYCAR and/or an Event Promoter may have additional or different requirements for a particular Event and INDYCAR may modify the foregoing Rule to the extent permitted or required by law.
- **1.2.2.7.** The use or possession of illegal or unauthorized weapons or substances of any sort by a Member during an Event is prohibited.

1.2.2.8. The use of drones or any other unmanned aircraft systems ("UAS") at an Event is prohibited unless approved in advance in writing by INDYCAR's President of Competition and Operations. As a condition of such approval, INDYCAR may require a Member to agree in writing with a policy statement that may include, without limitation: i) compliance with all applicable local, state and federal, rules and regulations; ii) adherence with INDYCARdesignated standards of use of the drone or UAS: iii) provision of insurance as required by INDYCAR and/or the Event promoter: and iv) completion of all applicable location releases and other agreements regarding the use of any photograph, video, footage, data, and/or other information gathered by the drone or UAS.

#### 1.2.3. Medical/Rescue Requirements and Injury Reports

- **1.2.3.1.** INDYCAR may require the Event Promoter to use, in compliance with local regulations and/or FIA requirements, medical/rescue personnel and equipment acceptable to INDYCAR to provide Members with assessment and treatment of emergency medical conditions.
- **1.2.3.2.** A Driver may be required to demonstrate ability to exit the Car to INDYCAR's satisfaction by a method as determined by INDYCAR.
- **1.2.3.3.** A Driver involved in a crash or injured in an incident must report to the medical center or as directed by INDYCAR and may neither continue in the Event nor participate in future Events without clearance from INDYCAR.
- **1.2.3.4.** Any other Member involved in a crash or injured in an incident must report to the medical center or as directed by INDYCAR before

departing the Race Location prior to the conclusion of the Event.

**1.2.4.** Biomedical Research and Device Testing Policy– All Members must comply with INDYCAR's Biomedical Research and Device Testing Policy available from the INDYCAR office.

### 1.2.5. Data Sharing Policy - All Members agree:

- **1.2.5.1.** INDYCAR owns any and all rights to exploit the Events and/or, without limiting the foregoing, the IndyCar Series, or portions thereof, including without limitation, all photographic, video, audio, films, still and/or motion picture images, sounds and data or other reproductions thereof (e.g., in-car audio, in-car video, in-car radio, other electronic transmissions between cars and crews and timing and scoring information).
- **1.2.5.2.** INDYCAR may disclose the data to third parties for any purpose including, without limitation, safety and/or medical research.
- **1.2.5.3.** If an individual desires to receive any such data from INDYCAR, the individual shall submit a request in writing to the President of Competition and Operations.
  - **1.2.5.3.1.** The written request shall specify the data requested and the proposed use of the data.
  - **1.2.5.3.2.** INDYCAR shall determine whether to provide the data, taking into account such factors as the nature of the data requested, the uses for which the individual requests the data and whether or not consent and/or approval of any other individual is required or appropriate in order to release the data.

- **1.2.5.3.3.** The individual requesting the data will be required to execute an agreement regarding approved uses for the data and the confidential treatment of any data that INDYCAR approves for use by the individual.
- **1.2.5.4.** Notwithstanding the foregoing an Entrant may record its own pit stop activities from its own pit area during Events for the purpose of internal evaluation. All other activities and/or uses require prior INDYCAR written approval.
- **1.2.6.** Safety Inspections INDYCAR may inspect any equipment prior to each Event and re-inspect equipment at any time including, without limitation, before, during or after each Event. An Entrant may be required to replace without limitation seats, seat belts, helmets, headrests, head restraint systems and other equipment at any time, as determined by INDYCAR.

### 1.2.7. Personal Safety Equipment

- 1.2.7.1. Drivers All Drivers must wear:
- a) Fire resistant one piece uniform conforming to SFI 3.2A/5 or FIA 8856-2000 specifications;
- b) Fire resistant socks;
- c) Fire resistant shoes;
- d) Fire resistant gloves;
- e) Nomex underwear long sleeve tops and full length bottoms;
- f) Fire resistant head sock.
  - **1.2.7.1.1.** All removable dental appliances, chewing gum, rings, watches and other jewelry and comparable items should be

removed before a Driver participates in any on-Track Event.

1.2.7.1.2. Helmets - All Drivers must wear safety helmets designed specifically for automobile racing that meet or exceed the FIA 8860-2010 specification. Helmets must be spherical in shape and be fitted with a face shield that has an INDYCAR-approved positive latching mechanism designed to keep the shield down in the event of a crash. Aerodynamic devices must not protrude more than 0.250 inches from the surface outside of the shaded area shown below. Inside the shaded area, a 0.500 inch high device is allowed. Flat back designs are not permitted. All helmets must be fitted with the INDYCAR approved removal system.



**1.2.7.1.2.1.** The visor shall be fitted with a reinforcement panel in accordance with the 2011 F1 VISOR REINFORCEMENT PANEL FOR FIA 8860 HELMET specification. The original visor, before the reinforcement panel is fitted, shall be certified with the helmet to FIA 8860-2010. 1.2.7.1.3. All helmets must be inspected and approved by INDYCAR before use and are subject to confiscation and re-inspection by INDYCAR at any time, including, without limitation, before, during or after each Event. INDYCAR shall affix stickers to the helmets signifying approval for use. At any time, including, without limitation before, during or after each Event, Officials may remove the approval stickers and require the helmets to be re-inspected and refurbished by a certified helmet manufacturer before INDYCAR will consider them for re-approval. The helmet manufacturer must submit to INDYCAR documentation stating the refurbished helmet is approved for use in competition in accordance with the Rules.

#### 1.2.7.1.4. Head Restraint Systems-

INDYCAR requires the use of head restraint systems. Head restraint systems must meet or exceed FIA 8858-2010 certifications and be approved by INDYCAR.

- **1.2.7.1.4.1.** INDYCAR shall issue a serial number to each head restraint system. At any time, INDYCAR may require the head restraint system to be re-inspected and refurbished by the FIA certified head restraint system manufacturer before INDYCAR will consider it for re-approval. The head restraint system manufacturer must submit to INDYCAR documentation stating that the head restraint system is approved by FIA for use in competition in accordance with the Rules.
- **1.2.7.1.5. Ear Pieces** All Drivers must wear INDYCAR-supplied instrumented earpieces. Earpieces must be presented to

INDYCAR for testing before each Event and upon request. Repair and/or replacement of the earpieces may be at the Driver's expense.

- 1.2.7.2. Crew Members and Other Competitors Participating in a Pit Stop – Over the wall crew members and any Member physically participating in the refueling process shall wear:
  - a) Fire resistant uniforms conforming to SFI 3.2 A/1 specifications;
  - b) Fire resistant shoes;
  - c) Fire resistant gloves;
  - d) Nomex underwear long sleeve tops and full length bottoms;
  - e) Fire resistant head sock (fueler and deadman);
  - f) Full-face helmet (fueler only, visor must remain down during fueling process); and
  - g) INDYCAR-approved helmet (Over-the-wall Competitors only)
- **1.2.7.3.** Crew Members, Other Competitors and Officials - Except as provided in <u>Rule</u> <u>1.2.7.1</u> and <u>Rule 1.2.7.2</u> all Competitors and Officials on the trackside of pit lane must adhere to the following dress code:
  - a) Long pants;
  - b) Closed-toe shoes; and
  - c) Shirts with sleeves (no tank tops).

- **1.2.7.3.1.** This Rule does not apply to individuals in the pit area who are not Competitors or Officials or to any individuals in the garage area or non-restricted areas.
- **1.2.7.3.2.** INDYCAR and/or an Event Promoter may have additional or different requirements for a particular Event.

## 1.3. Logo Display

**1.3.1.** All Members agree to comply with the 2016 INDYCAR Style Guide available from INDYCAR, including, without limitation, display of all required emblems on all uniforms, Cars and other equipment and/or use of all required equipment.

### 1.4. Advertising

- **1.4.1.** INDYCAR may regulate or deny the advertising of any product on equipment, apparel or otherwise in connection with a Member, INDYCAR, the IndyCar Series or any Event.
- **1.4.2.** Advertising may not be displayed until it has been approved by INDYCAR. INDYCAR may disapprove advertising for any reason, including, without limitation, advertising which it determines is offensive, inappropriate, illegal, undignified, in conflict with any INDYCAR or Event Promoter sponsorship, potentially confusing or may detract from the interest in any Event and/or the integrity of INDYCAR and/or the IndyCar Series.
- **1.4.3.** INDYCAR may require a Member to agree in writing with a policy statement regarding advertising of a particular product.
- **1.4.4.** Product advertising must comply with all Rules, including without limitation, size.

# 1.5. Title Sponsor

- **1.5.1.** No Member shall grant any sponsorship or other promotional rights to any Title Sponsor Competitor (as defined below) or for any products or services in the Title Sponsor Category (as defined below) unless approved by the Title Sponsor.
  - **1.5.1.1.** For purposes of this <u>Rule 1.5</u>, Title Sponsor Competitor is defined as any company whose primary business product or service is a competitor of the Title Sponsor's in the Title Sponsor's Category, regardless of whether the Title Sponsor Competitor activates within the Title Sponsor's Category.
  - **1.5.1.2.** For purposes of this <u>Rule 1.5</u>, Title Sponsor Category is defined as:
    - **1.5.1.2.1.** Wireless telecommunications services, which refers to wireless voice, messaging and data communication services including wireless networks and successor technologies (e.g. 3G, 4G, Wi-Fi, Advanced Wireless Services, Multicast) (collectively "Wireless Services");
    - **1.5.1.2.2.** Voice Over IP (VOIP), which refers to transmission technologies for delivery of voice communication over IP networks such as the Internet;
    - **1.5.1.2.3.** Wireline telephone services, which refers to local and long distance voice telecommunication enabled via wires or cables;
    - **1.5.1.2.4.** Television services, which refers to the distribution of television and video programming from multiple programming sources that are licensed and aggregated

together and offered on a subscription or on demand basis;

- **1.5.1.2.5.** Online Video distribution services, which refers to the distribution of video content via the Internet;
- **1.5.1.2.6.** Wireline and wireless broadband Internet and data services, including service that enables an Internet or data connection (e.g. modems, USB Modem, PC Cards, MiFi, Wi-Fi, Mobile Hotspot);
- 1.5.1.2.7. Cloud services, which refers to cloud computing and storage services;
- 1.5.1.2.8. Telematics and machine-tomachine communication/connectivity;
- **1.5.1.2.9.** Network and Internet security, which refers to the protection of a network and the Internet including software and hardware used to prevent unauthorized access, modification or destruction to a network, or used to protect information exchanged via a network;
- **1.5.1.2.10.** Health information technology, which refers to the management of health information including the storage, exchange, transmission or security of electronic health records and other such health related information;
- **1.5.1.2.11.** Smart grid technology, which refers to the modernization of electrical grid technology including the use of communications technology to gather, manage and communicate such information to improve the efficiency, reliability, economics and sustainability of the production and distribution of electricity;

- **1.5.1.2.12.** "Wireless Emerging Technology," which shall mean new products, services and solutions leveraging Wireless Services such as connected devices, smart accessories and smart home/office/city products and services and, for the avoidance of doubt, includes any new technology, service or device developed leveraging Wireless Services for the purpose of distributing INDYCAR Content.
- **1.5.2.** Notwithstanding <u>Rule 1.5.1</u>, no Member shall be required to breach any existing agreement in effect as of March 12, 2014 and may continue to fulfill its obligations to such parties in accordance with the terms of such agreements, including renewal of those agreements subject to the limitation that any such renewal shall not increase a Title Sponsor Competitor's prominence (i.e. visibility or sponsorship rights) with respect to the Member within the IndyCar Series.

#### 1.6. Product Use

- **1.6.1.** A Member shall not make a false or potentially false public or private statement concerning any product used or said to have been used in any Event. An Entrant may be required to provide INDYCAR with an affidavit certifying product use. Display of an emblem on a Car or uniform does not constitute a statement concerning use.
- **1.6.2.** INDYCAR may certify that a product was used at any Event(s). A product manufacturer desiring to have INDYCAR certify that its product was used in any Event(s) must follow the procedures outlined in <u>Rule 1.6.3.</u>
- **1.6.3.** To be eligible to receive certification of product use, the product manufacturer must agree in writing to the following:

- **1.6.3.1.** The product manufacturer must agree in writing to be bound by the Rules and must agree to be subject to penalty pursuant to the Rules.
- **1.6.3.2.** Product manufacturers posting awards contingent on product use and the display of advertising during an Event must provide INDYCAR with a statement of the conditions at least 30 days prior to the Event. The product manufacturer shall provide INDYCAR with proof of award distribution in accordance with these conditions within 30 days of certification by INDYCAR of the product use.
- **1.6.3.3.** Any advertisement of products and the related use of these products during an Event must be consistent with the facts as determined by INDYCAR and must not be likely to deceive, deceives, or mislead the public.
- **1.6.3.4.** INDYCAR may add or revoke requirements, as it deems appropriate.

#### 1.6.4. Event Activities

**1.6.5.** Activities - INDYCAR may schedule mandatory meetings and/or activities for Members. INDYCAR may require a meeting with Member(s) at any time. Each Member must attend and actively participate in all official meetings and other activities designated by INDYCAR as mandatory for that Member at the times and locations designated by INDYCAR. These may include, but are not limited to the following:

#### 1.6.5.1. Competition

#### 1.6.5.1.1. Competition Meetings -

INDYCAR may conduct one or more meetings of Drivers, team managers, spotters and chief mechanics to discuss general application, construction and interpretation of the Rules and any specific Rules applying to a particular Event.

**1.6.5.1.2.** Driver Meetings - Attendance at such Driver meetings may be limited to only the Driver participating in the Event. Additional attendees may also be invited to attend.

#### 1.6.5.2. Media

#### 1.6.5.2.1. Pre-Race Activities

#### 1.6.5.2.1.1. Driver Autograph Sessions – INDYCAR may conduct one

or more autograph sessions of Drivers during each Event.

**1.6.5.2.1.2. Practice** – Requested Drivers must attend post-practice media appearance.

#### 1.6.5.2.1.3. Qualifications – Drivers must attend the following:

- a) Pole qualifying media "bullpen" appearance.
- b) Pole winner photograph obligations.

Immediately following qualifications, media center appearance:

- c) Oval Events: the Drivers starting in the first row and any other requested Drivers.
- d) Road Course/Street Course Events: the Drivers participating in Segment

Three and any other requested Drivers.

- **1.6.5.2.1.4. Pre-Race Ceremonies** In preparation for a Race, Drivers shall follow the instructions of INDYCAR. Unless otherwise directed, the Drivers shall proceed to the Pre-Race stage and fully participate in Pre-Race ceremonies including without limitation any Driver introductions and/or Track laps.
- **1.6.5.2.2.** Post-Race Activities Upon completion of a Race, all Competitors shall follow the instructions of INDYCAR. Unless otherwise directed, the top three finishers in the Race shall proceed to victory lane as instructed by INDYCAR and fully participate in post-Race ceremonies including without limitation, not removing, obscuring, or otherwise altering any sponsor recognition. Specifically at a minimum, this includes the following:
  - **1.6.5.2.2.1.** The winning Driver must attend and participate in post-Race interviews in victory lane. The winning Driver and Car Owner of a Race agree to permit the display on the Car in victory lane, as designated by INDYCAR, at least one item from the Title Sponsor and one item from the Car's sponsor as determined by INDYCAR, including size, location and placement of such item(s). The winning Entrant agrees to participate in a photograph session with the Event sponsor(s) and guests.
  - **1.6.5.2.2.2.** The 2<sup>nd</sup> through 5<sup>th</sup> place Drivers must stop in pit lane or such other area as designated by INDYCAR and participate in media interviews.

- **1.6.5.2.2.3.** The 2<sup>nd</sup> and 3<sup>rd</sup> place Drivers must proceed to victory lane and join the Race winner in post-Race ceremonies. The top three finishers must wear INDYCAR provided hats in the following order: Firestone, IndyCar Series and Engine Manufacturer.
- **1.6.5.2.2.4.** Immediately following victory lane ceremonies, the winning Driver, 2<sup>nd</sup> place Driver and 3<sup>rd</sup> place Driver must attend and participate in post-Race interviews in the media center.
- **1.6.5.2.2.5.** Following the media center interviews, the winning Driver shall attend and participate in a satellite media tour and radio media tour, if requested by INDYCAR. At select Races, the Race winner shall stay overnight for a victory media tour the following Day.
- **1.6.5.2.2.6.** All other Drivers agree to attend and participate in the post-Race media center interview process, if requested by INDYCAR.
- **1.6.5.2.2.7.** All selected Cars must be presented at technical inspection as directed by INDYCAR.
- **1.6.5.2.3.** Indianapolis 500® Mile Race -All Drivers in the starting field must attend and actively participate in media events, at such times and locations as designated by INDYCAR, for a media day/photograph and video shoot, with travel provided by INDYCAR. The winning Driver must take the traditional drink of milk in victory lane. The winning Driver agrees to attend and participate in a national media tour that may

include a trip or trips to national media markets.

- **1.6.5.2.4.** Championship All Drivers and Entrants mathematically eligible to win the end of season Driver and/or Entrant point standings agree to attend and participate in activities as follows:
  - **1.6.5.2.4.1.** Race market for final Race: a media activity approximately four weeks prior to the end of season Event.
  - **1.6.5.2.4.2.** During the final five (5) Races: designated pre-Race and/or post-Race media requirements, including national media teleconferences, press conferences, satellite media tours and/or radio tours as directed by INDYCAR.
  - **1.6.5.2.4.3.** Championship Event(s) during Event week: (a) media event(s) as designated by INDYCAR
  - **1.6.5.2.4.4.** IndyCar Series Champion: a national media tour that could include a trip or trips to national media markets, if requested by INDYCAR.
- **1.6.5.2.5.** Celebration The winning Driver and Car owner must attend and participate in the celebration at such times and locations as designated by INDYCAR.
- **1.6.6.** Penalties INDYCAR shall determine whether a Member has failed to attend, failed to actively participate, or engaged in inappropriate conduct during a meeting or mandatory activity. The following penalties may apply:

- **1.6.6.1.** First Offense \$5,000 and/or require attendance at an additional/substitute meeting and/or promotional appearances.
- **1.6.6.2.** Each Additional Violation INDYCAR may double the fine, require attendance at an additional/substitute meeting or meetings, or activity or activities.
- **1.6.6.3.** Whether in attendance or not, Members shall be charged with knowledge of the matters discussed at all meetings (whether mandatory or not). A Member and the Member's Entrant waive the right to review and/or appeal any penalties that may be assessed for any violation of the Rules if the Member was absent, did not actively participate or engaged in inappropriate conduct at the meeting.
- **1.6.6.4.** Unless otherwise approved by INDYCAR, no cellular telephones, wireless mobile devices, personal digital assistants or other recording devices may be used during any mandatory meeting and/or activity, including autograph sessions except by INDYCAR or its designee.

# 2. MEMBERSHIP

# 2.1. General

**2.1.1.** INDYCAR is a voluntary membership organization. Each Member shall be deemed to be an independent contractor of INDYCAR and the relationship between INDYCAR and a Member shall not be deemed to be a partnership, joint venture, an employment or a franchise relationship by virtue of the membership.

# 2.2. Application

- **2.2.1.** Each applicant is required to submit the following properly executed materials for INDYCAR approval in the form required by INDYCAR:
  - **2.2.1.1.** Membership application on a form provided by INDYCAR,
  - 2.2.1.2. Membership application fee,
  - **2.2.1.3.** Substance Abuse Policy Authorization for Testing and Release (if the applicant is a designated Competitor or Official),
  - **2.2.1.4.** Social Media Policy Acknowledgement (if the applicant is a Competitor or Official),
  - 2.2.1.5. Participant Authorization for Use and Disclosure of Protected Health Information, and
  - **2.2.1.6.** Any other materials required by INDYCAR.
- **2.2.2.** Qualifications for membership shall be determined by INDYCAR and may be periodically revised.

- **2.2.3.** Each applicant agrees to fulfill all contractual obligations such applicant and/or applicant's affiliates may have to INDYCAR, Event Promoters, Manufacturers and their affiliates. Each applicant agrees that INDYCAR, Event Promoters, Manufacturers and their affiliates shall have the remedy of specific performance with respect to any and all such obligations in addition to all other rights and remedies available at law or in equity and notwithstanding any terms to the contrary in any other agreement.
- **2.2.4.** Each applicant must pay any and all outstanding financial obligations owed by applicant or its affiliates to INDYCAR, Manufacturers and their affiliates, or make other arrangements acceptable to INDYCAR, Manufacturers and their affiliates.
- **2.2.5.** INDYCAR shall determine whether to accept or reject any membership application materials, although the rejection of membership application materials may be reviewed and/or appealed in accordance with the procedures set forth in <u>Rule 12</u> and <u>Rule 13</u>.
- **2.2.6.** If an individual/entity is involved in and/or participates in any Event without having properly submitted fully-executed membership application materials, the individual/entity, by such involvement and/or participation, nevertheless agrees that the individual/entity is subject to all Rules, limitations of liability, indemnification and all statements, releases and obligations appearing in the membership application materials as if the individual/entity had properly submitted a fully-executed membership application materials.
- **2.2.7.** Regardless of where the application material is executed by the applicant and/or accepted by INDYCAR and/or the credential issued, applicant recognizes and agrees that the Member's application materials and associated agreement is an Indiana

contract and forms a contractual relationship established in the State of Indiana and governed by the laws of Indiana. THIS CONTRACT AND RELATIONSHIP IS A LEGAL ONE AND APPLICANT SHOULD CONSULT HIS/HER ATTORNEY IF HE/SHE HAS ANY LEGAL QUESTIONS.

# 2.3. Term

**2.3.1.** Unless otherwise determined by INDYCAR, a membership expires on December 31 of the calendar year. INDYCAR may issue a credential that may only be valid for a designated period or designated Event(s), as INDYCAR deems appropriate.

# 2.4. Interim Review of Qualifications

**2.4.1.** INDYCAR may review the qualifications of a Member at any time after accepting a membership application to determine whether the Member shall continue to qualify for a membership under these Rules.

# 2.5. Acknowledgement of Release and Assumption of Risk

**2.5.1.** INDYCAR may periodically request that a Member acknowledge the release, assumption of risk and indemnification provisions in the membership application materials, but the failure of INDYCAR to request and/or a Member to sign an acknowledgement does not negate the release, assumption of risk and indemnification provisions in the membership application materials or in these Rules.

# 2.6. Applicable Laws and Jurisdiction

**2.6.1.** To avoid the need for specific inquiries, each Member represents, warrants and covenants to INDYCAR Group that such Member has complied

with and at all times will comply with all applicable local, state and federal, rules and regulations, including, without limitation, all laws related to taxes and fees, immigration, income/sales/use tax, safety/health, transportation, workers compensation and frequency communications. Member further acknowledges and agrees to cooperate with INDYCAR's and its promoter's compliance obligations. For the avoidance of doubt, the Member's responsibilities include withholding and information reporting obligations required by law pursuant to Indiana House Enrolled Act 1544.

- **2.6.2.** If there is a misrepresentation and/or a breach of the warranties and covenants in <u>Rule 2.6.1</u>, such Member shall indemnify and hold INDYCAR Group harmless from any loss or expense incurred by INDYCAR Group as a result of any noncompliance including without limitation, claims of INDYCAR Group's sole or contributory negligence and/or a claim that INDYCAR Group failed to supervise or achieve compliance.
- **2.6.3.** The Rules shall be governed, interpreted and construed in accordance with the laws of the State of Indiana, without regard to its choice of law principles. All actions relating to the Rules shall be brought in state or federal court in Marion County, Indiana. Each Member consents to venue in and jurisdiction of the state of federal courts in Marion County, Indiana and waives any rights to contest such venue and jurisdiction.
- **2.6.4.** THE MEMBER WAIVES ANY RIGHT TO A TRIAL BY JURY IN ANY ACTION OR PROCEEDING TO ENFORCE OR DEFEND ANY RIGHTS UNDER THE MEMBERSHIP APPLICATION MATERIALS, ASSOCIATED AGREEMENT AND/OR RULES AND AGREES THAT ANY SUCH ACTION OR PROCEEDING WILL BE TRIED BEFORE A COURT AS A BENCH TRIAL AND NOT BEFORE A JURY.

# 2.7. Conduct Identification

**2.7.1.** A claim of intentional or willful and wanton conduct by one Member against another Member should never be made unless the claim can be supported by established facts. Even if factually supportable, such a claim cannot continue unless the notice required in the membership application is timely completed.

# 2.8. Litigation

- **2.8.1.** Each Member agrees to reimburse INDYCAR Group and other named Members (INDYCAR Member Group) for all costs of litigation including without limitation travel expenses and attorneys' fees, costs and expenses if either of the following conditions occurs:
  - **2.8.1.1.** The Member initiates or otherwise causes a member of the INDYCAR Member Group to be named as a party in a legal proceeding not permitted or contemplated by the Rules;
  - **2.8.1.2.** The Member requests or compels a member(s) of the INDYCAR Member Group's participation in a manner other than as a party to the lawsuit.

# 2.9. Categories

- 2.9.1. The following membership categories exist:
  - a) Entrant
  - b) Driver
  - c) Associate
  - d) Official
  - e) Media
  - f) VIP
- **2.10.1.** Before an individual shall be eligible for a membership or License and/or to participate in any Event, such individual must be at least 18 years of age (or the age of majority) and of sufficient capacity to participate in the Event and to sign an enforceable contract, or a separate waiver and release form approved by INDYCAR.
- **2.10.2.** INDYCAR may have additional or different eligibility requirements for a particular Event. INDYCAR reserves the right to limit participation to an age greater than 18 or to require additional documentation as a prerequisite to participation.
- **2.10.3.** No one under age 18 shall be permitted in pit lane during any Events unless permitted by applicable insurance requirements and previously approved in writing by INDYCAR and/or the Event Promoter.

# 2.11. Moral Fitness

- **2.11.1.** The past or current conduct of an applicant or Member can have adverse consequences to INDYCAR.
- 2.11.2. An applicant or Member must honestly answer questions raised by INDYCAR as to moral character.
- **2.11.3.** Applicants and Members agree INDYCAR may refuse a membership application or revoke a membership for any reason including, without limitation, those related to personal conduct, decency and whether or not any conduct of the applicant or Member creates adverse notoriety with respect to INDYCAR, the IndyCar Series, or an Event.

### 2.12. Physical and Psychological Fitness

- **2.12.1.** INDYCAR may require any applicant or Member to complete a medical history form and/or complete and pass a medical examination which may include drug testing consistent with the provisions of the Substance Abuse Policy as directed by INDYCAR to determine physical and psychological fitness to receive a membership or License and/or to participate or continue in an Event. At any time, INDYCAR may require a Member to be examined and/or tested by a designated medical professional at the Member's cost.
- 2.12.2. Members must not use or be under the influence of illegal or prohibited drugs at any time, alcohol during an Event, and certain prescriptions and over-the-counter medications during an Event. Designated Competitors and Officials must complete and return to INDYCAR the current Substance Abuse Policy Authorization for Testing and Release and must comply with the provisions of the Substance Abuse Policy at all times. Whether or not an applicant or Member has formally executed the INDYCAR Substance Abuse Policy Authorization for Testing and Release, each applicant and Member agrees to submit to drug testing in accordance with the Substance Abuse Policy, which may include without limitation the collection of urine, blood, saliva, hair, breath and/or any other scientificallyacceptable method of analysis for prohibited substances and/or alcohol if requested by INDYCAR. Examination/testing may be for any drug, medication or other substance including without limitation alcohol. Applicants and Members shall be required to regularly review the Substance Abuse Policy and will be notified by INDYCAR as changes to the Substance Abuse Policy are made. Changes to the Substance Abuse Policy will apply as they become effective and it is not an acceptable excuse for an applicant or Member to claim that the applicant or Member is unaware of a change to the

Substance Abuse Policy or that such a change is not reflected in the Rules for purposes of avoiding the consequences of noncompliance.

- **2.12.3.** Any applicant or Member who is required to complete a medical history form and/or complete and pass a medical examination/test shall also immediately notify in writing the INDYCAR Medical Director if the Member becomes aware of a change in the Member's medical condition, including if the Member is diagnosed with any medical condition (including pregnancy) by any medical professional.
- **2.12.4.** Any Member who is required to execute the Substance Abuse Policy Authorization for Testing and release shall also submit proof of any prescription the Member is required to take to the INDYCAR Medical Review Officer (and receive the INDYCAR Medical Review Officer's approval of such use prior to taking the substance in connection with any Event) (whether or not prescription drugs or medication and regardless of how taken).
- **2.12.5.** INDYCAR may disqualify, suspend or otherwise penalize any applicant or Member for failure to promptly cooperate with any request for examination/testing and/or notification by INDYCAR and for any pending violation of the Substance Abuse Policy.

### 2.13. Medical Examinations and Treatment

- **2.13.1.** Examinations and/or treatment of Members, including emergency rescue, performed by medical professionals acting on behalf of INDYCAR are based upon a relationship established in the State of Indiana.
- **2.13.2.** Members acknowledge that the medical professionals are acting pursuant to their contractual obligations with INDYCAR and are not acting or rendering personal medical services to the Members.

Members acknowledge that they shall have their own personal physicians.

### 2.14. Determination of Fitness

**2.14.1.** Members acknowledge that while the INDYCAR medical professionals render an opinion as to fitness, the final determination of a Member's ability to participate is made by INDYCAR in good faith.

### 2.15. Waiver of Claims

**2.15.1.** Each applicant and Member waives any and all claims regarding any medical treatment and/or medical examinations/testing and/or the use or disclosure of such medical treatment and/or medical examinations/testing and/or examination/testing results, by INDYCAR, its agents and/or its medical professionals including without limitation claims of invasion of privacy and/or breach of confidentiality, notwithstanding whether such claims arise from strict liability, sole or contributory negligence of INDYCAR, its agents and/or its medical professionals, breach of contract, or any other legal or equitable theory.

# 2.16. Penalties

**2.16.1.** INDYCAR may disqualify, suspend or otherwise penalize any applicant or Member if the results of any examination/test are determined by INDYCAR, its agents and/or its medical professionals to be a violation of the INDYCAR Substance Abuse Policy or to enhance, impair, or otherwise affect the Member's ability to be involved in and/or participate in any Event regardless of whether the applicant or Member's B sample, if applicable, has confirmed the A sample finding, in the case of a drug test. INDYCAR in its discretion may suspend or otherwise penalize any applicant or Member while such applicant or Member's matter, whether it is a positive drug test or other violation of the Substance Abuse Policy or other applicable Rule, is pending or while INDYCAR investigates the applicant or Member's potential Rule violation.

**2.16.2.** Any Member who shows visible signs of exhaustion, physical or psychological impairment, or other physical or psychological irregularities may be disqualified and/or excluded from an Event and subjected to additional examinations/tests and/or penalties.

### 2.17. Violation of Laws

**2.17.1.** Being charged with a violation of the law, whether alcohol/substance abuse or any other laws, may be grounds for suspension or other penalty. Any Member charged with any violation of the law relating to alcoholic beverages or illegal substances, or charged with any felony, must notify the President of Competition and Operations prior to the next scheduled Event or within 72 hours of being so charged, whichever is earlier and cooperate with INDYCAR, including without limitation, providing additional information in order for INDYCAR to determine if a suspension or other penalty is appropriate.

### 2.18. Medical Records

**2.18.1.** Each applicant and Member agrees and consents that, where requested by INDYCAR for purposes of carrying out its due diligence and obligations under the Rules and the Substance Abuse Policy, he or she will not unreasonably deny INDYCAR access to and copies of any and all medical records of the Member where access to such information may be necessary in order for INDYCAR to make a determination with respect to the applicant or Member's eligibility for participation in an Event. Applicants and Members acknowledge

that denial of access by INDYCAR to such information may delay or prohibit INDYCAR from permitting the applicant or Member to compete and/or participate in Events.

# 2.19. Memberships, Licenses and Credentials

- **2.19.1.** Prior to gaining access to restricted areas, each individual must have the necessary and appropriate credential. The holder of a membership, License or other credential shall produce it for inspection upon demand of INDYCAR or security personnel at any Event along with any other document needed to confirm identification.
- **2.19.2.** The annual credential consists of both the lanyard and the hard card. Use of one without the other invalidates the annual credential. In addition, a Member must not use a prior Event's, prior season's or other series' credential.
- 2.19.3. A membership, License or any other credential (including race mode) may not be disassembled, transferred, assigned, sold, made subject to a lien, altered, or duplicated. It must be used only by the Member to whom it is issued. If a Member intentionally or unintentionally disassembles, transfers, assigns, sells, makes subject to a lien, alters, duplicates or otherwise permits another individual or entity to use or attempt to use the membership, License or credential, then the Member may be penalized and shall indemnify and hold INDYCAR Group harmless from any loss or expense incurred by INDYCAR Group arising in any way from the Member's action, even if the Member or a third party asserts that INDYCAR Group's loss or expense is due to INDYCAR Group's nealigence.
- 2.19.4. The Rules regarding memberships, Licenses and credentials are equally applicable to parking passes, Event credentials, golf cart passes and all

other privileges issued to a Member by INDYCAR or an Event Promoter unless such credentials, passes or privileges are expressly transferable, assignable, or eligible for sale.

- **2.19.5.** INDYCAR may require an applicant and/or Member to provide a valid official governmentissued identification including photograph and date of birth in order to receive or retain a credential. Acceptable identification includes without limitation a state Driver's licenses, passports and military cards but does not include identification issued by educational institutions or any nongovernmental entity.
- **2.19.6.** Members are responsible for the conduct of Member Group.

# **3. SANCTIONS**

# 3.1. Promotion of Races

**3.1.1.** INDYCAR will sanction Races with an Event Promoter through an Event Agreement. The Event Agreement contains additional terms regarding the Race, such as those relating to postponement dates.

# 3.2. Event Promoter Responsibilities

- **3.2.1.** Event Promoters shall be responsible for designated operations including without limitation responsibility for obtaining and providing, at the Event Promoter's expense, insurance as required by the Event Agreement, safety precautions, services and vehicles for Competitors and spectators including medical/rescue personnel, equipment, transportation and facilities (such as ambulances, air medical helicopters and examination facilities and equipment); physical condition of the Track; spectator control; and fire protection, all to meet or exceed the minimum requirements provided by INDYCAR and where applicable, the ASN.
- **3.2.2.** INDYCAR shall not be responsible or in any manner liable for any of the Event Promoter's responsibilities under the Event Agreement or the Rules even if the Event Promoter fails or refuses to perform such functions, duties and responsibilities, in whole or in part, or if INDYCAR voluntarily assumes performance of any or all of the Event Promoter's specific functions, duties and responsibilities.
- **3.2.3.** Members acknowledge that INDYCAR and/or Event Promoter has reserved and has the right to place additional regulations into effect if, in the discretion of INDYCAR and/or an Event Promoter, such additional regulations become desirable. In the case of a conflict in the Rules resulting therefrom,

INDYCAR shall make a determination and its interpretation and determination shall be final.

# 3.3. Restrictions

**3.3.1.** Event Promoter shall not schedule any ancillary or supporting entertainment, events, or activities that include racing activity on the Track during the Event without prior written approval by INDYCAR, in each instance.

# 4. ENTRANTS/DRIVERS

# 4.1. General

- **4.1.1.** Licensing criteria are available from the INDYCAR office and may vary depending on the particular on Track activity. Entrants and Drivers must remain in good standing to participate in an Event. All License applications shall be made on forms provided by INDYCAR and submitted to INDYCAR. INDYCAR and ACCUS-FIA (and another ASN, if applicable) shall determine whether to approve a License application and issue a License. Applicant shall pay any and all costs associated with satisfying the License criteria. Driver Licenses are not transferable at any time; Entrant Licenses are not transferable without prior written approval.
- **4.1.2.** Unless otherwise approved by INDYCAR, Entrant must possess an INDYCAR annual membership, an INDYCAR Entrant's License and an ACCUS-FIA Entrant's License (including international competition privileges) and Entrant must remain in good standing at all times during the on-Track Event.
- **4.1.3.** Unless otherwise approved by INDYCAR, Driver must possess an INDYCAR annual membership, an INDYCAR Driver's License and an ACCUS-FIA Driver's License (including international competition privileges) or another ASN Driver's License, if applicable and the Driver must remain in good standing at all times during the on-Track Event.
- **4.1.4.** Licenses are revocable by INDYCAR and ACCUS-FIA at any time and for any reason. Without limiting the foregoing, Licenses for Rookie Drivers are granted on a temporary basis.
- **4.1.5.** Licenses may be limited to a particular type of Event(s) or for a specified period.

- 4.1.6. Licensed Entrants and Drivers are eligible to earn awards and points as described in the Rules.
- **4.1.7.** Eligibility for a License shall be determined based on such factors as are indicative of the applicant's ability to participate satisfactorily in an Event.
- 4.1.8. Fees may be charged for Licenses.
  - **4.1.8.1.** Fees are non-refundable whether or not the License is granted. No fee or any part thereof shall be refunded to a licensee because the licensee ceases to participate in any Event(s) regardless of the reason for such cessation.
  - **4.1.8.2.** License fees are for an entire season and will not be pro-rated for any reason including, without limitation, for Members who begin participating after the first Event or who stop participating prior to the completion of all Events.
- 4.1.9. A License is valid only for the current season and/or as otherwise restricted. Unless otherwise noted, all Licenses shall expire on December 31 of the calendar year during which they were granted.
- **4.1.10.** If an individual/entity participates in any Event without having properly submitted fully-executed license application materials, the individual/entity, by such participation, nevertheless agrees that the individual/entity is subject to all Rules, limitations of liability, indemnification and all statements, releases and obligations appearing in the license application materials as if the individual/entity had properly submitted fully-executed license application materials.
- 4.1.11. INDYCAR and/or ACCUS-FIA may issue a license that may only be valid for a designated

period or designated Event(s), as INDYCAR and/or ACCUS-FIA deems appropriate.

- **4.1.12.** An applicant may request the review and/or appeal of a denial of a Driver or Entrant License and membership application, grade of Driver's License, determination of Rookie status or eligibility for a Rookie orientation or refresher Test in accordance with the procedures set forth in <u>Rules 12</u> and <u>13</u>.
- **4.1.13.** INDYCAR may continuously re-evaluate the eligibility and performance of a Driver and/or Entrant to determine if the Driver and/or Entrant shall remain licensed. As part of such evaluation, INDYCAR may consider input from any Official, any Driver and others as INDYCAR determines appropriate and may require a Driver and/or Entrant to participate in Testing under the observation of Officials, Drivers, and/or others.

#### 4.2. Entrant License

- **4.2.1.** The individual signing the application materials represents that the individual is authorized to act on behalf of the named Entrant in all matters. Additional corporate representatives may be listed and the authority of any individual may be limited. One corporate representative must be present at each on-Track Event where on-Track activity takes place.
  - **4.2.1.1.** If a corporate representative's status changes, INDYCAR may require an individual authorized to act on behalf of the Entrant submit a new application materials for that Entrant for approval. INDYCAR may require an Entrant to submit another Entrant License fee and resubmit any other materials including, without limitation, a Leaders Circle Program Agreement, Entry forms, or any other requirements as determined by INDYCAR etc.

**4.2.1.2.** Upon request, INDYCAR must be provided satisfactory information regarding ownership of an Entrant.

# 4.2.2. Eligibility

- **4.2.2.1.** After receipt of the application materials, the Entrant's competitive and business viability will be assessed to determine whether to approve and issue the license. Factors taken into account may include, without limitation:
  - 4.2.2.1.1. Purchase and/or lease agreements with Manufacturers;
  - **4.2.2.1.2.** Purchase and/or lease agreement for its shop; and
  - **4.2.2.1.3.** Employment or consultancy agreements with key personnel including, without limitation, team manager, engineer, chief mechanic and Driver.
- **4.2.3. Entry** Upon issuance of the Entrant License, the Entrant may submit a Leaders Circle Program Agreement and Entry forms identified by the Car number assigned to that Entrant License. The Entry (Car with Driver) must display the Car number at all times during the Event and all points and awards earned by this Entry will be credited to that Car number unless otherwise determined by INDYCAR. An Entrant intending to compete with multiple Entries at a single Event must obtain an Entrant License with a unique Car number for each Entry or satisfy such other requirements as INDYCAR may prescribe.
  - **4.2.3.1.** The Entry shall automatically include a primary and Backup Car unless indicated otherwise. The first Car presented at technical inspection shall be the primary Car. The second

Car presented at technical inspection shall be the Backup Car.

- **4.2.3.2.** Indianapolis 500® Mile Race: If Entrant wins the Indianapolis 500® Mile Race, it agrees to grant to the Event Promoter or its designee, through execution of a separate agreement, the right to possess and use the winning Car for display during mutually agreed upon times. Consideration for the above use of the winning car is acknowledged as the opportunity to compete for awards for the on-Track Event and acceptance of the Entry.
- **4.2.3.3. Championship:** If Entrant wins the championship, it agrees to grant to INDYCAR or its designee the right to possess and use the winning Car for display during mutually agreed upon times of the celebration. Consideration for the above use of the winning Car is acknowledged as the opportunity to compete for awards for the on-Track Events and acceptance of the Entries.

### 4.2.4. Chief Mechanic

- **4.2.4.1.** Each Entry must have a chief mechanic.
- **4.2.4.2.** The chief mechanic is responsible for the Car complying with the Rules.
- **4.2.4.3.** The chief mechanic must be in the Car's assigned pit when the Car is on the Track and must accompany the Car during all technical inspection processes.
- **4.2.4.4.** Entrant agrees its chief mechanic shall serve as an authorized corporate representative regarding all issues related to technical inspection.

- **4.2.4.5.** An Entrant shall replace its chief mechanic if INDYCAR determines that the chief mechanic is not sufficiently qualified.
- **4.2.5. Spotters** At all Oval Races and Oval Tests, whenever more than one Car is on Track, a spotter is required for each Entry. INDYCAR may also require spotters at other Events. A spotter must be in the designated location(s) and have a radio capable of communicating with the Driver and an individual in the Entrant's pit. Track specific spotter requirements will be confirmed by INDYCAR.
  - **4.2.5.1. Spotter Registration** All spotters must be registered on IRIS. An Entrant may register up to six (6) spotters. Spotters are subject to INDYCAR approval.
  - **4.2.5.2.** Indianapolis 500® Mile Race Two (2) registered spotters are required in the designated areas for Carburation day and Race day.
- 4.2.6. Manufacturers The Manufacturers may require an Entrant to enter into lease and/or purchase agreements.

### 4.3. Driver License

- **4.3.1.** License application material may be approved and Licenses issued to individuals determined eligible by INDYCAR to compete in an Event and who otherwise meet the application requirements.
- **4.3.2.** The determination of whether the applicant may take ROP and whether the applicant successfully completed ROP shall be made by INDYCAR.
- **4.3.3.** INDYCAR and/or ACCUS-FIA (and another ASN, if applicable) shall determine the grade of the License issued to a Driver.

- **4.3.4.** In order for a Driver to compete in any Event, the Driver must hold a Driver License and at any time may be required to:
  - a) Participate in any INDYCAR required testing;
  - b) Provide an updated resume, government-issued identification, and/or certified birth certificate;
  - c) Furnish a current clearance acceptable to INDYCAR attesting to the Driver's physical/psychological fitness;
  - d) Provide proof of United States residency and an international letter of authorization from the Driver's home country ASN, in the case of Drivers who are not citizens of the United States.

# 4.3.5. Eligibility

- **4.3.5.1.** An applicant must have sufficient competitive driving ability and experience as determined by INDYCAR.
- **4.3.5.2.** INDYCAR's determination as to ability will take into account all such factors, as INDYCAR deems relevant. An applicant must contact INDYCAR for the current Race-specific criteria.
- **4.3.5.3.** INDYCAR may require the applicant to provide:
  - **4.3.5.3.1.** A current resume containing a complete history of the applicant's competition to date and demonstrating the applicant's ability to properly and safely handle the Car;
  - 4.3.5.3.2. A letter of recommendation from the series in which the Driver last competed; and/or

**4.3.5.3.3.** Such other information as INDYCAR may request.

**4.3.6.** Physical/Psychological Information - The applicant must provide the information required by INDYCAR and must successfully complete any INDYCAR-prescribed physical and psychological examinations, which may include, without limitation, eye, neurological and substance abuse testing.

### 4.3.7. Rookie General

- **4.3.7.1.** If a Driver begins the season as a Rookie, he/she remains a Rookie until the end of that season.
- **4.3.7.2. ROP–** An applicant must demonstrate Car control, placement and a consistent driving pattern. INDYCAR may require additional testing including, without limitation, testing in close proximity to other Cars, before an applicant may be permitted to participate in a Promoter Test and/or Race.
- **4.3.7.3. Refresher Tests** An applicant, including, without limitation, a Driver who has not participated as a Driver in any on-Track Event during the past twelve (12) months, may be required to successfully complete a refresher test. In lieu of a refresher test, INDYCAR may require an applicant to successfully complete ROP.
- 4.3.7.4. Costs An applicant shall pay all costs incurred by INDYCAR relating to any tests approved by INDYCAR.

### 4.3.8. Indianapolis 500® Mile Race Eligibility

- 4.3.8.1. ROP Successful completion of a Rookie orientation Test at another Race Location and/or participation in an on-Track Event does not satisfy this requirement.
- **4.3.8.2.** INDYCAR shall hold a mandatory Driver and team manager meeting prior to the beginning of the ROP session. At this meeting, INDYCAR will announce the speed phases and other requirements for the ROP.
- **4.3.8.3.** In addition to Car control, placement and a consistent driving pattern, the ROP shall consist of three phases, totaling 40 laps: Phase One 10 laps at 210-215mph, Phase Two 15 laps at 215-220mph and Phase Three 15 laps at 220+mph. The laps do not have to be consecutive. The phases and corresponding speeds may be adjusted based on Track/weather conditions.
- **4.3.8.4.** Rookie Drivers must successfully complete a minimum of two of the three phases to be eligible to participate in the Promoter Test session and/or practice when practice for the Event begins. No Rookie Driver will be eligible for a qualifications attempt prior to completing the final phase.
- **4.3.8.5.** Drivers will be permitted time to practice after the completion of one phase and before beginning the next phase.
- **4.3.8.6. Refresher Test** If a Driver has not participated in an oval Event in the IndyCar Series since the prior year's Indianapolis 500® Mile Race, INDYCAR will determine if any additional testing shall be required. In addition to Car control, placement and a consistent driving pattern, the refresher test consists of the

30 laps that make up the second and third phases of the ROP. No such Driver will be eligible for a qualifications attempt prior to completing the refresher test.

**4.3.8.7. ROP Schedule** - Except as otherwise approved by INDYCAR, Rookie orientation Tests shall only be conducted during the designated times/dates designated by INDYCAR.

### 4.4. Communication

### 4.4.1. In-Car Radio & Radio Location

- **4.4.1.1.** The in-Car radio must not be capable of transmitting or receiving in a digital format. Radio transmissions must not be encrypted, scrambled or otherwise modified to affect INDYCAR's ability to record, scan and hear at any time during the Event. Channel hopping transmissions to or from the in-Car radio are not permitted. All voice radio transmissions to or from the Car must be in the 450.000 MHz to 470.000 MHz range.
- **4.4.1.2.** Only the primary and backup frequencies are permitted in the Car radio.
- **4.4.1.3.** Radios may not be located in the cockpit area.
- 4.4.2. Frequencies Each Member transmitting or receiving any signal including, without limitation, voice, data and telemetry, but excluding Internet related Wi-Fi (regulated pursuant to <u>Rule 4.4.5</u>) shall:
  - **4.4.2.1.** Provide the specifications (e.g., frequency, manufacturer, make, model and serial number) of the radio to be used in the Car and otherwise, to the INDYCAR-designated representative prior to their first Race;

- **4.4.2.2.** Not password-protect or otherwise affect or limit the INDYCAR-designated representative's ability to scan and hear the radio to be used in the Car at any time;
- **4.4.2.3.** Notify the INDYCAR-designated representative immediately upon changing any of the equipment specifications or frequencies of the radio to be used in the Car and otherwise;
- **4.4.2.4.** Consent and hereby does consent, to the recording, broadcast and/or rebroadcast by INDYCAR or its designee of any such transmissions through any and all media and for any and all purposes; and
- **4.4.2.5.** Comply with all applicable laws regarding such use and indemnify and hold INDYCAR Group harmless with respect to failure to comply with applicable laws, even if the violation involves INDYCAR Group's or its designee's sole or contributory negligence.
- **4.4.3.** Radio Communication During all on-Track Events, radio communication between the Driver and the Entrant's pit is required at all times.
  - **4.4.3.1.** Car-to-Car radio communication is not permitted.
- **4.4.4. Wireless Data Frequency ("Wi-Fi")** Each Member transmitting or receiving any signals for wireless data transmissions shall: (i) coordinate and modify frequencies for use with other wireless data networks as specified by INDYCAR; (ii) comply with all applicable laws regarding such use and (iii) indemnify and hold INDYCAR Group harmless with respect to failure to comply with applicable laws, even if the violation involves INDYCAR Group's sole or contributory negligence.

- **4.5.1.** Car Names A Car must have a name that shall be identified on the Entry form. The Entrant represents to INDYCAR that it has the right to use that Car name. The Car name is subject to the approval of INDYCAR and must not exceed 35 characters, including all spaces and punctuation.
- **4.5.2.** A Car may compete only in the Events in which the Car is entered. The Entry form must establish the Entrant's right to enter the Car in the Event and include proof of ownership of the Car acceptable to INDYCAR. INDYCAR will use a Car number and Dallara assigned chassis registration number to identify each Car.
- **4.5.3.** Car Number INDYCAR will assign to each Entrant License (not Driver License) a unique Car number. The Car number may consist of a maximum of two digits. No Car number may begin with the 0 digit. Car number 1 will be reserved for the defending Entrant (not Driver) series champion.
  - **4.5.3.1.** All Car numbers are owned by INDYCAR. An Entrant may be granted the use of a Car number.
  - **4.5.3.2.** All Entrants desiring a particular Car number must make a written request to INDYCAR. INDYCAR will not guarantee a request will be granted.
  - **4.5.3.3.** After a Car number is assigned to a particular Entrant License, it will remain with that Entrant License until that Entrant is no longer a Full Season Entrant, provided that Entrant enters a Car and displays the Car number on the Car at each Event. If the Entrant does not enter a Car and/or display the Car number on the Car at an Event, INDYCAR may reassign the Car number.

- **4.5.3.4.** The required Car number locations must be clearly visible as determined by INDYCAR. The required number must be a solid color, one (1) inch in thickness, positioned on a solid single colored background and maintain a two (2) inch area around the numbers, free of all other decals, trim, color, advertisement and decorations. Trim color around the number is permitted provided it does not distort the number font and does not apply toward the height or width requirement.
- **4.5.3.5.** Nose The minimum height of the numeric digit must be nine (9) inches. A portion of the Car number on the nose must be located on the front shock cover. (See illustration)



**4.5.3.6. Headrest** - The Car number must be a minimum dimension of 1 inch by 1 inch. The Car number must be located on top of the headrest behind the Driver's helmet. Letters and numbers must be in Impact font, black or white in color and no trim is permitted. (See Illustration).



4.5.3.7. Tub - The Car number and Driver's first and last name must be 1 inch tall each. Letters and numbers must be in Impact font, black or white in color and no trim is permitted. This must be located directly behind the headrest. (See Illustration).



**4.5.3.8. Rear Wheel Guards - All Events** -The Car number located on the side of the wheel guards must have a minimum height of the numeric digit of nine (9) inches and the top of the numeric digit must be six (6) inches from the top of the rear wheel guard or as Homologated.



This illustration applies only to the Dallara Aero Kit.

- **4.5.3.8.1.** Speedway Events The rear facing Car number must be readable from the rear and the minimum height of the numeric digit must be six (6) inches.
- **4.5.3.9.** All aspects of the Car number including, without limitation, height and width must be approved by INDYCAR prior to use.
- **4.5.3.10.** Car Appearance INDYCAR has the right to control the appearance of Cars to distinguish one Car from another. This may include, without limitation Car color. Cars are required to display significantly different colors. In general, INDYCAR may require different color schemes on any four of the following seven parts:
  - a) Mirror housing
  - b) Air intake
  - c) Roll hoop camera cover
  - d) Front and rear wing end fences
  - e) Leading edge of the front wing
  - f) Leading edge of the rear wing
  - g) Attenuator
  - **4.5.3.10.1.** Rookie Designation Rookies must display a fluorescent yellow stripe on the beam wing rear edge at all Events and a fluorescent yellow number in the wheel pod for all Speedways. (See illustration).



# 5.1. General

- **5.1.1.** All Entry applications shall be completed on forms provided by INDYCAR in the manner required by INDYCAR and shall be subject to the approval of INDYCAR. Entries are not transferable without the prior written approval of INDYCAR.
- **5.1.2.** An Entry consists of an Entrant, Driver, numbered Car and named Car.

# 5.1.2.1. Entrant

- **5.1.2.1.1.** An approved Entry serves as the authorization to compete in a specific Event or Events(s) on behalf of the specific Entrant to whom the Car number is assigned and acknowledgement of an agreement to the Rules.
- **5.1.2.1.2.** If an individual/entity participates in any Event without having properly submitted fully executed Entry form materials, the individual/entity, by such participation, nevertheless agrees that the individual/entity is subject to all Rules and all statements, releases and obligations appearing in the Entry form materials for the Event, as if the individual/entity had properly submitted fully executed Entry form materials.
- **5.1.2.1.3.** In recognition of the support of racing fans and efforts and monies expended by sponsors, INDYCAR and Event Promoter in connection with the Events, Entrant, on behalf of Entrant Group, agrees to participate in any and all portions of on-Track Events in which Entrant has submitted

and INDYCAR has approved, an Entry. Entrant agrees not to engage alone or with others in tactics which would disrupt or delay the on-Track Events and to cooperate fully with ceremonies preceding, during and following the on-Track Event and to refrain from any conduct which would disrupt or detract from such ceremonies.

- 5.1.2.1.4. Entrant understands and agrees that INDYCAR has the right at any time to withdraw the privileges of participation or otherwise reject, exclude or disgualify any member of Entrant Group, including but not limited to any Car, Driver or other Entrant Participant, if INDYCAR in its discretion determines that rejection, exclusion or disgualification is in the best interest or integrity of INDYCAR, the IndvCar Series or the promotion of Events. Entrant, Driver and any third party they represent, including without limitation other Entrant Participants, shall have no claim for damages or injunctive relief against INDYCAR, any Event Promoter and/or their representatives by reason of such rejection, exclusion or disgualification.
- **5.1.2.1.5.** Entrant shall receive a designated number of annual credentials, Event only credentials, race mode credentials and passenger car parking passes for each Event as determined by INDYCAR.

#### 5.1.2.2. Driver

- 5.1.2.2.1. Each Entry form must list a Driver.
- **5.1.2.2.2.** Another Driver who has qualified for the same Oval Event may be substituted

for the original Driver, provided notification is given to INDYCAR and INDYCAR approves the substitution. No Driver substitutions will be approved during a Race after the conclusion of the parade and pace laps.

# 5.1.2.3. Car

### 5.1.2.3.1. Participation of a Car in an

**Event -** In order to participate in an Event, the Car must be properly entered and be designated and explicitly identified by Car number on only one Entry form for that Event. Notwithstanding any prior INDYCAR approval, any Car participating in an Event while in noncompliance with the above requirements may be disqualified and any Competitor who causes such noncompliance may be disqualified and/or otherwise penalized by INDYCAR.

### 5.1.2.3.2. Car Substitution during an Event ("Backup Cars")

- **5.1.2.3.2.1.** An Entrant's Backup Car must remain on the transporter without an Engine installed. The Backup Car may only be removed, have an Engine installed and used in any on Track activities during the Event with prior approval by INDYCAR.
- **5.1.2.3.2.2.** An Entrant is permitted to remove its Backup Car from its transporter and install an Engine, provided the Entrant is scheduled to participate in another INDYCAR-approved activity within the next 7 days.
- 5.1.2.3.2.3. For international Events, INDYCAR may require engines be

installed in all Backup Cars as determined by space availability.

# 5.2. Fees

**5.2.1.** Entry fees must be paid in good funds as directed by INDYCAR.

### 5.3. Deadline

**5.3.1.** The Entry deadline shall be stated on the Entry form. Unless otherwise specified on the Entry form, the hour of closing shall be 5pm E.D.T. on the day of the Entry deadline. INDYCAR may accept or refuse to accept late Entries. Late Entrants, if accepted, may be penalized by INDYCAR.

# 5.4. Electronic Entries

**5.4.1.** An Entry by computer, facsimile or other means delivered by the closing of the Entry deadline may be accepted, provided it is subsequently accompanied by the original completed Entry form and Entry fee within 48 hours of INDYCAR's receipt of the electronic Entry or as otherwise directed by INDYCAR.

# 5.5. Reopening of Entries

**5.5.1.** If INDYCAR postpones an Event, INDYCAR may authorize the reopening of Entries. Reopened Entries shall close not less than forty-eight (48) hours before the declared starting time of the postponed Event.

### 5.6. Failure to Participate

**5.6.1.** Any Driver, Entrant, or other Member who by Entry form or other means has agreed to participate in an Event may be penalized for failing to participate in any portion of such Event.

# 5.7. Entry Change

**5.7.1.** All changes to an approved Entry form shall be completed on INDYCAR provided forms and subject to approval by INDYCAR prior to the Entrant's Car participating in any on Track activities. Notwithstanding the foregoing, a substitute Driver must be approved pursuant to <u>Rule 5.1.2.2.2</u>.

# 5.8. Points and Awards

- **5.8.1.** Entrants and Drivers displaying the mandatory award sponsors and otherwise complying with the Rules may be eligible to earn points and awards.
- **5.8.2.** All awards may be withheld by INDYCAR in whole or in part, or denied in whole or in part, if INDYCAR determines in its discretion that any member of Entrant Group has failed in any way to comply with the terms and conditions of the Rules.

# 6. TESTING

# 6.1. General

- **6.1.1.** Members are only permitted to conduct Testing in accordance with these Rules.
- **6.1.2.** These Rules apply from August 31, 2015 through September 18, 2016.
- **6.1.3.** Testing requests must be submitted via IRIS to INDYCAR for prior approval with the exception of rig Testing which must be reported via IRIS to INDYCAR, with the following notice periods:
  - a) On-Track Tests twenty-one (21) calendar Days,
  - b) Full Size Wind Tunnel Tests seven (7) calendar Days,
  - c) Complete Car Rig Tests seven (7) calendar Days

If a test is approved, INDYCAR may establish conditions for approval, including without limitation, requiring the presence of an Official. Cancellations must be sent via IRIS to INDYCAR a minimum of seventy- two (72) hours prior to the Test to avoid charges by INDYCAR.

- **6.1.4.** Violations of <u>Rule 6</u> shall result in penalty, including without limitation, the loss of up to 50 Entrant, Driver, and/or Engine Manufacturer points and a monetary fine not to exceed \$500,000.
- **6.1.5.** Unless otherwise expressly stated, any combination of Drivers may participate in a Test. The Driver is not required to be the Entrant's current Driver. The Driver, including but not limited to

Current Indy Lights Series Drivers participating in approved Testing, must hold a current IndyCar Series Driver's License/Membership and be in good standing with INDYCAR.

6.1.6. Tires – An Entrant must select quantity from its total allotment of tires, subject to availability.

### 6.2. Approved Testing

- **6.2.1. Team Tests and Full Size Wind Tunnel Tests -** A Team participating with one or more Full Season Entrants is permitted a total of eight (8) Test Days, made up of the following: Team Tests and Full Size Wind Tunnel Tests.
  - **6.2.1.1. Team Tests** A Team Test is a Test organized by an Entrant. An Entrant must permit other Entrants to participate, provided such Entrants are in good standing with INDYCAR (up to the maximum number permitted by the facility).
  - **6.2.1.2. Full Size Wind Tunnel Tests** Full Size Wind Tunnel Tests are restricted to a maximum of 12 hours within any 24-hour period.
- **6.2.2.** Promoter Tests A Promoter Test is a Test organized by INDYCAR. INDYCAR has scheduled the following Promoter Tests:
  - a) February 26 & 27, Phoenix
  - b) March 11, St Petersburg
  - c) April 22, Barber Motorsport Park
  - d) May 12, Indianapolis Motor Speedway Road Course

- e) June 24, Road America
- f) July 29, Mid-Ohio Sports Car Course
- g) September 16, Sonoma Raceway
- **6.2.2.1.** All Entrants are permitted to participate in a Promoter Test.
- **6.2.2.2.** Promoter Tests are mandatory for each Leaders Circle Entrant. Failure to attend and actively participate in a Promoter Test shall result in a \$5,000 monetary fine and loss of one (1) Team Test Day.
- 6.2.3. Additional Test Days Unless otherwise expressly stated, each of the following Tests is permitted in addition to the Test Days provided by <u>Rule 6.2.1</u> and <u>Rule 6.2.2</u>.
  - **6.2.3.1. Safety Test** A Safety Test is a Test organized by INDYCAR. Aero Kit Approved Suppliers must demonstrate safe running of all Aero Kits to INDYCAR in order for those Aero Kits to be approved for use in the Indianapolis 500® Mile Event. Each Full Season Entrant is permitted to participate in one (1) Safety Test Day on April 6 on the Indianapolis Motor Speedway oval.
  - **6.2.3.2. Rookie Tests** A Team participating with one or more Full Season Entrants is permitted two (2) Team Test Days for the purpose of Testing a Rookie Driver. Additional Test Days may be approved by INDYCAR. During a Rookie Test, a veteran Driver is permitted to participate in ten (10) laps of on-Track activity at the beginning of the Test Day for the purpose of establishing the Car set-up to be used as the baseline for the Rookie Driver's Rookie Test.

- **6.2.3.2.1.** Indianapolis 500® Mile Race -All Rookies participating at only the Indianapolis 500® Mile Race are permitted one (1) Test Day at a Super Speedway for the purpose of a Rookie evaluation prior to practice for the Indianapolis 500® Mile Race. Notwithstanding the foregoing, all Rookies participating at only the Indianapolis 500® Mile Race must successfully complete the Rookie Orientation Test required by <u>Rule 4.3.8.1</u> at the Indianapolis Motor Speedway on May 16.
- **6.2.3.2.2. Texas / Pocono** All Rookies must successfully complete a Super Speedway Rookie evaluation prior to competing in a Super Speedway Promoter Test or practice for a Super Speedway Race, whichever occurs first. An INDYCAR Official must be present at this evaluation. This will not count against an Entrant's Test Day or Rookie Test Day allocation.
- **6.2.3.3. Indy Lights Series Driver Test** A Team participating with one or more Full Season Entrants is permitted one (1) Team Test Day for the purpose of Testing a Current Indy Lights Series Driver in an IndyCar Series Car. On-Track time may be divided between an IndyCar Series Driver and a Current Indy Lights Series Driver. The IndyCar Series Driver is restricted to matching the lap count of the Indy Lights Series Driver as approved by INDYCAR during the Test.
- **6.2.3.4.** New Team Tests A New Team is a Team having not participated with one or more Full Season Entrants in the past two (2) years. A New Team is permitted four (4) Team Test Days, as approved by INDYCAR.

### 6.2.3.5. Media/TV/Photograph Tests –

Entrants requiring a running Car for the

purposes of promotion, sponsor commercial, or special marketing needs may be granted additional Test Day(s), subject to INDYCAR approval.

**6.2.4.** Indy Lights Team – Each Team participating with at least one Full Season Entrant in the IndyCar Series and at least one Full Season Entrant in the Indy Lights Series is permitted a total of one (1) Team Test Day to be used with an IndyCar Series Car.

The Team Test Day is earned and may be used by a Team once the Indy Lights Series Entrant has participated in its fifth  $(5^{th})$  Indy Lights race.

For purposes of this Rule, the IndyCar Series Entrant and the Indy Lights Series Entrant must be the same Member and the Entrant must submit only one Entrant License. All Entry forms for both series must include the same Entrant name (with the exception of the d/b/a) and Entrant tax identification number. For purposes of this Rule, Entrant is determined without regard to Car number.

### 6.3. INDYCAR-Approved Venues

- **6.3.1.** Tests must only occur at the following INDYCAR-approved venues, except as otherwise approved by INDYCAR in writing:
  - **6.3.1.1.** All 2016 IndyCar Series North American Race venues, except street course venues.

# 6.3.1.2. Road Courses

- Circuit of The Americas Austin
- Homestead Miami Speedway
- Mazda Raceway Laguna Seca
- Palm Beach International Raceway
- Sebring International Raceway
- Watkins Glen International

# 6.3.1.3. Ovals

- Auto Club Speedway
- Chicagoland Speedway
- Homestead Miami Speedway
- Kansas Speedway
- Michigan International Speedway
- Milwaukee
- New Hampshire Motor Speedway

**6.3.1.4.** Straight line Testing at venues other than those approved by INDYCAR is not eligible for INDYCAR's standard participant accident insurance coverage.

# 6.4. Testing Periods & Restrictions

- **6.4.1.** Except for Promoter Tests provided in <u>Rule</u> <u>6.2.2</u>, on-Track Testing is not permitted within seven (7) calendar Days prior to the start of any on-Track activity at any Race Location (e.g., first practice Friday / Testing concludes end of the Day Thursday week prior).
- **6.4.2.** In addition, on-Track Testing is not permitted on the following days:
  - a) September 1, 2015 to September 13, 2015 (Post Season)
  - **b)** November 25, 2015 to November 30, 2015 (Thanksgiving)
  - c) December 21, 2015 to January 3, 2016 (Holiday)
  - **d)** February 2, 2016 to February 4, 2016 (Driver physicals, pre-season meetings)
  - e) May 9, 2016 to May 30, 2016 (Indianapolis)

**6.4.3.** The Testing period restrictions in <u>Rule 6.4.2. a)</u>. <u>b)</u>, <u>c)</u> apply to full size wind tunnel and rig Testing.

### 6.5. Additional Testing Restrictions

- **6.5.1.** A non-Full Season Entrant shall be permitted to test as determined by INDYCAR.
- **6.5.2.** Members agree to provide a minimum of one hour of media availability per on-Track Test Day.
- **6.5.3.** Test Days and/or mileage must only be used by the designated Entrant and must not be sold or assigned.
- **6.5.4.** An INDYCAR-approved private test facilitator must be present at all on Track Tests, except as otherwise approved by INDYCAR. Entrant agrees to cooperate with any and all directives of the private test facilitator.
- 6.5.5. Model wind tunnel and rig Testing is permitted.
- **6.5.6.** Members shall not Test in simultaneous on-Track activity with any non IndyCar Series vehicles (including without limitation Indy Lights Series cars).
- **6.5.7. Tire Testing** In Season Tire Testing will be under INDYCAR approved special circumstances only. If approved, participation is restricted to two (2) Days per Team by invitation from Firestone - all Teams will be permitted to have one (1) Car participate in Team Testing run concurrently with the Tire Test.
- **6.5.8. Engine Manufacturer Test** An Engine Manufacturer Test is a Test organized by an Engine Manufacturer for Engine testing to which selected Entrants are invited to participate. An Engine Manufacturer must permit other Engine Manufacturers to participate, provided such Engine Manufacturers are in good standing with INDYCAR
(up to the maximum number permitted by the facility).

- **6.5.8.1.** Each Engine Manufacturer is restricted to two (2) Test Days between September 14, 2015 and March 3, 2016 for Engine Testing.
- **6.5.8.2.** No Team may use more than one (1) Engine Manufacturer Day in the period specified in <u>Rule 6.5.8.1.</u>
- **6.5.9.** Aero Kit Test An Aero Kit Test is a Test organized by an Approved Supplier to which selected Entrants are invited to participate.
  - **6.5.9.1.** Each Approved Supplier is restricted to three (3) Test Days between September 14, 2015 and July 31, 2016 for Aero Kit Testing.

# 7. AT TRACK PROCEDURES

#### 7.1. On Track Conditions

- **7.1.1.** INDYCAR determines the Track Condition. Track Conditions include the following:
- **7.1.2. Green Condition** The Green Condition signifies racing conditions.
- **7.1.3. Yellow Condition** The Yellow Condition signifies caution.
  - **7.1.3.1.** Ovals Events –All Drivers shall reduce speed immediately, proceed with caution, maintain position and yield to safety vehicles and/or personnel.
    - 7.1.3.1.1. Practice/Qualifications Lap timing ceases at the declaration of a Yellow Condition. Cars are required to return to their respective pit locations.
  - **7.1.3.2.** Road/Street Courses (Local) All Drivers shall reduce speed by a minimum of 15% or greater if conditions warrant and be prepared to stop. Overtaking is not permitted between the first Yellow Condition and the subsequent Green Condition.
  - **7.1.3.3. Full Course Yellow All Events During a Race** - All Drivers are required to reduce speed and proceed with caution, observe the flags, maintain position and yield to safety vehicles and personnel. Upon the commencement of a full course Yellow Condition, INDYCAR shall close the pits. The Safety Car will be dispatched and pick up the leader. Drivers are required to form a single file line and pack up behind the Safety Car. Cars not packing up in an expedient manner may be

passed only upon approval by INDYCAR. The following procedures will be in effect:

- a) The pit lane shall remain closed until INDYCAR declares the pit lane open.
- b) Any Driver at or past the pit commit line prior to the pit lane being closed may make a pit stop and exit the pit lane with caution.
- c) Any Driver entering a closed pit lane may avoid penalty by proceeding through the pit lane without stopping.
- d) Only required work may be performed on the Car while the pits are closed. If required work is performed on the Car while the pits are closed, the Car must restart from the back of the field. INDYCAR may penalize any Competitor who performs work on its Car other than required work.
- **7.1.3.4.** When the pit lane is declared to be open, all Cars may enter the pit lane at their discretion.
- **7.1.3.5.** The pit lane will start and end at points designated by INDYCAR. The pit entrance and pit exit lanes are considered part of the Track. Drivers exiting the pit lane shall rejoin the pack relative to the position they are to the Safety Car and/or Cars on the Racing Surface as they cross the blend line. The blend line is the time line that determines the sequential order of Cars on-Track relative to Cars exiting pit lane under a Yellow Condition. Taking improper position upon leaving the pit lane or failing to follow the direction of INDYCAR may result in a penalty. INDYCAR's determination of the order is not subject to review and/or appeal.

- **7.1.3.6.** A Car must not use pit lane to improve its position relative to the Safety Car or any Car remaining on the Racing Surface, but a Car may improve its position relative to other Cars in pit lane.
- **7.1.3.7.** Laps completed will be scored, unless stated otherwise.
- **7.1.3.8.** INDYCAR shall determine if the leader or any other Car fails to pace the Race as directed. INDYCAR shall determine the type and timing of any penalty, as it deems appropriate. Such decision is not subject to review and/or appeal.

# 7.1.3.9. During the Yellow Condition, no Car may pass another Car unless:

- **7.1.3.9.1.** The other Car is stopped on the Track or the Driver of the other Car has waved by all of the passing Cars in an INDYCAR-designated location and promptly confirms such action by communication to INDYCAR;
- **7.1.3.9.2.** The other Car is not maintaining the pace lap speed; or
- **7.1.3.9.3.** Either Car is in the pit lane boundaries.
- **7.1.3.10.** Overtaking the Safety Car or another Car during a Yellow Condition without authorization by Officials may result in a penalty.
- **7.1.4. Red Condition** The Red Condition signifies suspension of on-Track activities. All Drivers are required to slow to caution speed, maintain position and yield to safety vehicles and personnel. All timing and scoring shall be suspended at the declaration of the Red Condition.

- **7.1.4.1. Practice/Qualifications** -Cars are required to return to their respective pit locations.
  - **7.1.4.1.1. Practice** Any Driver involved in an incident that results in the declaration of a Red Condition during a practice session will be subject to the following:
  - a) Any time a Driver is involved in more than one (1) incident that results in the declaration of Red Condition during the same practice session, that Driver will be assessed a penalty of ten (10) minutes of Green Condition time during that session for each incident.
  - b) Any Driver requiring the assistance of Track Safety/Officials to return to pit lane during the Red Condition will be assessed the appropriate aforementioned penalty.
- **7.1.4.2.** Parade and Pace Laps / Race The following procedures will be in effect:
  - a) Competitors must proceed cautiously to a location designated by Officials. The pit lane is declared to be closed in a manner consistent with <u>Rule 7.1.3.3.</u>
  - **b)** Two (2) crewmembers are allowed over the wall to attend to the Car and Driver.
  - c) Unless otherwise approved by INDYCAR, the work permitted on Cars while in the designated location consists of plugging in a booster battery, applying towels to bodywork and providing the Driver a drink bottle and fan. Cars must return to their pit boxes for any additional work.

- d) Items which may produce a safety issue must be repaired, replaced and/or removed at the direction of INDYCAR. Cars must return to their pit boxes for work.
  - **7.1.4.2.1.** INDYCAR approved work performed on a Car while under a Red Condition, including under <u>Rule 7.1.4.2.d</u>, will result in the Car being placed at the rear of the restart lineup.
  - **7.1.4.2.2.** Additional work performed on a Car not related to INDYCAR approved safety issues while under a Red Condition will result in a minimum two (2) lap penalty, which will be enforced in a manner determined by INDYCAR.
- **7.1.5.** The decision as to whether to declare a Green, Yellow, Full Course Yellow, or Red Condition may not be reviewed and/or appealed.
- **7.1.6.** While additional information regarding Track Condition is included in the Flag Code and Track Condition Panels section for convenience, this information is equally applicable to the other systems of notification (track lights, team broadcast frequency, track condition radio and instant messaging).
- **7.1.7.** Decisions by INDYCAR are effective immediately, regardless of the time the declaration is received by the Competitors. INDYCAR uses multiple systems to notify Competitors of such decisions and will make a reasonable effort to declare Track Condition changes at the time they are determined. All Competitors shall react to the first notification they receive. The systems include the following:

- 7.1.7.1. Track Lights Green, Yellow and/or Red lights positioned around the Track above the retaining wall adjacent to the debris fence.
- **7.1.7.2.** "Team Broadcast Frequency" The radio frequency used by INDYCAR to provide direct communication to Entrants during Events. The spotter (if applicable) and a representative of the Entrant in the Entrant's pit must both monitor the Team Broadcast Frequency. Entrants failing to monitor the Team Broadcast Frequency shall be charged with knowledge of communication on such frequency and are subject to penalty. Member radios, with the exception of Officials, must not be capable of transmitting on this frequency. Members failing to follow directions may be penalized.
- 7.1.7.3. Track Condition Radio ("TCR") An in-Car warning light system activated by INDYCAR. All Cars must use the INDYCARsupplied TCR and wiring harness without modification.
  - 7.1.7.3.1. Oval Events The TCR signals a Yellow Condition.
  - 7.1.7.3.2. Road/Street Course Events -The TCR signals a Full Course Yellow during a Race or Red Condition during, practice or qualifying sessions.
- 7.1.7.4. Instant Messaging A two-way software messaging system used to provide direct text communication between Entrants and INDYCAR during Events. INDYCAR will send messages where applicable to the Entrant(s). This system may be used to communicate Track conditions, send warnings, penalties, or other information to the Entrant. The system may send information to an individual Entrant or to all Entrants. All Entrants must connect to the

INDYCAR-supplied instant messaging system prior to Cars going on-Track.

#### 7.1.7.4.1. Instant Messaging Protocol –

In addition to messages sent by INDYCAR to Entrants pursuant to <u>Rule 7.1.7.4</u>, Entrants may send relevant messages to INDYCAR. Use of the instant messaging system for any reason, including, without limitation, irrelevant, abusive, and/or unnecessarily repetitive messages, will result in monetary penalties.

#### 7.2. Flag Codes and Track Condition Panels (collectively, "Flags")

- **7.2.1. Green** signifies a Green Condition. During all Events:
  - a) Practice A practice session has begun.
  - **b) Qualifications** A qualifications attempt or segment has begun.
  - c) Race Unless otherwise instructed, a Race has begun.
  - **7.2.1.1.** Road/Street Courses Events Only-Signifies the end of the Yellow Condition at the point where the flag is displayed or panel is illuminated.
- **7.2.2. Yellow** signifies a Yellow Condition. During Road/Street Course Events:
  - **7.2.2.1.** Local Signifies the start of the Yellow Condition at the point where the flag is displayed or panel is illuminated.
  - a) Motionless A motionless yellow flag means reduce speed, overtaking not permitted, be prepared to change direction, a hazard beside

or near the edge of the Track or a forewarning of a waved yellow flag ahead.

- b) Waved A waved yellow flag means reduce speed, overtaking is not permitted, be prepared to change direction or stop, a hazard wholly or partially blocking the Track.
- **7.2.2.2. Full Course** Standing double yellow flags displayed at all marshal's posts. Conditions at the incident will be flagged appropriately.
- **7.2.3. Black** The Driver shall proceed to the pit area on the next lap and follow the instructions of INDYCAR.
  - **7.2.3.1.** INDYCAR may declare a black flag for a Car if it determines that:
    - a) Any condition exists which could cause the Driver or the Driver's Car to create a hazard to the Driver or others;
    - b) The Driver has violated a Rule; and/or
    - c) The Entrant has requested the black flag.
  - **7.2.3.2.** When a Driver is ordered to the pit lane because of a hazardous condition of the Driver's Car, the proper repairs must be made and approved by INDYCAR before the Car will be permitted to continue in the Event.
  - **7.2.3.3.** In the event of a violation of the Rules, the black flag may be declared to impose a penalty (a "black flag penalty") which may include repositioning Cars or requiring a Driver to:
    - a) Drive through pit lane at pit lane speed limits ("drive through");

- b) Stop in pit lane ("stop and go"); or
- **c)** Stop in pit lane for a prescribed period ("stop and hold").
- **7.2.3.4.** INDYCAR shall determine the type and length of any black flag penalty. Service of a black flag penalty must be initiated under Green Conditions. The penalized Car shall proceed to the pit lane as directed by INDYCAR and may return to competition only upon the declaration of INDYCAR. In the case of a Driver violating the Rules, no work may be performed on a Car during the execution of a black flag penalty. Should any such work occur, the conditions of the penalty are unfulfilled and the penalty procedure must be executed again in its entirety on a subsequent lap.The penalty may not be reviewed and/or appealed.
- **7.2.4.** Black with White Cross INDYCAR has ceased scoring the Car indefinitely.

#### 7.2.5. Blue

- **7.2.5.1.** An approaching Car is attempting to overtake and the Car being signaled must give consideration to the overtaking Driver.
- **7.2.5.2.** At Road/Street Course Events, when displayed from the starters stand to a Lapped Car, as ordered directly by INDYCAR, the blue flag is a command to immediately give way. During the Race, any Car failing to give way within one (1) lap of the display of the blue flag from the starters stand will be penalized.
- 7.2.5.3. At Oval Events, the blue flag will be displayed from the starter stand as per <u>Rule</u> <u>7.2.5.1</u>, unless ordered by INDYCAR. At that time, the blue flag is a command to immediately give way and any Car failing to give way within

one (1) lap of the display of the blue flag will be penalized.

7.2.5.4. Pit Lane Exit Light – For Road and Street Courses Events only, if another Car on-Track is approaching the pit lane exit, the pit exit lights will flash blue to warn Drivers leaving the pits.

#### 7.2.6. White

- 7.2.6.1. Oval Event Qualifications The Car has commenced its last lap.
- **7.2.6.2.** Road/Street Course Events- When displayed from a marshal's post, a Car or safety vehicle is not at full speed on the Racing Surface.
- a) Motionless means a slow Car at more than 1/3 racing speed is ahead or as a forewarning of a waved white flag ahead.
- b) Waved a very slow car at less than 1/3 racing speed is ahead or a moving safety vehicle is ahead.
- **7.2.6.3. All Races** When displayed from the starter's stand, the leader has commenced his/her last lap and will continue to be displayed to all successive Cars as they cross the finish line.
- 7.2.7. White with Red Cross When displayed from the starters stand, safety vehicles and/or personnel are on Track.

- 7.2.8. Alternating Red and Yellow Stripes Oil, water or other substance has altered the Racing Surface.
- 7.2.9. Checkered The practice session, qualifying session or Race is completed. All Drivers must enter the pits after receiving the checkered flag.
  - **7.2.9.1.** Road/Street Course Events The checkered flag will be displayed at the marshal's post nearest the alternate start/finish line at the end of practice and qualifying sessions. All Drivers must immediately enter the pits after receiving the checkered flag at this point.
  - 7.2.9.2. Final Practice Sessions The checkered flag will be displayed at the start/finish line.
  - **7.2.9.3. Race** The checkered flag will be displayed to the Race leader at the start/finish line upon the completion of the Race Leader's final lap and will continue to be displayed until each Car running has received the checkered flag.
- 7.2.10. Red Signifies a Red Condition.
  - **7.2.10.1.** Unless otherwise declared by INDYCAR, a Race stopped by the declaration of a Red Condition will be considered incomplete unless more than 50% of the scheduled number of laps or declared time limit has been completed by the Race leader. If INDYCAR schedules the continuation of the Race on a subsequent date or a later time, the Race will be restarted beginning with the unfinished portion of the Race.
  - **7.2.10.2.** If a Race is stopped by the declaration of a Red Condition and more than 50% of the

scheduled number of laps or declared time limit have been completed by the Race leader, INDYCAR may declare the Race complete and final standings will be determined by ranking all Cars in order by total laps completed and sequence of completion through the last official Race lap.

- **7.2.10.3.** Officials will make reasonable efforts to restart a Race stopped by the declaration of a Red Condition if the conditions warrant.
- **7.2.10.4.** INDYCAR's decision to restart or not to restart a Race stopped by the declaration of a Red Condition may not be reviewed and/or appealed.

#### 7.3. Timing and Scoring

# 7.3.1. Race Distance and/or Time Limit

- **7.3.1.1.** INDYCAR shall announce the scheduled number of laps and/or time limit prior to the start of a Race.
- **7.3.1.2.** If INDYCAR determines that there are time limitations due to weather, curfew, or otherwise, INDYCAR may move the start time of a Race, shorten the distance or time of a Race, set a maximum distance or time for a Race, or take such other actions as it deems appropriate.

# 7.3.2. Systems

- **7.3.2.1.** The electronic scoring system is the primary scoring record. INDYCAR will record the physical sequence in which each Car crosses the start/finish line, as defined in <u>Rule 7.3.3.</u>, including in pit lane.
- **7.3.2.2.** INDYCAR may use other substantiating scoring systems.

- **7.3.2.3.** If INDYCAR cannot visually determine the position of a Car relative to other Cars, INDYCAR may consult with other Officials and access such other data as it determines necessary or appropriate to determine the order of the Cars.
- **7.3.3. Start/Finish Line** The scoring of Cars shall begin at the moment when:
  - a) Race the timing transponder of the lead Car reaches the starting line after the prescribed number of parade and pace laps have been completed.
  - **b) Practice/Qualifications** the declaration of the Green or Yellow Condition has been given by INDYCAR.
    - **7.3.3.1.** Oval Events and Indianapolis **500® Mile Race** - A single start/finish line will be defined across the Track and extended across the pits where appropriate, in the immediate area of the starter's stand.
    - 7.3.3.2. Road/Street Course Events -INDYCAR may designate an alternate or separate start/finish lines.

#### 7.3.4. Lap Credit

- **7.3.4.1.** A Car will be credited with a lap when its timing transponder crosses the start/finish line after completing one entire lap of the Track with two wheels of the Car having remained on the Track at all times, as determined from the scoring records. Notwithstanding the foregoing:
  - **7.3.4.1.1.** If a Car returns to pit lane under its own power and retires from the Race,

INDYCAR may credit the Car with completion of the lap.

- **7.3.4.1.2.** On the last lap of a Race, a Car will be officially credited with a lap when any part of the Car under its own power crosses the finish line.
- **7.3.4.1.3.** At Oval Events, Drivers must not place any of the four wheels of the Car on or below the solid boundary line at any time.
- 7.3.4.2. A Car shall be considered the first Car out of the Race and shall be awarded the final finishing position based on the following order:
- a) The Car is a non-starting Car pursuant to <u>Rule</u> <u>10.3.5.</u>
- b) The Car does not leave the starting grid and does not return to the Race,
- c) The Car drops out during the parade or pace laps, or
- **d)** The Car drops out of a Race before completion of the first lap.
  - **7.3.4.2.1.** In the event more than one Car is affected in one of the above categories, INDYCAR shall rank such Cars based on their original starting grid positions.
- **7.3.4.3.** Final standings will be determined by the sequence in which the Cars completed the scheduled number of laps.
  - **7.3.4.3.1.** Except as provided in <u>Rule 7.3.4.1.2</u>, a Race will be completed by the Race winner at the moment the timing transponder of the Car crosses the start/finish line on the last lap. The Race will

be completed by each other Car when the timing transponder of each Car crosses the start/finish line after the Race leader. Scoring will thereafter cease and the Race is completed. INDYCAR's decision may not be reviewed and/or appealed.

**7.3.4.3.2.** Cars not completing the scheduled number of laps will be ranked in order by total laps completed and sequence of completion, whether the Car is still running or not. INDYCAR shall determine the "reason out" for each Car not listed as running. INDYCAR's decision may not be reviewed and/or appealed.

#### 7.3.5. Ties

- **7.3.5.1.** In the event INDYCAR is unable to conclusively determine any difference in the physical sequence for two or more Cars at the end of a Race, INDYCAR shall determine the finishing positions based upon the Cars' positions at the start/finish line on the prior lap.
- **7.3.5.2.** In the event two or more Cars post the identical number of laps led in a Race, the Car finishing the Race in the higher/highest position will earn the award for most laps led.
- **7.3.5.3.** INDYCAR's decisions are not subject to review and/or appeal.
- **7.3.6.** Shortcuts A Car will not be permitted to advance or maintain its position relative to other Cars due to an excursion off the Racing Surface unless the excursion was due to the Car taking evasive action.
  - **7.3.6.1.** Practice/Qualifications Cars shortcutting the course will have the shortcut lap time invalidated.

**7.3.6.2. Race** - If evasive action results in the advancement of position relative to Cars on-Track, INDYCAR may reposition Cars in accordance with <u>Rule 9.2.2.4.</u>

# 7.4. Pit Locations/Selection

7.4.1. Non Indianapolis 500® Mile Race Events

– Pit locations for Race Locations shall be determined based upon the Entrant's position in the qualifications results (inclusive of penalties) at the previous non-Indianapolis 500® Mile Race Location. Pit locations will begin with the pole position Entrant receiving the stall at pit out and continuing through the qualifications results to pit in. Team pits will not be averaged.

- **7.4.1.1.** If an Entrant did not participate at the prior Race Location, it shall be assigned a pit location as follows:
  - 1) Entrant points
  - 2) Blind draw
- **7.4.1.2.** For the first Race Location of the season, pit locations shall be assigned based upon prior season ending Entrant point standings. INDYCAR shall determine an Entrant's eligibility. Entrants without points shall be assigned by the date that Entry was received.
- **7.4.1.3.** If qualifications do not occur at the prior Race Location, pit locations for the subsequent Race Location shall be assigned based upon the starting positions (not qualification results) established at the prior Race pursuant to <u>Rule 8.1.10.1</u>.
- 7.4.1.4. At a Double-Header Race Location, the pit location will not be moved between Race One and Race Two. Race Two qualifications will

determine the subsequent Race Location pit selection.

#### 7.4.1.5. Indianapolis 500® Mile Race:

Practice - Following the Indianapolis Road Course Race, all Entrants are required to move all of their Pit equipment to their designated practice location. Pit locations shall be selected by an Entry based upon the Entry's position in the current Entrant point standings following the Barber Race. A Team shall average all combinations of its Entries so as to group them together in pit lane. If a Team with points has an Entry without points, this Entry will not be calculated into the average. In addition, partial season Entries will not be calculated into the average. INDYCAR shall determine an Entrant or Entry's eligibility. Entries without points shall be ranked at the end of the point standings by date of Entry receipt.

Pit Selection shall be conducted in the N1 Office on Wednesday May 11<sup>th</sup> at 1:00 PM (E.D.T). INDYCAR shall assign a pit location for any Entry not represented at the designated pit selection time and place. Following the conclusion of practice on Friday, May 20th, an Entrant must move its pit equipment from the practice pit location to the qualifications pit location.

7.4.1.6. Qualifications – Pit locations shall be assigned by INDYCAR based upon the position of the Entry's primary Car in the initial qualifications draw. The pit location for the first primary Car will be the first pit north of Gasoline Alley and continue north through the selection order. Three Cars will be assigned to two pits. A Team shall not have the option to average its Entries. The pit locations will be distributed after the draw is completed and Entrants may move their pit equipment to their qualifications pits at

that time. The same pit assignments will be in place for all qualification days.

**7.4.1.7. Post Qualifications** - Pit locations will be selected as per <u>Rule 8.4.5.</u> A Team shall have the option to average any combination of its Entries within the same qualification group, per <u>Rule 8.4.4.1.4</u> so as to group them together in pit lane. INDYCAR shall determine the time and place for the pit selection. INDYCAR shall assign a pit location for any Entry not represented at the designated pit selection time and place. After the close of Qualifications on Sunday, May 22<sup>nd</sup>, an Entry's pit equipment must be moved from the Qualification pit location to the assigned pit for all post qualifying track activities.

#### 7.5. Race Start

- **7.5.1.** The Car starting from the first position will start from the inside of the front row. All Drivers must place their Cars in their respective positions on the parade and pace laps. If a Car experiences difficulty, with INDYCAR's approval, the Car may return to its original starting grid position any time prior to the conclusion of the parade lap. After this time, the Car shall be moved to the rear of the starting grid. If more than one Car is so affected, INDYCAR shall determine the order at the rear of the starting grid. The remaining Cars in the field must maintain their assigned positions unless otherwise instructed by INDYCAR.
- **7.5.2.** Cars unable to complete the parade or pace laps may not be considered cause for delaying the start. Such Cars will be directed to the pit lane or another designated area and will be permitted to join the Race under the direction of INDYCAR whenever their difficulty is corrected. Unless otherwise instructed, their first scored lap must begin at the starting line on the Racing Surface or at

the pit out line if leaving the pit lane after scoring has commenced.

- **7.5.3.** A Safety Car will be used to pace the field at the start of the Race. The Safety Car will have its flashing lights on during the parade and pace laps. At the appropriate time, the lights will be turned off, indicating intent to start the Race the next time across the starting line. The Safety Car will pull off into the designated location. The lap count shall begin at the conclusion of the pace lap.
- **7.5.4.** All Drivers must maintain their relative positions until the Green Condition is declared. If a Driver improperly improves his/her position prior to the declaration of the Green Condition, the Driver may be penalized. Except as provided in <u>Rule 12.2.1</u>, the imposition or non-imposition of a penalty may not be reviewed and/or appealed.
- **7.5.5.** Upon INDYCAR's declaration, all Cars must start a Road/Street Course Race on "wet" tires. While changes may be made to the Cars on the grid to accommodate the "wet tires", the Entrant remains responsible for complying with post-Race technical inspection. After such a declaration has been made, a Car must complete one (1) official lap on "wet tires", which must begin under a Green Condition as is determined by INDYCAR. The lap may be completed in pit lane even if the Car is pitted prior to the Start/Finish line.

#### 7.6. Race Restart

#### 7.6.1. After a Yellow Condition

**7.6.1.1.** Prior to the restart, any Cars between the Safety Car and the Race leader will be waved by to join the rear of the field. Waved by Cars may pit. INDYCAR may restart the Race prior to the waved by Cars having joined the rear of the field.

- **7.6.1.2.** Single file restarts will be in effect at all Events. After the starter gives the "one (1) lap to go" signal and prior to the restart, Cars must line up in single file formation with no gaps or lagging between Cars. In the event of a restart with 15 laps or less remaining, all Cars not on the lead Car lap will be moved to the rear of the field.
- **7.6.1.3.** When the Track is clear for racing, the Safety Car will assist the field in preparing for a restart. At the appropriate time, the Safety Car lights will be turned off, indicating intent to restart. The leader is required to maintain the pace lap speed until reaching a point designated by INDYCAR near the start/finish line when the leader shall accelerate smoothly back to racing speed and the Green Condition will then be declared. All Car(s) must maintain their respective Track positions until the Green Condition is declared.
- **7.6.1.4.** INDYCAR shall determine the restart procedures, as it deems appropriate. This includes when to give the proper overtaking signal, whether a proper overtaking signal has been given, whether to move Lapped Cars to the rear of the field, whether the leader or any other Car fails to restart the Race as directed and the type and timing of any penalty. All such decisions made by INDYCAR may not be reviewed and/or appealed.
- **7.6.2.** After a Red Condition– All of the standard restart procedures shall apply with the following exceptions:
  - **7.6.2.1.** The Cars will be lined up in order beginning with the Race leader. The restart order of the remaining Cars will be determined by their physical sequence at the declaration of the Red Condition.

- **7.6.2.2.** In addition to the crewmembers permitted under <u>Rule 7.1.4.2.b</u>, one (1) additional crewmember is allowed over the wall to bring the starter and/or cart to the Car, when directed by Officials.
- **7.6.2.3.** Cars unable to restart will be required to go to their respective pit boxes.
- **7.6.2.4.** Provided the Car maintains its lap count relative to the leader, a Car may take its restart position at any time prior to the start of the "one-to-go" lap. After this time, the Car shall be moved to the rear of the restart order.
- **7.6.2.5.** The pits may be opened prior to the "one-to-go" lap.
- **7.6.2.6.** Notwithstanding <u>Rule 7.6.2.1</u>, the following reasons may be cause for a Car to be placed at the rear of the restart lineup:
  - a) Cars involved in a crash during, or subsequent to, the last scored lap. The decision as to whether a Car has been involved in a crash may not be reviewed or appealed;
  - b) Cars stopped on the Track, including the pits, during the last officially scored lap; and/or
  - c) Cars which have performed work under <u>Rule</u> <u>7.1.4.2.1.</u> and/or <u>Rule 7.1.4.2.2.</u>
    - **7.6.2.6.1.** The restart order of Cars placed at the rear of the lineup will be in order by total laps completed and sequence of completion.
- **7.6.2.7.** Any laps being run under a Yellow Condition will be scored. Any Cars remaining in pit lane at the time of a restart may join the Race if approved to compete by INDYCAR.

#### 7.7. Mechanical Conditions

- **7.7.1.** INDYCAR shall determine whether a Car involved in a crash or with a hazardous mechanical condition will be permitted to continue in the Event or must first return to the pit lane or the garage area for necessary repairs.
- **7.7.2.** INDYCAR shall determine how the Car is removed and where the Car is taken. INDYCAR is not responsible for payment, reimbursement, damage, or loss to any Car as a result of such removal and with respect to the location to which the Car is taken.
- **7.7.3.** After any repairs have been completed by the Entrant's crew, the Car is subject to visual or other inspection by INDYCAR prior to and/or during any further competition. If INDYCAR determines that further repairs are warranted, the Entrant's crew must make those repairs before the Entrant's Car is permitted to return to competition.
  - **7.7.3.1. Oval Races** Cars being repaired will not be permitted to return to the Race during the last 20 laps of the Race.
  - **7.7.3.2.** Road/Street Course Races Cars being repaired will not be permitted to return to a Race during the last 10 laps or 15 minutes of a timed Race.
- **7.7.4.** A Car may only receive on Track assistance as directed by INDYCAR.
- **7.7.5.** Officials may approve technical or structural changes during technical inspection due to contact, material, or parts failure.
- **7.7.6.** These decisions of INDYCAR are final and may not be reviewed and/or appealed.

#### 7.8. Performance Standards

**7.8.1.** INDYCAR may establish a performance standard which all Entries entered in an Event must achieve in order to participate in the Event. In general, Entries must perform within 105% of the Car posting the best time and demonstrate Car consistency, control/placement and interaction with other Cars on Track to the satisfaction of INDYCAR. In general, the performance standard will be announced to all Competitors prior to the start of the first practice session and will not be raised after practice has started. However, INDYCAR may delay announcement of the standard until a later time based on the physical condition of the Track, safety and other considerations.

# 7.9. Pit Procedures

- **7.9.1.** INDYCAR may declare Race conditions for any on-Track activity.
- **7.9.2.** Any on-Track activity declared to be run under Race conditions requires attire for pit personnel as set forth in <u>Rule 1.2.7.2</u>.
- **7.9.3.** Cars will not be permitted to enter or exit the garage area under their own power. They must be pushed or towed with a Member in the Car who is able to access the brake pedal at all times.
- **7.9.4.** All Cars must be centered in their assigned pits. The outer point of the inside rear tire must not exceed a maximum of four feet (48 inches) from the front face of the pit wall. The Car must be parallel to the pit wall.
- **7.9.5.** For each Car, a maximum of six (6) crewmembers plus the Driver is permitted on the Track side of the pit wall at any one time when the Car is making a pit stop. They may assume their

positions immediately before the Car arrives.

- **7.9.6.** Equipment and tires on the Track side of pit wall must be attended by a crewmember at all times. The outside front tire changer must have his/her foot on the tire until the Car enters the pit.
- 7.9.7. Except during a pit stop, no personnel or pit equipment, including tires, may be on the Track side of the pit wall.
- **7.9.8.** An Entrant is not permitted to utilize a signboard without INDYCAR approval.
- **7.9.9.** Illuminated devices used in the pit box during an Event must be approved by INDYCAR.
- 7.9.10. No individual shall stand or sit on the pit wall.
- **7.9.11.** Except as otherwise provided by the Rules, all personnel and equipment must remain within the assigned pit.
- **7.9.12.** At all Events, a speed limit of 50 mph will be enforced within the pit lane areas defined by painted lines, cones and/or other visible markings.
- **7.9.13.** Fueling with the aid of pumps, open containers or any other device is not permitted. Only fuel from the pit fuel storage tank may be added to the Car.
- **7.9.14.** During practice, fuel may only be added through the practice dry-break vent system. The Engine must be shut off during any practice refueling. A crewmember must operate fire equipment during any refueling.
- **7.9.15.** The use of the fuel probe without flowing fuel during practice sessions is permitted in accordance with <u>Rule 7.9.13.</u>

- **7.9.16.** A Driver who passes the Driver's assigned pit must continue around the Track and stop on the next available lap. A Car is considered to be in its assigned pit box if the inside rear tire is inside the assigned pit box, has not crossed the centerline and the Driver has not impeded the progress of any other Car.
- **7.9.17.** Any Competitor who, in the opinion of INDYCAR attempts to, or positions a Car, equipment, and/or personnel so as to create a hazard or disruption of the Event or to interfere with the activities of another Competitor may be penalized.

#### 7.10. Pit Safety Violations

- **7.10.1.** Any of the following matters and any others which may be determined by INDYCAR may be cause for a Car to be penalized:
  - **7.10.1.1.** Failing to follow designated procedures entering or exiting the pit area, including the proper use of the acceleration and deceleration lanes;
  - **7.10.1.2.** Attempting to leave the assigned pit with air hoses, fuel hoses, tools or other equipment attached to or hanging from the Car;
  - **7.10.1.3.** Car passing over or under its own air line, hose and/or any other equipment causing a safety issue and/or impeding the progress of any other Car;
  - **7.10.1.4.** Car passing over or under another Car's air line, hose and/or any other equipment causing a safety issue, impacting the Car's pit stop and/or impeding the progress of any other Car;
  - 7.10.1.5. Car that makes contact with its own pit

equipment while entering or exiting its pit stall causing a safety issue and/or causing the pit equipment to leave its assigned pit and/or impeding the progress of any other Car;

- **7.10.1.6.** Car that makes contact with another Car's pit equipment, causing a safety issue and/or impacting that Car's pit stop and/or impeding the progress of any Car.
- 7.10.1.7. Contact with another Car;
- **7.10.1.8.** Contact with personnel or hitting equipment and causing it to contact personnel;
- **7.10.1.9.** Car entering a pit other than the Car's assigned pit.
- **7.10.1.10.** Unsafe release of a Car from its pit box;
- **7.10.1.11.** Pit personnel not wearing proper attire as set forth in <u>Rule 1.2.7.2;</u>
- **7.10.1.12.** More than six crew members are on the Track side of the pit wall during a Race;
- **7.10.2.** Except as provided in <u>Rule 12.2.1</u>, penalties for violations of this Rule may not be reviewed or appealed.

# 8. QUALIFICATIONS

#### 8.1. All Race Locations

- **8.1.1. Overview** This <u>Rule 8.1</u> contains qualifications Rules for all Race Locations. Qualifications determine the eligibility and starting position of each Car desiring to participate in a Race by ranking the Car on the basis of its performance in qualifications.
- **8.1.2. Meeting** INDYCAR may hold a specific meeting prior to the start of any qualifications. INDYCAR may designate the meetings as mandatory for some Members.
- **8.1.3.** Starting Time INDYCAR shall determine the starting time for the qualifications period.
- **8.1.4. Delays** INDYCAR may penalize any Member attempting to delay or delaying qualifications.
- **8.1.5. Interruption and Suspension-** Qualifications may be interrupted or suspended by the commencement of a Yellow or Red Condition. Qualifications is interrupted or suspended at the moment that the decision to interrupt is made. The decision to interrupt or suspend an attempt or qualifications (and reasons therefore) may not be reviewed and/or appealed.

#### 8.1.6. Technical Inspection

- **8.1.6.1. Impound Area** Officials shall direct selected Qualified Cars to a designated "impound area" to check for compliance. A maximum of two crewmembers per Car will be permitted in the impound area.
- **8.1.6.2.** Cars INDYCAR reserves the right to seal any component.

- **8.1.6.3. Fuel** A fuel sample may be taken from all Cars prior to and after the qualifications attempt.
- **8.1.6.4.** Battery An external battery may be connected to the Car when the Car is in the qualifying line.
- **8.1.6.5. Technical Violations** If a Car does not successfully complete post-qualifying technical inspection, INDYCAR may penalize the Car.
- **8.1.6.6.** Qualified Cars All qualified Cars must remain on the grounds of the Race Location after qualifications unless otherwise permitted by INDYCAR.
- **8.1.7. Backup Cars** If a Qualified Car is involved in a crash, the Entrant may replace the Qualified Car with another Car and shall start the Race in the Qualified Car's position. If a Qualified Car is unable to start the Race for any other reason, INDYCAR shall determine if the Entrant is permitted to replace the Qualified Car with another Car and start the Race in the Qualified Car's position or if the Entrant is required to start the Race from the rear of the starting field. The Driver must be approved by INDYCAR in accordance with <u>Rule 1.2.3.3</u>. This decision is not subject to review and/or appeal.

# 8.1.8. Starting Field

- **8.1.8.1.** INDYCAR shall determine the size and configuration of the starting field for each Race. The configuration of the starting field may include qualified and non-qualified Cars. The performance standard shall apply to all Cars at all times during an Event.
- **8.1.8.2.** Except for those positions designated for Provisional Starting Cars and as otherwise

provided by these Rules, the starting field shall be determined by best time rankings, from fastest to slowest, with the fastest qualifier occupying the pole position, followed by the second fastest qualifier, third fastest, etc., down through the slowest qualifier who has not been "bumped".

- **8.1.8.3.** In the event two or more Cars post identical official qualification times, the Cars shall be ranked in the order in which the completed qualification attempts occurred.
- **8.1.8.4.** A Driver who has qualified a Car may not make an attempt to qualify a second Car unless the first Car has been withdrawn from the Event with permission of Officials or the first Car has been eliminated from the starting field.
- **8.1.8.5.** INDYCAR shall determine whether the starting field shall include Provisional Starting Cars. If Provisional Starting Cars are offered, the available starting positions shall be determined pursuant to <u>Rule 8.1.8.2</u>, and the provisional starting positions shall be determined pursuant to <u>Rule 8.1.9.</u> If Provisional Starting Cars are not offered, all starting positions shall be filled pursuant to <u>Rule 8.1.8.2</u>. Starting positions shall include penalties issued during qualifications and post-qualifications technical inspection.
- **8.1.8.6.** If after qualifications and prior to the start of a Race, a Car has had its qualifications time, and/or original starting grid position disallowed, INDYCAR shall assign the Car a revised starting grid position at the rear of the starting field based on the following order:
  - a) Provisional starting Cars;
  - b) Unapproved Engine change (<u>Rule 16.6.2.1</u>);

- c) Technical violations;
- d) Competition violation; and
- e) Substitute starting Driver.
  - **8.1.8.6.1.** If more than one Entry is affected in one or more of the above categories, INDYCAR shall rank such Cars based upon Entrant points entering the Event.
- **8.1.9. Provisional Starting Cars** Except as provided in <u>Rule 8.4.2</u> and applicable to the Indianapolis 500® Mile Race, INDYCAR may offer up to a maximum of two (2) Provisional Starting Cars at each Event after the conclusion of qualifications among non-Qualified Cars based on the following formula:
  - **8.1.9.1.** The first provisional starting position will be assigned to the Car driven by the previous year's IndyCar Series champion Driver.
  - **8.1.9.2.** The next position will be assigned to the Car driven by the IndyCar Series champion Driver from two (2) years prior.
  - **8.1.9.3.** The next position will be assigned to the Car driven by the Indianapolis 500® Mile Race champion Driver from the previous year.
  - **8.1.9.4.** The next position(s) will be assigned to the Car driven by the highest-ranking Driver(s) in the current top 24 Drivers point standings prior to the current Race.
  - **8.1.9.5.** The next position(s) will be assigned to the Leaders Circle Participants with the best practice lap time at the Event, provided the best lap time is an acceptable time as determined by INDYCAR.

**8.1.9.6.** If the positions are not filled by provisions 1-5, then the positions will be filled by the Car with the next best lap time posted during qualifications, provided the best lap time is an acceptable time as determined by INDYCAR.

# 8.1.10. Starting Field Determination

**8.1.10.1.** No Qualifications /Qualifications Not Completed – With the exception of positions designated for Provisional Starting Cars, INDYCAR shall assign all starting positions as follows:

> a) At the First Race of the season, the Cars shall be ranked using the prior year's end of season Entrant point standings.
> INDYCAR shall determine an Entrant's eligibility.

> **b)** Entrant point standing entering the Race.

**8.1.10.2.** Qualifications Completed/Open Positions - Qualifications have been completed, but all available starting positions have not been filled. With the exception of positions designated for Provisional Starting Cars, INDYCAR shall assign open starting positions based on the priority in <u>Rule 8.1.10.1.</u>

# 8.2. Oval Events

- **8.2.1.** <u>Rule 8.2</u> contains qualifications Rules for Oval Events which are in addition to the Rules for all Race Locations contained in <u>Rule 8.1</u> to the extent not inconsistent with them. In the event of any inconsistency, this <u>Rule 8.2</u> shall govern Oval Events.
- **8.2.2.** Qualifications Order INDYCAR shall determine the qualifications order by a blind draw.

An Entrant's representative may only draw for an entered Car. If an Entrant does not have an authorized representative present at the drawing, INDYCAR will draw for the unrepresented Car.

# 8.2.3. Qualifications Line

**8.2.3.1.** Cars must be in the technical inspection line at the following designated times before the beginning of qualifications:

30 minutes	First half of the line
15 minutes	Last half of the line

If an odd number of Cars are entered the line will be split with the larger group being group one.

### 8.2.3.1.1. Qualifying Fuel Procedure -

Entries are required to add a minimum of 3.0 gallons of fuel from the fuel depot in the garage area after completing technical inspection.

- **8.2.3.2.** A Car reserves its position in the qualifications line as long as it remains in the designated position and proceeds with the qualifications attempt when signaled. INDYCAR's decision is not subject to review and/or appeal.
- **8.2.3.3.** While the Car is in the qualifications line, an Entrant's representatives may make front, rear wing and tire pressure adjustments only. The Car must be moved as the qualifications line progresses. A Car must not leave the qualifications line without the approval of INDYCAR.

#### 8.2.4. Technical Inspection

**8.2.4.1.** If a Car does not present itself for the technical inspection line at the designated start

time as determined by INDYCAR, the Car shall forfeit its "Guaranteed Attempt" and may be listed at the rear of the starting field pursuant to <u>Rule 8.1.8.6.</u>

- **8.2.4.2.** When a Car is presented for technical inspection, it must be in the aerodynamic configuration in which it shall race, with the following exceptions:
  - a) Fuel fillers and vents may be taped off or fitted with blanking covers;
  - b) Flaps may be adjusted;
  - c) Rear wing mainplane may be adjusted;
  - d) Optional wickers may be changed, added or removed;
  - e) Shutters may be changed;
  - f) Race Location approved aerodynamic options may be made;
  - g) Rear wing adjuster may be added or removed (if added, the Dallara supplied lock nuts to limit travel must be installed); and
  - h) Radiator screens and vertical internal turning vanes may be changed (If changed, must comply with <u>Rule 14.6.6.4</u>).
- **8.2.4.3.** Retracting the brake pistons into the caliper by any method is prohibited.
- **8.2.4.4.** The Car must remain as presented to the pre-qualifying technical inspection line throughout the entire qualifications attempt unless otherwise approved by INDYCAR.

**8.2.4.5.** Only after a Car's qualifications attempt or post-qualifications technical inspection is completed, whichever occurs later, may any of the foregoing permitted changes be made. Mechanical adjustments within the scope of the Rules are permitted.

# 8.2.5. Declaration of Intent

- **8.2.5.1.** A Car must be presented at the entrance of the "staging pit" when signaled to do so ("declaration of intent"). INDYCAR shall signal a Driver when it is time for the Car to depart from the qualifications line to begin a qualifications attempt. Unless otherwise provided by INDYCAR, each Car must depart the qualifications line within sixty (60) seconds of receiving the signal.
- **8.2.5.2.** In order to make an official qualifications attempt, a Car must have been given the signal to depart from the qualifications line and have moved away under its own power prior to the end of the qualifications period. Notwithstanding the end of the qualifications session, a Car may begin its qualifications attempt, provided it has received the signal and is moving with its Engine running. The Car is not required to have completed its qualifications attempt prior the end of the qualifications session.
- **8.2.5.3.** A Car may not be pushed to start its Engine at the start of a qualifications attempt.
- **8.2.5.4. Warm-Up Laps** A Car shall be given two (2) warm-up laps. INDYCAR may add additional warm up laps, as it deems appropriate.

#### 8.2.6. Qualifications Attempt

- **8.2.6.1.** Qualifications will be based on one (1) individually timed attempt per Car. A qualifications attempt is the total of two (2) consecutively timed laps.
- **8.2.6.2.** Each Car will be permitted only one (1) departure from the qualifications line to commence its qualifications attempt. A qualifications attempt may only be started and completed under the Green Condition.
- **8.2.6.3.** All Cars in the qualifications order shall be given one "Guaranteed Attempt" to qualify. Notwithstanding the foregoing, the following matters and any others as determined by INDYCAR are cause for a Car to forfeit its Guaranteed Attempt and to be listed at the rear of the starting grid in accordance with <u>Rule 8.1.10.2.</u>
- a) A Car is not presented in the proper order to the technical inspection line at the designated time;
- **b)** A Car does not successfully complete technical inspection;
- c) A Car is not presented in the proper order at the staging pit;
- d) A Car fails to leave the staging pit within the designated time;
- e) A Car leaves the staging pit but fails to receive the green flag; or
- f) A Car fails to receive the checkered flag.
  - **8.2.6.3.1.** If more than one Car is so affected, the Cars shall be ranked according to <u>Rule 8.1.8.6.</u>
- **8.2.6.4.** Prior to Engine start, all computers or any other external devices must be disconnected. Cars experiencing Engine start difficulties must have approval from INDYCAR prior to connecting any device.
- **8.2.6.5.** If the field is full (except for those positions designated for Provisional Starting Cars), qualifications attempts may continue with the potential for the elimination of the slowest qualifier ("bumping"). When a subsequent qualifier completes a qualifications attempt at a better lap time, the slowest Qualified Car is eliminated from the field and the faster qualifier is inserted into the field based on best lap time ranking. This process continues until each Car has been given its Guaranteed Attempt.
- **8.2.6.6.** If the field is not full but the qualifications period has ended and each Car has received its Guaranteed Attempt, all Cars successfully completing qualifications attempts shall be "locked in" and the remaining available starting positions, except those designated for Provisional Starting Cars, shall be determined in accordance with <u>Rule 8.1.10.2.</u>
- **8.2.6.7.** If the qualifications period concludes before each Car has been given its "Guaranteed Attempt", then qualifications shall resume at a time designated by INDYCAR, if practicable. If INDYCAR is unable to resume qualifications and allow each Car its Guaranteed Attempt, the entire starting field shall be determined in accordance with <u>Rule 8.1.10.1</u>
- **8.2.6.8.** INDYCAR interrupts qualifications attempt:
  - **8.2.6.8.1.** If the interruption is caused by a Car's Entrant or Driver and occurs during a Car's Guaranteed Attempt, such Car shall

forfeit its qualifications attempt and may be listed at the rear of the starting grid in accordance with <u>Rule 8.1.10.2.</u>

- **8.2.6.8.2.** If the interruption is not caused by a Car's Entrant or Driver, such Car shall not be charged with a qualifications attempt and the Car may be permitted to return to its position at the front of the existing qualifications line and proceed with its qualifications attempt.
- 8.2.6.9. If a Car has started its warm-up laps or its qualifications attempt before qualifications are suspended, the Car will not be charged with an attempt and will be returned to its position at the front of the existing gualifications line when gualifications resume. INDYCAR shall preserve the gualifications line already established when qualifications resume. If INDYCAR releases a Car during a suspension, the Entrant is not required to keep its Car at the designated location during the suspension. Officials shall announce prior to resuming gualifications that all Cars are to be returned to the designated location. Any Entrant not returning an Entrant's Car to the designated location by the designated time shall forfeit the Entrant's Guaranteed Attempt and may be listed at the rear of the starting grid in accordance with Rule 8.1.8.6.

#### 8.3. Road/Street Courses

**8.3.1.** <u>Rule 8.3</u> contains qualifications Rules for Road/Street Course Events, which are in addition to the Rules for all Race Locations contained in <u>Rule 8.1</u> to the extent not inconsistent with them. In the event of any inconsistency, <u>Rule 8.3</u> shall govern Road/Street Course Events.

#### 8.3.2. Qualifications Groups

- **8.3.2.1.** For Segment One only, qualifications shall be divided into two (2) groups.
- **8.3.2.2.** Qualifications groups shall be determined by the practice session prior to qualifying.
- **8.3.2.3.** INDYCAR shall rank the Cars in order of time with the Driver posting the best time ranking appearing in the first position and continuing through the rest of the field in order of increasing time. The Driver with the best time ranking shall determine the groups and notify INDYCAR of his/her decision within thirty (30) minutes following the conclusion of the practice session that determines the qualifications groups.
  - **8.3.2.3.1.** If the Driver selects Group 1, Group 1 shall contain all Cars appearing in the odd numbered positions on the combined times ranking. Group 2 shall contain all Cars appearing in the even numbered positions on the combined times ranking.
  - **8.3.2.3.2.** If the Driver selects Group 2, Group 2 shall contain all Cars appearing in the odd numbered positions on the combined times ranking. Group 1 shall contain all Cars appearing in the even numbered positions on the combined times ranking.

### 8.3.3. Technical Inspection

**8.3.3.1.** When a Car begins qualifications, it must be in the aerodynamic configuration in which it shall race, with the following exceptions:

- a) Fuel fillers and vents may be taped off or fitted with blanking covers;
- b) Flaps may be adjusted;
- c) Optional wickers may be changed, added or removed;
- d) Brake duct options may be changed or blanked off using tape or blanking panels; and
- e) Shutters may be changed;
- f) Race Location approved aerodynamic options may be made; and
- g) Radiator screens and vertical internal turning vanes may be changed (If changed, must comply with <u>Rule 14.6.6.4</u>).
- **8.3.3.2.** Entrants are permitted to make only the above aerodynamic adjustments between or during the qualifying segments. Mechanical adjustments within the scope of the Rules are permitted.
- **8.3.3.4.** Entrants will be notified after qualifications whether to leave their qualified tires on their Cars for post-qualifications technical inspection.
- **8.3.4. Qualifications Attempt** Qualifications will be divided into three segments:

#### Segment One

**8.3.4.1.** All Cars shall participate in one (1) of two (2) groups for ten (10) minutes each inclusive of Red Conditions, with only the six (6) Cars posting the best lap times from each group advancing to Segment Two.

- **8.3.4.1.1.** If a Green Condition is declared near the end of the segment, INDYCAR may delay the display of the checkered flag to allow Cars that have left the pit lane without delay an opportunity for one timed lap.
- **8.3.4.2.** Segment One shall determine positions thirteen (13) through the end of the starting field. Each group shall be ranked in order of best lap time as follows:
  - a) Group one (1) occupy the odd numbered positions beginning with position thirteen (13) and;
  - **b)** Group two (2) occupy the even numbered positions beginning with position fourteen (14).
- **8.3.4.3.** Group two (2) shall begin five (5) minutes after the conclusion of Group one (1) or as determined by INDYCAR.

#### <u>Segment Two</u>

- **8.3.4.4.** Segment Two (2) shall consist of one ten (10) minute qualifying group inclusive of Red Conditions, with all times from Segment One (1) having been voided. Only the six (6) Cars posting the best lap times shall advance to Segment Three (3). Segment Two (2) shall begin ten (10) minutes after the conclusion of Segment One (1) as determined by INDYCAR.
  - **8.3.4.4.1.** If a Green Condition is declared near the end of the segment, INDYCAR may delay the display of the checkered flag to allow Cars that have left the pit lane without delay an opportunity for one timed lap.
- **8.3.4.5.** Segment Two (2) shall determine positions seven (7) twelve (12) to be ranked in

order of time beginning with the Car posting the seventh best-timed lap occupying the seventh  $(7^{\text{th}})$  position.

### Segment Three

- **8.3.4.6.** Segment Three (3) shall consist of one (1) ten (10) minute qualifying group of which five (5) minutes is guaranteed Green Condition time, with all times from Segment Two (2) having been voided. Segment Three (3) shall begin ten (10) minutes after the conclusion of Segment Two (2) as determined by INDYCAR.
- **8.3.4.7.** Segment Three (3) shall determine positions one (1) six (6) to be ranked in order of best lap time beginning with the Car posting the best-timed lap occupying the pole position.
- **8.3.5.** If a Car causes a Red Condition in any segment or otherwise interferes with qualifications as determined by INDYCAR, the Car's best two (2) timed laps of the segment shall be disallowed and the Car shall not advance to the next segment.
- **8.3.6.** If a Car causes two (2) Red Conditions in one (1) or more segments or otherwise interferes with qualifications as determined by INDYCAR, all segment times shall be voided and the Car shall not be permitted to participate in the remainder of qualifying.
- **8.3.7.** If a Car interferes with the qualifications attempt of another Car, as determined by INDYCAR:
  - **8.3.7.1.** If the violation occurs during Segment One or Segment Two, the Car's best two (2) timed laps during that segment shall be disallowed and the Car shall not advance to the next segment.

- **8.3.7.2.** If a Car causes a Yellow Condition that interferes with the qualifications attempt of another Car, the Car's best timed lap during that segment shall be disallowed.
- **8.3.7.3.** If the violation occurs during Segment Three (3), all Segment Three (3) times shall be voided and the Car shall not be permitted to participate in the reminder of qualifying.
- **8.3.8.** The Car starting the Race from the first (1<sup>st</sup>) position will start from the inside of the front row.
- **8.3.9.** Any Cars not posting a time within their respective segment or group will be ranked at the rear of their respective segment or group based upon Entrant points standings entering the Race.
- **8.3.10.** Double-Header Race Qualifications -<u>Rule 8.3.10</u> contains qualifications Rules for Double Header Race Qualifications, which are in addition to the Rules for all Race Locations and Road/Street Course Events contained in <u>Rule 8.1</u> and <u>Rules 8.3</u> respectively to the extent not inconsistent with them. In the event of any inconsistency, <u>Rule 8.3.10</u> shall govern Double Header Race Qualifications
  - **8.3.10.1.** Qualifying Groups for both Race 1 and Race 2 will be determined by <u>Rule 8.3.2.2.</u> Group one (1) shall contain all Cars appearing in the odd numbered positions on the combined times ranking. Group two (2) shall contain all Cars appearing in the even numbered positions on the combined times ranking.
    - **8.3.10.2.** Race 1 Qualifications Qualifying shall be determined by <u>Rule 8.3.4.</u> In segment one (1), Group one (1) shall qualify first, followed by Group (2).
    - **8.3.10.3. Race 2 Qualifications** All Cars shall participate in one (1) of two (2) groups for

twelve (12) minutes each, of which five (5) minutes is guaranteed Green Condition time. Group two (2) Cars shall qualify first, followed by a five (5) minute break prior to Group (1), or as determined by INDYCAR.

**8.3.10.3.1.** The starting field will be determined as such: the pole position will be awarded to the best overall lap time in the qualifying sessions. The remainder of the cars in that group will be ranked in the odd numbered positions, starting with position three (3). Even numbered starting positions will be determined from the other group, starting with position two (2).

#### 8.4. Indianapolis 500® Mile Race

- **8.4.1.** <u>Rule 8.4</u> contains qualifications Rules for the Indianapolis 500® Mile Race, which are in addition to the Rules for all Race Locations and Oval Events contained in <u>Rules 8.1</u> and <u>8.2</u> respectively to the extent not inconsistent with them. In the event of any inconsistency, <u>Rule 8.4</u> shall govern the Indianapolis 500® Mile Race.
- **8.4.2.** The Event Promoter shall determine the existence, number and eligibility for any provisional starting positions after the conclusion of qualifications with INDYCAR approval.

## 8.4.3. Qualifications Attempt Procedure

**8.4.3.1.** A Car must be presented in pit lane at the designated area. When instructed, the Car will move directly south into the staging area. When signaled by INDYCAR, the Car will move to the head of the qualifying line and wait until signaled to leave pit lane to begin a qualifying attempt. Notwithstanding the end of the qualifications session, a Car may begin its qualifications attempt, provided it has received

the signal and is moving with its Engine running. The Car is not required to have completed its qualifications attempt prior the end of the qualifications session.

- **8.4.3.2.** An Entrant will be given both green and yellow flags to use at the start/finish line to signal the Entrant's Car. The Entrant must display the green flag to start a qualifications attempt prior to the Car completing its last warm-up lap. Display of no flag or a yellow flag does not begin a qualifications attempt.
- **8.4.3.3.** A completed qualifications attempt shall consist of four (4) consecutively timed laps.
- **8.4.3.4.** If during the original qualification line, a Car is unable to complete its Guaranteed Attempt because of a mechanical condition or accident and this prevents the Car from making a second attempt during Saturday qualifying, then the 33<sup>rd</sup> position becomes provisional. Upon INDYCAR approval, the 33<sup>rd</sup> Car and any Car with an incomplete first Guaranteed Attempt will compete for the 33<sup>rd</sup> position on Sunday in a special session, with one additional attempt.
- **8.4.3.5.** INDYCAR or an Entrant may terminate a qualifications attempt before completion upon display of a yellow flag at any time prior to the point where the Car crosses the start/finish line at the conclusion of the fourth (4<sup>th</sup>) consecutively timed lap. The Car will be charged with an attempt and must return immediately to the pit lane.
- **8.4.3.6.** Once each Car has received its one Guaranteed Attempt, a break in the line occurs. If the break in the line occurs before the time allotted for Saturday qualifying has expired, Cars may continue to make qualifications attempts subject to these Rules until the time allotted for

Saturday qualifying has expired.

- **8.4.3.7.** The starting field shall be comprised of thirty three (33) Cars (or such other number, as INDYCAR shall determine).
- **8.4.3.8.** Except as otherwise provided, the same Car and Driver must be used in both Saturday and Sunday qualifying.
- **8.4.3.9.** If a Qualified Car is involved in a crash, the Entrant may replace the Qualified Car with another Car and shall start the Race in the Qualified Car's position. If a Qualified Car is unable to start the Race for any other reason, INDYCAR shall determine if the Entrant is permitted to replace the Qualified Car with another Car and start the Race in the Qualified Car's position or if the Entrant is required to start the Race from the rear of the starting field.
- **8.4.4. Qualifications Order -** There are two scheduled days of qualifying: Saturday, May 21<sup>st</sup> and Sunday, May 22<sup>nd</sup>.

# 8.4.4.1. Saturday, May 21<sup>st</sup> Qualifying Rules are as follows:

- **8.4.4.1.1.** INDYCAR shall conduct a blind draw of all entered Cars on the Pagoda Plaza Stage at 6:15 p.m. on Friday, May 20<sup>th</sup>, to determine the order in which the Cars shall make their initial qualifying attempts for Saturday, May 21<sup>st</sup>.
- **8.4.4.1.2.** All Cars listed on the initial qualifying order are given one (1) Guaranteed Attempt to qualify provided the Car is properly and timely presented.
- **8.4.4.1.3.** The fastest thirty three (33) qualified Cars set the provisional starting

field (not the starting positions).

- **8.4.4.1.3.1.** Positions 1-9 have secured a starting position and will participate in the "Fast 9" group on Sunday, May 22<sup>nd</sup>.
- **8.4.4.1.3.2.** Positions ten (10) through thirty three (33) will participate in "Group One (1)" on Sunday, May 22<sup>nd</sup>.
- **8.4.4.1.4.** After the break in the qualifying line, two qualifying lines will be formed. Multiple attempts are permitted with or without withdrawing a previous time.
- 8.4.4.1.5. Qualifying lines are as follows:
  - a) Line 1 Is for Cars that have not qualified or have withdrawn their previous qualifying times. Priority will be given to this lane. The withdrawal of previous qualifying times must be done upon entry to Line 1. Should circumstances, as determined by INDYCAR, prevent an attempt, the withdrawn time will be reinstated.
  - b) Line 2 Is for those Cars that have already qualified but want another attempt and have not withdrawn their previous qualifying times.
- **8.4.4.1.6.** If a Car has had its qualifications time voided or has been bumped from the field, an Entrant may make a subsequent qualifications attempt with the Car, provided the Entrant's representatives present the Car at the designated area in pit lane prior to making a qualifications attempt.

# 8.4.4.2. Sunday, May 22<sup>nd</sup> Qualifying Rules are as follows:

- **8.4.4.2.1.** The pre-qualifications practice on Sunday shall be open to all qualified Cars and any Cars participating in the special session.
- **8.4.4.2.2.** All Cars advancing to Sunday qualifying are required to make one (1) qualification attempt.
- **8.4.4.2.3.** Sunday qualifying shall consist of two (2) Groups: "Group One (1)" and the "Fast 9".
- **8.4.4.2.4.** Each Car is provided one Guaranteed Attempt. If each Car within its respective Group does not receive its Guaranteed Attempt, the times from Saturday will act as the official qualifying time for that respective Group.

# 8.4.4.3. Sunday, May 22nd, "Group One (1)"

- **8.4.4.3.1.** "Group One (1)" qualifying shall consist of positions ten (10) to thirty three (33) from Saturday qualifying and if applicable, any Cars from the special session.
- **8.4.4.3.2.** "Group One (1)" qualifying order will be based on Saturday's qualifying positions and if applicable, any Cars from the special session. The "Group One (1)" order will begin with position 33 to position 10.
- **8.4.4.3.3.** Previous qualifying times are voided and all Cars must requalify.
- 8.4.4.3.4. One qualifying attempt for each of

the "Group One (1)" Cars sets positions ten (10) through thirty three (33).

# 8.4.4.4. Sunday. May 22nd - "Fast 9"

- **8.4.4.4.1.** The qualifying order for the "Fast 9" will be set from slowest to fastest, based upon the official qualifying time of each Car. The "Fast 9" order will begin with position nine (9) to one (1).
- **8.4.4.4.2.** All previous qualifying times are voided.
- **8.4.4.4.3.** One Guaranteed Attempt for each of the "Fast 9" Cars set the field for positions 1-9.
- **8.4.4.4.4.** All Cars advancing to the "Fast 9" are required to make one (1) qualification attempt in the "Fast 9".
- **8.4.4.4.5.** Each of the nine (9) Cars advancing is provided one (1) Guaranteed Attempt, provided the Car is properly and timely presented. If each Car does not receive its Guaranteed Attempt, the times from Saturday will act as the official qualifying time.
- **8.4.4.5.** Any Cars not able to begin or complete a required qualifications attempt shall be ranked at the rear of their respective qualifications group in order of Saturday qualifying times.

#### 8.4.5. Pit Selection

- **8.4.5.1.** Pit selection order for Cars who qualified in the "Fast 9" will be determined by Sunday's "Fast 9" qualifying times.
- **8.4.5.2.** Pit selection order for Cars who qualified

in Group One will be determined by Sunday's qualifying times.

# 8.4.6. Technical Inspection

**8.4.6.1.** To be properly presented at the start of either qualifications day, the Cars in the qualifications order must be fueled and in line at the technical inspection area as follows:

#### Saturday

10:15 AM	First 5 Cars in draw
10:30 AM	Remaining first 1/2 of Cars in draw

11:00 AM Remaining Cars in draw

#### Sunday

- 1:00 PM First 8 Cars in Group One
- 1:30 PM Next 8 Cars in Group One
- 2:00 PM Remaining 8 Cars in Group One
- 4:15 PM "Fast 9" Cars
  - **8.4.6.1.1.** Qualifying Fuel Procedure Cars are required to add a minimum of three (3) gallons of fuel from the fuel depot in the garage area prior to the first time through pre-qualification technical inspection. For all other qualification attempts, Cars must add a minimum of three (3) gallons of fuel from the qualifying fuel tank located in the pit lane.
  - **8.4.6.2.** While the Car is in the qualifications line, an Entrant's representatives may make front and rear wing and tire pressure adjustments only. The Car must be moved as the qualifications line progresses. A Car must not leave the qualifications line without the approval of INDYCAR.
  - 8.4.6.3. Once the Car successfully completes the

technical inspection process, INDYCAR shall issue the Car an inspection sticker indicating the Car is eligible to make a qualifications attempt on that specific qualification day. The Car may make a qualifications attempt during the specific qualification day without having to return to the technical inspection area, if the Car displays the sticker. Each qualification day will have a unique sticker.

- **8.4.6.4.** Only Cars participating in a qualifications attempt may obtain fuel from the qualifying fuel tanks located in pit lane. Cars participating in practice must obtain fuel from their fuel tanks located in the pit lane.
- **8.4.6.5.** When a Car is presented for prequalifications technical inspection, it must be in the aerodynamic configuration in which it shall race, with the following exceptions:
- a) Fuel fillers and vents may be taped off or fitted with blanking covers;
- b) Wing angles may be adjusted;
- c) Rear wing adjuster may be added or removed;
- d) Optional wickers may be changed, added or removed;
- e) Shutters may be changed;
- f) Radiator screens and vertical internal turning vanes may be changed (if changed must comply with <u>Rule 14.6.6.4</u>).
- **8.4.6.6.** The Car must remain as presented at pre-qualifying technical inspection throughout the entire qualifications attempt unless otherwise approved by INDYCAR.

**8.4.6.7.** Only after a Car's post-qualifications technical inspection is completed may the foregoing permitted changes be made. Mechanical adjustments within the scope of the Rules are permitted.

# 8.4.7. Post-Qualifications Technical Inspection

- **8.4.7.1.** During Saturday and Sunday qualifying, INDYCAR shall institute a voluntary impound area in pit lane. A Car may only proceed to the voluntary impound area upon receiving approval from INDYCAR. In lieu of permitting a Car to proceed to the voluntary impound area, INDYCAR may assign an Official to remain with the Car.
- **8.4.7.2.** After each Car has completed its required attempt, each Car must return to the designated impound area. Each of the Cars will be assigned a technical Official.
- **8.4.7.3.** Each Entrant must have in its immediate possession the following tools for post-qualifying and post-Race:
- a) Tools to remove body work;
- b) Tools to remove the top of the airbox;
- c) Tools to remove tires;
- d) Tools to remove refueling receptacles;
- e) Nitrogen for wheel guns, air jacks.
- **8.4.7.4.** INDYCAR shall determine the order of post-qualifying inspection. One consideration is the qualification time of the Cars and the likelihood a Car may be bumped from the field.

**8.4.7.5.** Entrants must not download data from the Car after receiving the checkered flag until released from technical inspection.

#### 8.4.8. Alternate Procedures

- **8.4.8.1.** If the time allotted for qualifications has expired on Saturday without every Car having been given its Guaranteed Attempt, INDYCAR shall determine the revised qualifications procedure. This may include without limitation all Cars qualifying on the next available day.
- **8.4.9. Special Session -** In the event the qualifications period ends on Saturday, May 21<sup>st</sup> with fewer than thirty three (33) Cars qualified for the Race after the break in the line, all Cars having met the qualifications requirements shall be locked in and cannot be bumped. INDYCAR shall fill the balance of the field by the fastest qualifiers of a special session, with the only bumping taking place amongst the qualifiers participating in the special session. The following procedure shall apply to the Special Session:
  - a) An Entrant who wishes to secure a spot in the qualifications order for the special session must have a representative present at 6:15PM on Saturday May 21<sup>st</sup> at N1 to draw for a qualifications position.
  - b) The special session shall take place on the next available day, weather permitting, prior to Group One (1) and "Fast 9" qualifying and will consist of one (1) Guaranteed Attempt for each Car. Any Car not presented and starting its Guaranteed Attempt when directed to do so by INDYCAR or not taking the green flag will forfeit its Guaranteed Attempt. The remainder of the field will be filled based on qualifications time during the special session. If the special session does not fill the field, INDYCAR will fill the field.

- c) Cars that have qualified in the special session will participate in Group One (1).
- d) In the event INDYCAR determines that this procedure will interfere with the preparations for the Race, either due to weather or otherwise, INDYCAR may forego the special session and fill the field in accordance with <u>Rule 8.1.10.</u>

#### 8.4.10. Post-Qualifications Photographs

- **8.4.10.1.** After a Car has completed its initial qualifications attempt, the Driver and Car must proceed to the designated photograph area to take the qualifications photograph. INDYCAR shall permit an Entrant to delay the post qualifications photograph until the end of qualifications.
- **8.4.10.2.** Each Driver/Car qualifications photograph is permitted a maximum of ten (10) minutes.
- **8.4.10.3.** During the ten (10) minute photograph time, a Driver and crew must wear the designated hats in the assigned order (two (2) Entrant hats and two (2) Event hats). If there is time permitting, other hats may be worn. If there is not time permitting, then the Entrant must make arrangements to schedule an alternate time to take additional photographs.
- **8.4.10.4.** For each subsequent qualifications attempt, a Driver and Car are not required to proceed to the photograph area for a duplicate set of photographs.
- **8.4.10.5.** After the photographs are completed, the Car will return to the technical inspection area for post-qualification technical inspection.

# 9. PENALTIES

# 9.1. General

- 9.1.1. INDYCAR may penalize any Member for any violation of the Rules. If an Official observes or is made aware of an act or omission by a Member that constitutes a violation of the Rules and if the Official determines that the act or omission is sufficiently serious to warrant the imposition of a penalty, the Official shall promptly report the violation to INDYCAR. INDYCAR shall consider the report and shall conduct whatever additional inquiry it deems appropriate under the circumstances. After concluding the inquiry, INDYCAR shall determine whether disciplinary action is appropriate and if so, what disciplinary action should be taken. The Member shall be informed of the determination and if disciplinary action is imposed, INDYCAR shall issue a penalty notice to the Member specifying the violation, a brief statement of the circumstances of the violation and the penalty imposed. If the act or omission of a Member is determined by INDYCAR to constitute a threat to the integrity or safety of INDYCAR, the IndyCar Series or to the orderly conduct of an Event or to constitute a violation during an Event, INDYCAR may take immediate action against the Member.
- **9.1.2.** When any Race Steward or an Official identifies an On-Track violation of these Rules, the Race Stewards shall be immediately informed of the violation. Upon receipt of the violation, the Race Stewards shall deliberate on the violation to determine if a penalty will be issued. The Competitors and broadcast/media are informed but the Race will not stop, unless directed by the Race Director. During this review, all available camera views, the time line data and the Rules references will be made available to the Race Stewards. Each Race Steward votes for or against the issuance of a

penalty and a majority vote determines if a penalty is issued. Once this determination is made, the Senior Steward shall determine the severity of the penalty from the penalty guidelines as established by INDYCAR. The Senior Steward will notify the Race Director who shall advise the Competitors and broadcast/media either: (i) "Car #\_\_\_\_ was under review and no further action is required" or (ii) "Quoting Rule #\_\_\_\_ and Car #\_\_\_\_, the penalty is issued."

#### 9.2. Scope of Penalties

- **9.2.1.** Penalties for violations of the Rules are divided into two categories: Race Procedure penalties and non-Race Procedure penalties. Regardless of the category, penalties are determined by the gravity of the violation and its effects on fairness of competition, the orderly conduct of the Event and the interests and integrity of automobile racing, INDYCAR and the IndyCar Series. INDYCAR shall have the authority to impose any or all or any combination of the following penalties against any Member for any violations of the Rules at any time.
- 9.2.2. Race Procedure Penalties Violations of Race Procedures generally result in penalties imposed during on-Track activity. If circumstances do not permit a penalty to be served during on Track activity, INDYCAR shall declare the results provisional and subject to examination which shall take no longer than one (1) hour after the displaying of the checkered flag. This examination is independent of the Competitor's requirement to pass post-Race technical inspection. If the imposition of a penalty is near or at the end of the on Track activity and the Driver/Car does not fulfill it, INDYCAR may reposition the Driver/Car in the posting of results. Additional penalties may be applied to subsequent on-Track activities to reflect the fulfillment of the penalty. Except as provided in Rule 12.2.1, the penalty, including without limitation any

repositioning in a posting, is non-reviewable and/or appealable.

- 9.2.2.1. Black Flag INDYCAR may impose black flag penalties.
- **9.2.2.2. Laps** INDYCAR may impose lap penalties. Lap penalties shall be imposed in complete laps only. The imposition of a lap penalty shall result in the removal of official credit for the specified number of penalty laps from the total laps credited to the Competitor and the scoring records and all points and awards shall reflect the removal of penalty laps.
  - **9.2.2.2.1.** If the lap penalty is imposed during the on Track activity, the removal of official credit shall begin with the Competitor's lap in which the violation occurred and shall include subsequent consecutive laps, as determined by INDYCAR.
  - **9.2.2.2.2.** If the lap penalty is imposed after the on Track activity is completed, INDYCAR shall determine, based on the severity of the violation, whether the removal of official credit shall begin with the Competitor's last lap and include previous consecutive laps as required, or begin with the Competitor's lap in which the violation occurred.
  - **9.2.2.2.3.** INDYCAR shall make a reasonable effort to notify the penalized Competitor of a lap penalty at the time it is determined. However, failure to notify the Competitor during the on Track activity will in no way mitigate or change the penalty.
- **9.2.2.3. Time** INDYCAR may impose time penalties as described below. The imposition of a time penalty may result in the removal or

addition of the specified amount of time to the Competitor and the timing and scoring records and awards shall reflect the removal or addition of time.

- **9.2.2.3.1.** If the time penalty is imposed during a subsequent on-Track activity, the time shall be removed from the Entrant's Promoter Test or Team Test, practice session and/or qualifications period. The time penalty must be fulfilled when directed by INDYCAR. The time penalty begins when the Member, the Member's Car and Entrant personnel are in pit lane.
- **9.2.2.3.2.** If the time penalty is imposed during the current on-Track activity, time shall be removed or added to the Entrant's overall time on the official posting.
- **9.2.2.4.** On-Track Repositioning INDYCAR may impose a repositioning penalty during on-Track activities. The repositioning penalty will result in an order change of the Car's on-Track position.
- 9.2.2.5. Grid Repositioning INDYCAR may impose a grid penalty. A grid penalty is repositioning of an Entrant on the starting grid.
- **9.2.2.6.** Disqualification INDYCAR may disqualify the Member. Disqualification shall entail the loss of any right to compete in the remainder of the current Event from the time at which the disqualifying condition first occurred. It may entail the forfeiture of Entry fees paid or payable and INDYCAR shall determine, based on the severity of the violation, whether or not the disqualification includes the forfeiture of points and awards earned up to the moment at which time the infraction for the disqualification first occurs.

- **9.2.3.** Non-Race Procedure Penalties Violations of non-Race Procedures generally result in penalties imposed during off-Track activity. Penalties are reviewable and/or appealable except as provided in <u>Rule 12.2.1.</u>
  - **9.2.3.1.** Additional Appearances and/or Meetings – INDYCAR may require a Member to attend and actively participate in appearances and/or meetings in addition to those required of the Member, other Members, pursuant to the Rules and any other agreements. INDYCAR may specify the due date for completion. Failure to attend and/or participate by the due date may result in reinstatement of the monetary fine if a monetary fine was imposed, or additional penalties as determined by INDYCAR.
  - **9.2.3.2.** Monetary Fines INDYCAR may issue monetary fines and specify the due date for payment. Unpaid fines may be deducted from any awards payable by INDYCAR to the Entrant or Manufacturer associated with such Member either before or after the specified due date. INDYCAR may suspend or refuse to approve the renewal of the License and/or membership of any Member failing to timely pay any fine during the period the fine remains unpaid.
  - **9.2.3.3. Probation** INDYCAR may place a Member on probation. Probation is a state of limitation upon a Member's privileges usually following an act or omission occurring before or during membership which calls into question the Member's willingness or ability to abide by standards required for membership. The purpose of probation is to establish a process to allow a Member to prove, by the Member's conduct, that the standards of membership are understood and will be honored at all times. During probation, a Member is under heightened scrutiny and the Member can be required to

abide by specified standards of conduct that are more stringent than those which are otherwise applicable to Members under the Rules. If a Member violates probation, the Member is subject to a more severe penalty as determined by INDYCAR. INDYCAR shall determine the duration and conditions of probation.

9.2.3.4. Suspension - INDYCAR may suspend a Member. A suspension may be total suspension from INDYCAR or it may be limited to a suspension of membership or License privileges at a Race Location facility or facilities, or for a particular Event or Events, or for a specified or indefinite period of time. Unless expressly limited, a suspension shall entail the loss of any right to take part in any capacity whatsoever in any Event or Events included in the suspension. Unless otherwise stated, individuals under suspension will not be issued credentials of any kind for an Event and the privileges and uses of the Track, pit area, garage area and other restricted areas will be denied. Every suspended individual shall return all credentials and/or Licenses to INDYCAR who will not return them until the term of the suspension has expired. Any delay in surrendering the credentials and/or Licenses shall be added to the term of the suspension. If the suspended individual does not return the credentials and/or Licenses, the credentials and/or Licenses shall be inoperative and invalid until the lifting of the suspension. Suspension may also render void any previous Entry made for any Event occurring during the term of such suspension and may entail the forfeiture of any applicable Entry fees paid or payable for any such Entries. INDYCAR shall have the right to reduce, increase, or otherwise modify the unexpired term of a suspension issued under the Rules.

#### 9.2.3.5. Loss of Points and/or Awards –

INDYCAR may rule that a Member who violates the Rules will forfeit all or a portion of the points and/or awards earned in that year, including points and awards earned in the Event in which the violation occurred.

#### 9.3. Additional Provisions and Guidelines

- **9.3.1. Improper Conduct** Any Member attempting to or engaging in unsportsmanlike conduct, unsafe conduct, or conduct detrimental to racing; INDYCAR; and/or to the IndyCar Series, whether during an Event or on/off the Track, may be subject to any or all penalties. Improper conduct shall include, without limitation:
  - **9.3.1.1.** Engaging in reckless, careless, unsafe, and/or overly aggressive actions, or unsportsmanlike behavior;
  - **9.3.1.2.** Impeding the conduct of an Event, including Car control, placement and inconsistent driving pattern;
  - **9.3.1.3.** Causing an avoidable Yellow or Red Condition;
  - 9.3.1.4. Endangering the safety of Members, or others;
  - **9.3.1.5.** Failing to participate at competitive speed;
  - **9.3.1.6.** Failing to participate in close proximity to other Cars;
  - **9.3.1.7.** Failing to follow the direction of INDYCAR; and/or
  - **9.3.1.8.** Using improper, profane, or disparaging language or gestures in reference to any Official,

Members or anyone else or improper, profane or disparaging language or gestures in reference to, concerning or connected in any way with INDYCAR, the IndyCar Series, or any Event and could result in additional penalties as determined by INDYCAR.

- **9.3.2. Blocking** A Driver must not alter his/her racing line to pursuing Drivers.
- **9.3.3. Avoidable Contact** The primary responsibility for avoiding contact with a Competitor resides with the overtaking Competitor and the secondary responsibility resides with the Competitor(s) being overtaken. A Competitor who fails to demonstrate their responsibility and initiates a maneuver that results in contact with another Competitor may be penalized.
- **9.3.4. Team Tactics and/or Team Orders –** Team tactics and/or Team orders are actions or omissions by one or more Members to artificially influence, affect, alter, and/or otherwise interfere with the normal course of an on-Track Event. Team tactics and/or Team orders are not permitted. If INDYCAR determines one or more Members attempted to or engaged in Team tactics and/or Team orders, INDYCAR may issue a penalty to any or all of the Members, including without limitation any or all of a Team's Car/Driver combinations.
- **9.3.5.** Assault or Battery If any Member shall attempt to or commit an assault or battery, such Member may be disqualified and/or suspended by INDYCAR and may be subject to additional penalties.
- **9.3.6. Gambling on Events** Applicants and Members shall not engage, nor attempt to engage, in any gambling activity (as defined below) relating to any Event, or any portion of an Event, (i) in which INDYCAR is involved, including, but not limited to,

IndyCar Series, Indy Lights, Pro Mazda Championship and/or USF2000 National Championship events, or (ii) that in the judgment of INDYCAR could represent and represents the potential for a conflict of interest, unsportsmanlike conduct or conduct detrimental to racing and/or INDYCAR Group. This Rule applies to all gambling activities including, without limitation, those available in any gaming facility, casino, lottery gaming facility, racetrack gaming facility, or on the internet or electronically, or in all other establishments and locations. Gambling activity, for purposes of the above, is defined as placing anything of value at risk, whether monetary or otherwise, in connection with a bet, wager, or game of chance. If an applicant or Member wishes to participate in any gambling activity associated with or related to INDYCAR or its affiliates (for example, a celebrity blackjack tournament), he/she may do so only if he/she has received the prior written authorization of INDYCAR. Such authorization, if granted, will be limited to the extent authorized by INDYCAR

- **9.3.7. Social Media** Competitors and Officials must comply with provisions of the INDYCAR Social Media Policy at all times.
- **9.3.8. Detrimental Member Conduct** The favorable reputation of INDYCAR, and its Members, is a valuable asset and creates tangible benefits to all involved. Accordingly, it is an obligation of all Members to refrain from engaging in conduct which is detrimental to INDYCAR and its Members. Such conduct shall include, but not be limited to comments to the news media that unreasonably attack or disparage Members or INDYCAR. Responsible expressions of legitimate disagreement with INDYCAR are not prohibited. However, public comments a Member knows, or should reasonably know, will harm the reputation or financial best

interests of a Member or INDYCAR are expressly covered by this Rule.

# 10. CHAMPIONSHIP, POINTS & AWARDS

# 10.1. Championships and Point Standings

**10.1.1.** INDYCAR recognizes several different types of championships, including, without limitation, Engine Manufacturer, Entrant and Driver. To facilitate competition for these championships, INDYCAR calculates and publicizes complete rankings of all participating Engine Manufacturers, Entrants and Drivers following the completion of each Race and Indianapolis 500® Mile Race qualifications. Unless otherwise announced by INDYCAR, all scheduled qualifications and Races shall offer championship points.

# 10.2. Significance of the Car Number

**10.2.1.** Points and awards are credited only to the Engine Manufacturer supplying the Engine, Entrant entered in the Event and to the Driver participating in that Car except as otherwise permitted by INDYCAR. The Car number is listed on the Entry and signifies the Car to be credited. The points and awards accumulate on behalf of the entered Car number, separately from any other Entrant's License that Team may hold.

# 10.3. Crediting of Points

- **10.3.1.** INDYCAR has established the following system under which eligible Engine Manufacturers, Entrants and Drivers may accumulate points.
- **10.3.2.** Entrant points will be credited only to an Entrant holding a valid Entrant's License at the time of the Event, competing with a properly entered Car and displaying the assigned Car number throughout any Event.

- **10.3.3.** Driver points will be credited only to Drivers holding a valid Driver License at the time of the Event.
- **10.3.4.** INDYCAR will not credit the point(s) usually earned by the Entrant and Driver starting a Race in the pole position if the starting field is determined in accordance with <u>Rule 8.1.10.</u>
- **10.3.5.** If a Car participates in practice and/or qualifications but is unable to start a Race, the Driver and/or the Entrant shall receive half of the points the Car would have received for the Race had the Car started the Race ("non-starting Cars"). INDYCAR shall determine whether a Car is deemed to have participated for purposes of this <u>Rule 10.3.5.</u>
- **10.3.6.** Unless otherwise provided by INDYCAR, all Entries shall be eligible for Entrant and Driver points associated with participation in an Event.

# 10.4. Ties

- **10.4.1.** At the end of the season, the Engine Manufacturer, Entrant and Driver with the highest number of ranking points respectively shall be declared the Champion. In the case of a tie in the championship, INDYCAR will determine the champion based on the most first place finishes. If there is still a tie, INDYCAR will determine the champion by the most second place finishes, then the most third place finishes, etc., until a champion is determined.
- **10.4.2.** INDYCAR will apply the same system to other ties in the rankings at the end of the season and at any other time during the season.

## 10.5. End of Season Awards

**10.5.1.** INDYCAR reserves the right to establish end of season awards based upon points.

# 10.6. Points

- **10.6.1.** Points may be earned by Entrants and Drivers based upon participation in Races and Qualifications and Engine Manufacturers based upon participation in Races.
- 10.6.2. Entrant/Driver Race Points INDYCAR will award points pursuant to finishing position as follows:

Race Finish Points						
Position	Points	Position	Points	Position	Points	
1	50	12	18	23	7	
2	40	13	17	24	6	
3	35	14	16	25	5	
4	32	15	15	26	5	
5	30	16	14	27	5	
6	28	17	13	28	5	
7	26	18	12	29	5	
8	24	19	11	30	5	
9	22	20	10	31	5	
10	20	21	9	32	5	
11	19	22	8	33	5	
Leading At Least One Lap: 1 point						
Most Laps Led: 2 points						

**10.6.2.1. Double Points** – Double race finish points are awarded for the Indianapolis 500<sup>®</sup> Mile Race and the last Event of the season.

Double Points						
Position	Points	Position	Points	Position	Points	
1	100	12	36	23	14	
2	80	13	34	24	12	
3	70	14	32	25	10	
4	64	15	30	26	10	
5	60	16	28	27	10	
6	56	17	26	28	10	
7	52	18	24	29	10	
8	48	19	22	30	10	
9	44	20	20	31	10	
10	40	21	18	32	10	
11	38	22	16	33	10	
Leading at least one lap: 1 point						
Most Laps Led: 2 points						

#### 10.6.3. Entrant/Driver Qualifications Points

**10.6.3.1.** Double Header Race Events – Points are awarded as follows:

- Race 1 1 point to the Entrant and Driver qualifying for the pole position.
- Race 2 1 point to the Entrant and Driver with the fastest time in each Qualifying Group.
  - **10.6.3.2.** All Other Events Except as otherwise provided in <u>Rule 10.6.3.1.</u>, INDYCAR shall award one point to the Entrant and Driver qualifying for the pole position.

Indy 500 Qualifying Results Points						
<b>Position</b>	Points	Position	Points	Position	Points	
1	42	12	22	23	11	
2	40	13	21	24	10	
3	38	14	20	25	9	
4	36	15	19	26	8	
5	34	16	18	27	7	
6	32	17	17	28	6	
7	30	18	16	29	5 .	
8	28	19	15	30	4 .	
9	26	20	14	31	3.	
10	24	21	13	32	2 .	
11	23	22	12	33	1.	

10.6.4. Indianapolis 500® Mile Race –

### 10.6.5. Engine Manufacturer Championship

**Points -** Race finish points are awarded on a Raceby-Race basis and may only be scored by the top three (3) finishing positions by each assigned Engine Manufacturer, added throughout the season based upon the following system.

Race Finish Points						
Position	Points	Position	Points	Position	Points	
1	50	12	18	23	7	
2	40	13	17	24	6	
3	35	14	16	25	5	
4	32	15	15	26	5	
5	30	16	14	27	5	
6	28	17	13	28	5	
7	26	18	12	29	5	
8	24	19	11	30	5	
9	22	20	10	31	5	
10	20	21	9	32	5	
11	19	22	8	33	5	

Double Points						
Position	Points	Position	Points	Position	Points	
1	100	12	36	23	14	
2	80	13	34	24	12	
3	70	14	32	25	10	
4	64	15	30	26	10	
5	60	16	28	27	10	
6	56	17	26	28	10	
7	52	18	24	29	10	
8	48	19	22	30	10	
9	44	20	20	31	10	
10	40	21	18	32	10	
11	38	22	16	33	10	

10.6.5.1. Additional points available as follows:

- **10.6.5.1.1.** Two (2) points will be awarded for an Engine Manufacturer with the most laps led.
- **10.6.5.1.2.** One (1) point will be awarded for an Engine Manufacturer that qualifies in the pole position, with the exception of Indianapolis 500<sup>®</sup> Mile Race qualifying.
- **10.6.5.2.** Ten (10) points will be awarded for an Engine that completes its life cycle.
- **10.6.5.3.** Twenty (20) points will be deducted for an Engine failing to complete its Engine life cycle.
- **10.6.5.4.** Twenty (20) points will be deducted for an Engine undergoing a non-minor repair that requires a component change, subject to INDYCAR approval. The Engine will no longer be eligible for points from <u>Rule 10.6.5.2</u>.

# 11. AWARDS

# 11.1. Approval

**11.1.1.** The distribution of all awards must be approved by INDYCAR.

# 11.2. Awards

- **11.2.1.** INDYCAR shall determine the eligibility, nature and amount of all for:
  - a) Each Event, and
  - b) End of season.

# 11.3. Withholding

- **11.3.1. Offset** INDYCAR shall have the right to offset any amounts owed by a Member to INDYCAR, an Event Promoter or a Manufacturer against any award otherwise payable to the Member or the Member's Entrant. If INDYCAR receives notice that Member has failed to pay an Event Promoter or Manufacturer, INDYCAR shall have the right to pay the Event Promoter or Manufacturer directly after giving the Member a 48 (forty-eight) hour period to dispute the offset. Should the Member dispute the offset or in the event INDYCAR receives conflicting demands for such amounts, INDYCAR shall, at its option, be entitled to seek a court order to ascertain the rightful distribution of funds.
- **11.3.2. Review** If a review is lodged, affecting the distribution of an award, all awards so affected shall be forwarded to the INDYCAR office or in the case of monetary awards placed in an escrow account as directed by INDYCAR within five Business Days after the filing of the review, without obligation as to interest.

# 12. REVIEW

**12.1. Introduction –** Members recognize the need for Officials to make decisions that require judgment and the exercise of discretion, often instantaneously with Events as they are occurring. They further recognize that there is a limited system of instant replay during or after an Event. Except as expressly provided in these Rules, the exercise of judgment by Officials during an Event issuing a penalty or otherwise shall not be reviewed and the decision of Officials is final and binding. By submitting a membership application and in consideration of receiving the numerous benefits available, each Member agrees that the final and binding decisions of Officials are non-litigable. No court action of any kind may be taken by any Member. By reason of membership in INDYCAR and/or participation in an Event, any Member waives any rights such Member may otherwise have to be a party or to take any action in court seeking legal or equitable relief against any decision or action of any kind by INDYCAR, the Officials, anyone acting on behalf of INDYCAR, the review facilitator and/or the appeal panel. Each Member acknowledges that participation in an Event by other Members is in part in reliance on this waiver. If a Member initiates or participates in litigation in violation of these Rules, all membership privileges may thereupon be suspended and Member is subject to the provisions of Rule 2.8 of these Rules.

## 12.2. When is a Review Permitted

- **12.2.1.** Race Procedure penalties are not subject to review and appeal, except for (i) disqualification, (ii) suspension, (iii) probation, (iv) a monetary fine in excess of Ten Thousand and 00/100 Dollars (\$10,000.00), (v) an award fine, and/or (vi) a point fine.
- **12.2.2.** Non Race Procedure penalties are subject to review and appeal, provided a review is permitted by the Rules and the request for review is in accordance with these Rules.
## 12.3. Who May Request a Review

- **12.3.1.** Only the Entrant submitting an Entry may request a review of the rejection of the Entry. Any Competitor who competes in any Event that is not in accordance with the published Entry form conditions waives the right to challenge the non-enforcement of such conditions.
- **12.3.2.** Only the Member receiving a penalty may request a review of the penalty of the Member.
- **12.3.3.** If more than one Member is involved in the same violation of these Rules, each Member must submit a request for review.
- **12.3.4.** If more than one Team is involved in the same violation of these Rules, each Team must submit a request for review.

## 12.4. Process to Request a Review

- **12.4.1.** A request for review shall be in writing and clearly state the errors claimed. Each claimed error must be stated in specific rather than general terms and must be accompanied by a written statement of the facts and grounds upon which it is based. The written request for review must designate a single point of contact for the party requesting the review and contain the name, address, e-mail, telephone number and facsimile number for this person who will be the only point of contact concerning the review with respect to such party.
- **12.4.2.** All requests for review shall adhere to these Rules. The request for review must be delivered or postmarked within two (2) Business Days of issuance of the penalty and sent via hand delivery or overnight delivery to:

President of Competition and Operations INDYCAR 4551 West 16th Street Indianapolis, Indiana 46222

#### 12.5. Review Process

- **12.5.1.** The review process is intended to be informal and collaborative.
- **12.5.2.** The review will be conducted by a review facilitator.
  - **12.5.2.1.** The review facilitators for 2015 will be issued by Bulletin at a later date and this <u>Rule</u> <u>12.5.2.1</u> will be automatically amended by such Bulletin.
  - **12.5.2.2.** Within one (1) Business Day of INDYCAR's receipt of a request for review, INDYCAR shall provide in writing to the party requesting the review the names of three (3) review facilitators from the list contained in <u>Rule 12.5.2.1</u>. INDYCAR shall determine the three (3) review facilitators based upon the type of penalty (e.g., technical regulation, sporting regulation), the particular specialization or expertise of the review facilitators, and such other factors as may indicate the overall ability of the review facilitator to assist in the review.
  - **12.5.2.3.** Within one (1) Business Day of the party's receipt of the three (3) review facilitators from INDYCAR, the party requesting the review shall provide in writing to INDYCAR the name of one (1) review facilitator from the three (3) review facilitators. In the event there are multiple parties submitting a review for the same violation of these Rules, the party submitting the first request for review actually received by INDYCAR shall select the review

facilitator for and on behalf of such party and all other parties requesting a review.

- **12.5.2.4.** Within one (1) Business Day of INDYCAR's receipt of the one (1) review facilitator from the party requesting the review, INDYCAR shall provide in writing to the party requesting the review confirmation of the status of the review facilitator.
  - **12.5.2.4.1.** If INDYCAR confirms the review facilitator is available, INDYCAR shall decide upon an acceptable date for the review meeting.
  - **12.5.2.4.2.** If INDYCAR confirms it was unable to contact the review facilitator or INDYCAR contacted the review facilitator but the review facilitator was unavailable, the party requesting the review shall provide in writing to INDYCAR the name of an alternate one (1) review facilitator from the same list of three (3) facilitators provided pursuant to <u>Rule 12.5.2.2</u> within one (1) Business Day of receipt of INDYCAR's confirmation. This process shall continue until such time as a review facilitator has been selected.
- **12.5.3.** The review meeting will be conducted within ten (10) Business Days of the issuance of the penalty with the review meeting date during the time period to be determined by INDYCAR.
- **12.5.4.** The review meeting will be conducted by a review facilitator at the INDYCAR offices located in Indianapolis, Indiana. If the parties and the review facilitator mutually agree, they may decide upon a location other than the INDYCAR offices in Indianapolis, Indiana and/or another location than Indianapolis, Indiana.

- **12.5.5.** The review will take place in the form of a meeting conducted by the review facilitator. The review meeting will be either an in-person meeting or via telephone or video conference. In the event the parties and review facilitator cannot agree on whether the meeting will be in-person, via telephone or via video conference, the review shall be an in-person meeting. The review meeting will not proceed for more than a single day and will not be more than four (4) hours during this day, unless agreed to by the review facilitator and all parties.
- 12.5.6. The review meeting is not open to the public and admittance is limited to INDYCAR, the party/parties requesting the review and its/their representative(s), and the review facilitator. INDYCAR and the party/parties requesting the review may not appear at the review meeting through or accompanied by legal counsel. Within two (2) Business Days prior to the review meeting. INDYCAR and each party seeking a review shall provide the review facilitator with: i) the names. titles and contact information of all attendees to the review meeting and the purpose for which each will be present at the review meeting, and ii) a summary of its position not to exceed five (5) double spaced pages in length, not including supporting documentation. The total number of attendees at the review meeting is limited to three (3) per party. INDYCAR and each party requesting the review shall have at least one (1) attendee with full authority to reach a resolution of the penalty at the review meeting.
- **12.5.7.** The review meeting is limited to the issues raised in the written request(s) for review.
- **12.5.8.** Except as otherwise provided in <u>Rule 1.1.3.3.</u>, the review and all discussions, information and documents concerning the review and at the review meeting are confidential and the review facilitator, INDYCAR, the party/parties requesting the review

and all present at the review meeting or in possession or control of such documents and information shall maintain and agree to maintain such confidentiality by entering into a confidentiality agreement supplied by INDYCAR prior to the commencement of the review meeting; provided, however, the review facilitator shall enter into the confidentiality agreement prior to receiving any information and documents concerning the review. Any party that does not timely enter into the confidentiality agreement provided by INDYCAR will not be permitted to participate in the review meeting and such party will no longer have a right to have the penalty reviewed and appealed.

- **12.5.9.** Consistent with the informal and collaborative nature of the review meeting, there shall be no transcript or recording of the meeting and the review facilitator will determine the format. Notwithstanding the foregoing, the format shall include the following components at a minimum:
  - **12.5.9.1.** At the beginning of the review meeting, the participants shall assemble in one room for a joint opening session. The parties shall identify the participants in the review. The review facilitator shall review the administrative details of the review meeting.
  - **12.5.9.2.** The review facilitator shall decide the number, duration, and participants involved in each additional session during the remainder of the review meeting.
  - **12.5.9.3.** Prior to the conclusion of the review meeting, the review facilitator shall assemble only the representatives with full authority from each party for a joint session in one room to reconsider positions, discuss alternatives, etc.
- 12.5.10. At the conclusion of the review meeting:

The review facilitator shall provide her/his thoughts concerning the penalty and whether the penalty should be upheld, overturned or modified and, if modified, provide a recommended modification. Such disclosure shall occur upon the request of a party or the review facilitator and regardless of whether the parties negotiated an independent settlement. Any decision or recommendation by the review facilitator as part of the review process is not binding upon INDYCAR or the party/parties requesting the review and is confidential and subject to the confidentiality agreement set forth in this <u>Rule 12</u>.

The review facilitator shall allocate the reasonable costs and expenses of the review facilitator amongst INDYCAR and each party submitting a review of the penalty. INDYCAR and each party submitting a review shall bear their own fees, costs and expenses.

- **12.5.11.** During the review meeting and through the conclusion of the appeal process, if applicable, the review facilitator shall not be employed by or on behalf of or otherwise affiliated in any other capacity with INDYCAR and/or any of the parties to the review and/or appeal; however, the review facilitator may continue to serve in his/her capacity as a review facilitator and/or appeal panel member for other requests for review and/or appeal.
- **12.5.12.** After the conclusion of the review meeting, the review facilitator shall destroy any and all notes, records and/or other electronic and hard copy documents received regarding the review.
- **12.5.13.** Within two (2) Business Days of the review meeting, INDYCAR and each party submitting review shall pay the review facilitator their respective share of the review facilitator costs and expenses.

**12.6.** Compliance with Procedures - Any request for review that fails to comply with any of the foregoing requirements will be dismissed with prejudice and disregarded as having never been submitted. Any error not specifically raised in the request for review is waived.

# 13. APPEALS

- 13.1. Introduction Members recognize the need for Officials to make decisions that require judgment and the exercise of discretion, often instantaneously with Events as they are occurring. They further recognize that there is a limited system of instant replay during or after an Event. Except as expressly provided in these Rules, the exercise of judgment by Officials during an Event issuing a penalty or otherwise shall not be appealed and the decision of Officials is final and binding. By submitting a membership application and in consideration of receiving the numerous benefits available, each Member agrees that the final and binding decisions of Officials are non-litigable. Each Member agrees to abide by the decision of the appeal panel. No court action of any kind may be taken by any Member. By reason of membership in INDYCAR and/or participation in an Event, a Member waives any rights such Member may otherwise have to be a party or to take any action in court seeking legal or equitable relief against any decision or action of any kind by INDYCAR, the Officials, anyone acting on behalf of INDYCAR, the review facilitator or the appeal panel. Each Member acknowledges that participation in an Event by other Members is in part in reliance on this waiver. If a Member initiates or participates in litigation in violation of these Rules, all membership privileges may thereupon be suspended and is subject to the provisions of Rule 2.8 of these Rules.
- **13.2. When is an Appeal Allowed** Only penalties satisfying the following requirements may be appealed: penalties subject to review under <u>Rule 12</u> of these Rules, penalties that have completed the review process under <u>Rule 12</u> of these Rules, and penalties that are the subject of a request for appeal in compliance with this <u>Rule 13</u>.
- **13.3.** Who May Request an Appeal Only the party/parties satisfying the following requirements may appeal a penalty: party/parties that originally submitted the penalty for review under <u>Rule 12</u> of these Rules, and parties

that have participated in the review process under <u>Rule 12</u> of these Rules, including entering into the confidentiality agreement.

## 13.4. Submission of Appeals

- **13.4.1.** If an appeal is permitted under these Rules, an appeal shall be in writing and clearly state the errors claimed. Each claimed error must be stated in specific rather than general terms and must be accompanied by a written statement of the facts and grounds upon which it is based. The written appeal must designate a single point of contact for the party submitting the appeal and contain the name, address, e-mail, telephone number and facsimile number for this person who will be the only point of contact concerning the appeal with respect to such party.
- **13.4.2.** All requests for appeals shall adhere to these Rules. The request for appeal must be delivered or postmarked within three (3) Business Days after the conclusion of the review meeting set forth in <u>Rule 12</u> of these Rules and sent via hand delivery or overnight delivery to:

President of Competition and Operations INDYCAR 4551 West 16th Street Indianapolis, Indiana 46222

## 13.5. Appeal Process and Hearing

- **13.5.1.** An appeal is intended to be formal, as compared to the informal review process.
- **13.5.2.** Except as otherwise provided in <u>Rule 11.3.3</u>, the appeal and all discussions, information and documents concerning the appeal and at the appeal hearing are confidential and the appeal panel, INDYCAR, the party/parties submitting the appeal and all present at the appeal hearing or in

possession or control of such documents and information shall maintain and agree to maintain such confidentiality by entering into a confidentiality agreement supplied by INDYCAR prior to the commencement of the appeal hearing: provided, however, each member of the appeal panel shall enter into the confidentiality agreement prior to receiving any information and documents concerning the appeal. Any party that does not timely enter into the confidentiality agreement provided by INDYCAR will not be permitted to participate in the appeal and such party will no longer have a right to an appeal of the penalty.

- **13.5.3.** There shall be no transcript or recording of the appeal hearing.
- **13.5.4.** The appeal must contain reference to the specific action by Officials from which an appeal is taken, the date of the occurrence, the reasons for the appeal, and the relief requested. The appeal must be accompanied by copies of all written documents pertaining to the appeal, such as the written review request submitted under <u>Rule 12</u> of these Rules, responses, rulings, declarations, etc. The appeal must clearly and explicitly state the basis of the appeal.
- **13.5.5.** The possible appeal panel members will be issued by Bulletin at a later date and this <u>Rule</u> <u>13.5.5</u> will be automatically amended by such Bulletin.

In the event there are multiple parties submitting an appeal for the same penalty, the party submitting the first appeal actually received by INDYCAR shall choose the appeal panel for and on behalf of such party and all other parties requesting an appeal.

**13.5.6.** Within three (3) Business Days after receiving a written appeal, INDYCAR shall conduct a conference call with the person designated as the

only point of contact for the party filing an appeal, as set forth in Rule 13.4.1 of these Rules, to choose the three (3) members of the appeal panel. During this conference call, INDYCAR and the party submitting the appeal shall be ready and have full authority to choose the three (3) member appeal panel. The party filing the appeal shall choose first and shall choose an appeal panel member from the potential members in Rule 13.5.5 above. In the event there are multiple parties submitting appeals, the party submitting the first appeal actually received by INDYCAR shall choose the appeal panel member for and on behalf of such party and all other parties submitting an appeal. Next, INDYCAR shall choose an appeal panel member from the remaining possible appeal panel members in Rule 13.5.5 above. Lastly, the third member of the appeal panel will be chosen by agreement of INDYCAR and the party appealing. In the event there are multiple parties submitting appeals, the party submitting the first appeal actually received by INDYCAR shall choose the third appeal panel member with INDYCAR for and on behalf of such party and all other parties submitting an appeal. If INDYCAR and the party choosing the third appeal panel member cannot agree on the third appeal panel member, three (3) potential appeal panel members will be randomly chosen by INDYCAR from the remaining potential appeal panel members in Rule 13.5.5 above. The party choosing the third appeal panel member shall strike one potential appeal panel member from this list, then INDYCAR shall strike one potential appeal panel member from this list, and the member remaining is the third member of the appeal panel and the chair of the appeal panel. The chair of the appeal panel, which is the third appeal panel member chosen, is responsible for coordinating with the other appeal panel members and being the only contact between the appeal panel and INDYCAR and the party/parties submitting the appeal regarding all aspects of the appeal and appeal hearing.

- **13.5.7.** Once the three (3) member appeal panel is chosen, the appeal panel shall govern the appeal process and conduct an appeal in-person hearing. Any direction, decision, determination or act by the appeal panel shall be made by a majority vote of the three (3) members of the appeal panel.
- **13.5.8.** During and through the conclusion of the appeal process, the appeal panel members shall not be employed by or on behalf of or otherwise affiliated in any other capacity with any of the parties to the review and/or appeal; however, the appeal panel members may serve in his/her capacity as a review facilitator and/or appeal panel member for other requests for review and/or appeal.
- **13.5.9.** The appeal hearing shall be concluded within seven (7) Business Days of the submission of the appeal. If the parties and the appeal panel are unable to decide upon an acceptable date during the seven (7) Business Day period, the appeal panel shall decide upon a date within three (3) Business Days after such seven (7) Business Day period (e.g. appeal panel could choose the 8<sup>th</sup> 10<sup>th</sup> Business Day) and such decision is final and binding on the parties.
- **13.5.10.** The appeal panel shall establish the timing and process for each party to submit a written statement concerning the appeal and any supporting documentation; the exact time of the appeal hearing; and who may attend the appeal hearing. INDYCAR and the party/parties requesting the appeal shall be permitted to appear at the appeal hearing through or accompanied by legal counsel. Notwithstanding the foregoing, the timing and process shall include the following components at a minimum:
  - **13.5.10.1.** Within two (2) Business Days prior to the appeal hearing, INDYCAR and each party seeking an appeal shall provide the appeal panel

with: i) the names, titles and contact information of all attendees to attend the appeal hearing and the purpose for which each present at will be the appeal hearing, and ii) a summary of its position not to exceed ten (10), double spaced pages in length, not including supporting documentation.

- **13.5.10.2.** The appeal panel shall conduct the hearing in the following manner. Prior to opening statements or the submission of proof by the party requesting the appeal, the appeal panel may request that INDYCAR submit an explanation of the basis of its decision.
  - **13.5.10.2.1.** Opening Statements The parties to the proceedings will be permitted to make opening statements, with the party requesting the appeal making the first opening statement. Each party shall state the issue(s) before the appeal panel and a brief summary of the position of the party with respect to such issue(s).
  - **13.5.10.2.2.** Order of Proof The evidence shall be received by the appeal panel in the following order:
    - **13.5.10.2.2.1.** The party requesting the appeal shall first submit and present evidence in support of the appeal. Upon the completion of direct examination, each witness shall be subject to cross-examination by the other parties and by the appeal panel.
    - **13.5.10.2.2.2.** INDYCAR and the other parties shall then be permitted to present evidence, and any witnesses presented shall be subject to cross-examination by the party requesting the

appeal, by other parties, and by the appeal panel.

#### **13.5.10.2.2.3.** Closing Arguments -Upon completion of the evidentiary portion of the hearing, all parties,

beginning with the party requesting the appeal, may make closing arguments.

- **13.5.11.** The appeal hearing will be conducted at the INDYCAR offices located in Indianapolis, Indiana. If the parties and the appeal panel mutually agree, they may decide upon a location other than the INDYCAR offices in Indianapolis, Indiana and/or another location than Indianapolis, Indiana.
- **13.5.12.** The appeal hearing is not open to the public and admittance to the hearing is by invitation of the appeal panel and can be revoked. The appeal panel shall identify the parties and other necessary participants in the proceedings. Each party is permitted a maximum of three (3) attendees at the appeal hearing, including legal counsel, but not including any Members or other witnesses appearing at the request of the appeal panel. INDYCAR and each party requesting the appeal shall have at least one (1) attendee with full authority at the appeal hearing. The appeal panel may summon any Member to testify at the hearing. If a Member is called as a witness and fails to appear, INDYCAR may revoke the Member's membership or otherwise penalize the Member and a penalty for failure to attend an appeal hearing is not subject to review and appeal.
- **13.5.13.** The hearing shall be confined to the errors claimed in the appeal and evidence relevant to those errors.
- **13.5.14.** The appeal panel shall not be limited to the technical common law rules of evidence required in judicial proceedings but shall be satisfied the

evidence submitted is of a type on which the appeal panel can rely. The appeal panel may exclude irrelevant, immaterial, or unduly repetitious evidence.

- **13.5.15.** All parties to the proceedings shall be permitted to present and cross-examine witnesses and to submit evidence, both oral and documentary. The burden of proof shall remain at all times on the party/parties submitting the appeal.
- **13.5.16.** The decision of the appeal panel is final and binding on INDYCAR and each party submitting an appeal. The appeal panel shall issue a confidential written determination of the appeal within three (3) Business Days of the conclusion of the appeal hearing and this written determination is subject to the confidentiality agreement set forth in this <u>Rule 13</u>. After the conclusion of the appeal hearing, the appeal panel shall destroy any and all notes, records, and/or other electronic and hard copy documents received regarding the appeal.
- **13.5.17.** The appeal panel shall allocate the reasonable costs and expenses of the appeal panel amongst INDYCAR and each party submitting an appeal of the penalty. INDYCAR and each party submitting an appeal shall bear their own attorneys' fees, costs and expenses. Within ten (10) Business Days from the appeal panel's decision, INDYCAR and each party submitting an appeal shall pay the appeal panel their respective share of the remaining appeal panel costs and expenses.

#### 13.6. Compliance with Procedures

**13.6.1.** Any appeal that fails to comply with any of the foregoing requirements will be dismissed with prejudice and disregarded as having never been submitted. Any error not specifically raised in the appeal shall be deemed to have been waived.

# **14. TECHNICAL SPECIFICATIONS**

## 14.1. General

- **14.1.1.** INDYCAR shall maintain a technical site on (IRIS) for Members to submit questions and INDYCAR to provide technical information or provide various technical updates when necessary.
  - **14.1.1.1.** The only binding means of communication shall be in writing.
- **14.1.2.** Questions submitted by close of business on Friday will be answered by the close of business the following Wednesday. Modifications must be approved seven (7) days prior to the date of intended use. Safety and critical issues will be answered as soon as practical.
- **14.1.3.** All parts provided by an Approved Supplier must be used as supplied without modification unless otherwise approved by INDYCAR and stated in these Rules or in update bulletins.
  - **14.1.3.1.** Bulletins on approved Manufacturer parts that are mandatory for competition shall be approved by INDYCAR.
- 14.1.4. All original Manufacturer identification markings and/or tags must remain as supplied.
- **14.1.5.** Tape is not permitted as a single source of attachment for any component.
- **14.1.6.** Changing of bolt head types is permitted.

## 14.2. Technical Inspection

- **14.2.1.** The responsibility remains with the Entrants to make sure Cars conform to all Rules throughout the course of an Event.
- **14.2.2.** The responsibility remains with the Entrants to make sure all parts used on Track have successfully completed technical inspection prior to qualifications and Race.

## 14.2.3. Reference planes and '0' coordinates

- **14.2.3.1.** All measurements shall be taken from the reference plane or '0' coordinates. These shall be established by Dallara and cannot be modified.
- **14.2.3.2.** References will be measured using the following coordinates:
  - a) "X" coordinate is defined as the relative location fore and aft of the front face of the forward chassis bulkhead - "0" being the forward face of the chassis. These measurements will be referred to as +/- the "X" line, with – (negative) being forward.
  - **b)** "Y" coordinate is defined as the location laterally from the chassis centerline, Driver's right hand side being positive.
  - "Z" coordinate is defined as the location vertically with the bottom of the skid being 0 'Z', + (positive) being up.

The bottom of the skid establishes the "chassis reference plane." For purposes of technical inspection, the "chassis reference plane" is 0.354 inches above the plane established using "Tech Tool Monocoque Nosepin Machined Plate, IR1201977" and the gearbox reference puck as

defined in "Xtrac Technical Bulletin 020" and "Dallara Technical Bulletin #13.01.

- **14.2.4.** The engine and gearbox installed angle must be 0.00 degree plus or minus 0.10 degree relative to the '0' line.
- **14.2.5.** INDYCAR may inspect, impound and/or confiscate a Car, part, equipment, item, or data associated with a Car at any time. INDYCAR is not responsible for damage or loss as a result of inspection procedures, impounding, or confiscation.
- **14.2.6.** INDYCAR may examine any Car involved in a crash and determine if it is suitable for further participation and all Members shall cooperate in the preparation of damage reports, photographs, videotaping and impact recording analysis.
- **14.2.7.** Chassis, nose and attenuator structural repairs may only be made by Dallara.
- **14.2.8.** Chassis-repair forms must be submitted to INDYCAR for approval prior to the Car participating in the next Event.
- **14.2.9.** Water pipes must be used as supplied by Dallara.
- **14.2.10.** Oil pipes are open to development but must have a six (6) inch flexible section at the radiator end of the pipe.
- **14.2.11.** Hoses/fittings/nuts and bolts may be individually sourced by the Entrants.

Commercial Part Number	Dallara Ref. Code	Application
IR1204A019	IR1222050	SWY F Mainplane
IR12048025	IR322030	Beam Wing, RC F Mainplane
IR1204B039	IR1222095	New (optional) RC F Mainplane
IR1207B016	IR1222004	Caliper Stud M10
IR1208A005	IR345079	Rear Rocker Main Stud
IR1208A014	IR1222014	Rear Suspension Clevis Stud 7/16, with dowel
IR1208A015	IR1222013	Rear Suspension Clevis Stud 7/16, w/o dowel
IR1221A001	IR1222042	Lower Engine Stud, Honda Installation
IR1221A002	IR1222041	Upper Engine Stud, Honda Installation
IR1221B003	IR1222033	Bellhousing to Gearbox Stud 7/16
IR1221B009	IR1222031	Bellhousing to Gearbox Stud 7/16
IR1222A001	IR1222058	Lower Engine Stud, Chevrolet Installation
IR1222A002	IR1222056	RH Upper Engine Stud, Chevrolet Installation
IR1222A003	IR1222057	LH Upper Engine Stud, Chevrolet Installation

**14.2.12.** The following studs must be used as supplied:

- **14.2.13.** Oil/greases are open to development with the exception of <u>Rule 14.16.1</u>.
- **14.2.14.** Heat shielding other than fabrics or sleeving must be approved by INDYCAR.
- **14.2.15.** After a crash, an Entrant must present the following items and any others to INDYCAR, upon request:
  - a) Driver's Helmet
  - b) Driver's Head Restraint System
  - c) Driver's Seat
  - d) Chassis Headrest
  - e) Steering Wheel
  - f) Ear Pieces

INDYCAR will inspect the items. Any item that does not successfully complete inspection must be repaired, re-certified or replaced by the Entrant at the Entrant's cost prior to use. **14.2.16.** The maximum amount of fuel allowed in the Car when coming through technical inspection is 6 gallons. The Car must be empty of fuel when being weighed.

## 14.3. Car Weight and Driver Equivalency Weight

## 14.3.1. Car Weight

- **14.3.1.1.** The minimum weight shall include all lubricants, coolants and camera or dummy camera housings. Fuel, Driver, drink bottle and contents and Driver equivalency weight are excluded.
  - **14.3.1.1.1.** Minimum weight for Road/Streets & Short Oval Events will be 1610 pounds.
  - **14.3.1.1.2.** Minimum weight for Speedway Events will be 1580 pounds.
- **14.3.1.2. Ballast** All ballast must be securely fastened, approved by INDYCAR and declared at technical inspection. The only two (2) approved ballast locations are keel and skids.
- **14.3.1.3.** Entrants are permitted one drink bottle per Car. The drink bottle must be installed in the side pod but cannot be installed during qualifications.
- **14.3.2. Driver Equivalency Weight** INDYCAR shall determine the additional Driver Equivalency Weight required and shall notify each Entrant by bulletin. The Driver Equivalency Weight must be installed and secured in the designed location forward of the seatback as per the following illustration. The Driver Equivalency Weight must be a hard dense metal. Violation of this <u>Rule 14.3.2</u> may result in a minimum \$100,000 monetary fine and/or such other penalties as INDYCAR shall deem appropriate.

- **14.3.2.1.** Driver Equivalency Weight will be required to bring the combined weight of Driver and Driver ballast to 185lbs. The Driver ballast weight tolerance is 0 to + 1.00lbs. INDYCAR reserves the right to weigh any Driver at any time and adjust Driver ballast accordingly.
- **14.3.2.2.** In addition to the location specified in <u>Rule 14.3.2</u>, a 10lbs (tolerance 0 to + 0.50lbs) Driver ballast weight may be added to the front face of the pedal bulkhead as per approved drawing supplied by Dallara. This location may only be used for Driver ballast; only Drivers required to run 10lbs or more ballast may use this location.
- **14.3.2.3.** All Drivers must be reweighed at technical inspection within 15 minutes after the conclusion of the first practice session on a Race weekend. Drivers that do not present themselves at technical inspection for weight will not be permitted to participate in qualifications. Drivers must wear the appropriate attire as stated in <u>Rule 1.2.7</u>. A minute will be deducted from the end of the next practice session for every minute a Driver is late for the mandatory weigh in.

The technical inspection pad will be available for Driver reweighs during the set-up day; however this option does not supersede the mandatory reweigh after the first session. Weight changes are subject to the following:

- a) A Driver weight change of plus or minus 3lbs. – Car and/or Driver weight will remain unchanged and Entrants are not permitted to change their Driver ballast.
- A Driver weight change more than plus or minus 3lbs. – Entrants must change their

Driver ballast before the current Race's qualification session.

c) A Driver weight change of more than plus or minus 7lbs. - Entrants may be subject to penalty.



#### 14.4. Chassis

**14.4.1. Timing Transponder** – The timing transponder must be used in the designated location as supplied by INDYCAR at all times.

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**14.4.2. On Board Fire Equipment** - Each Car must have the Dallara supplied built-in operable fire extinguishing equipment with a minimum content of 2.250 liters in the Dallara/INDYCAR specified location. The U.S. Department of Transportation approved fire suppressant used must be AFFF-M-E fluid.

- 14.4.3. Impact Recorders All Cars must make provisions for the installation of an impact recorder.
  - **14.4.3.1.** The impact recorder supplied by INDYCAR and used without modification, must be securely bolted using four (4) bolts to the main chassis structure in the Dallara/INDYCAR specified location.
  - **14.4.3.2.** The impact recorder download block and indicator lights must be located in the Dallara/INDYCAR specified location.
- **14.4.4. Mirrors Lens** The minimum mirror glass dimension is 6.000 inches wide by 2.000 inches tall with a corner radius of 0.375 inches. No portion of the mirror lens may be recessed in the mirror housing by more than 0.250 inches. The standard Dallara mounting location for the lens must not be moved and the housing must be trimmed to comply with-the 0.250-inch dimension.
  - **14.4.4.1.** The top of the mirror housing must be between 0 degrees to +7 degrees (nose down) in the longitudinal axis.
- **14.4.5.** Cockpit Cars must have fitted all the Dallara supplied cockpit panels and EPP foam panels in the footbox, leg and seat area. No modifications can be made without prior INDYCAR approval.
- **14.4.6.** Windscreen All windscreens must be approved by INDYCAR prior to use.

## 14.4.7. Seating System

**14.4.7.1.** Each seat must be manufactured for a specific Driver. Each seat must have a unique identification tag from the seat manufacturer and be date stamped. All seats must be inspected and approved by INDYCAR before use and will be subject to re-inspection by INDYCAR

at any time. INDYCAR may require a seat to be replaced.

- **14.4.7.2.** The Driver's seat must conform to the Driver's anatomy and be constructed of an INDYCAR- approved material that will permit support and energy-attenuation both laterally and rearward. This material must fill as much of the cockpit under, behind and to the side of the Driver as possible. The seating system must be in place when the Car is inspected.
- **14.4.7.3.** When the Driver is seated, there must be a smooth transition of energy-attenuating materials between the top of the seat and the cockpit rim padding, including the rear headrest. There must be no projections that could provide a fulcrum between the Driver's head and neck.
- **14.4.8.** Seat Belts An approved seat belt with an approved quick-release mechanism must be used. Both the fastening design and condition of the belt is subject to inspection by INDYCAR. Life of the belts in use shall not exceed 5 years and must be date-stamped by the manufacturer. All belts must conform to the following SFI specifications: 3 inch SFI 16.1, 2 inch SFI 16.5 or FIA 8853/98 or equivalent specification as approved by INDYCAR.
  - **14.4.8.1.** Seat belts must be worn in such a manner that they are tight and pass around the pelvis at a point below the anterior superior iliac spine.
  - **14.4.8.2.** Seat belts may not pass over the sides of the seat. They must come through the seat at the bottom on each side thereby wrapping and holding the pelvis over the greatest possible area.
  - **14.4.8.3.** 6-point (crotch) belts must be connected to the main belt quick-release

mechanism and securely attached to the chassis.

- **14.4.8.4.** Seat belts which have had to withstand a crash in excess of fifty (50) Gs must be replaced.
- **14.4.9. Shoulder Harness** Two (2) over-theshoulder straps must be used. HANS® specific double-shoulder belts are also permitted provided they are mounted and used according to manufacturer specifications. Both the fastening design and condition of the straps is subject to inspection by INDYCAR. Life of the straps in use shall not exceed two (2) years and must be datestamped by the manufacturer. Belts should be attached level with the top of the Driver's shoulders or at a slightly downward angle.
  - **14.4.9.1.** Mounting of all belts must use the manufacturer supplied mount and use the supplied mounting positions unless otherwise approved by INDYCAR.
- **14.4.10. Headrest** -Headrests must be used as supplied by Dallara (Oval Events: IR1201B033, Road/Street Course Events: IR1201B032). Oval headrests may only be covered with decal.
  - **14.4.10.1.** All headrests must be inspected and approved by INDYCAR before use and will be subject to confiscation and re-inspection by Officials at any time. INDYCAR shall affix stickers to the headrests signifying approval for use. At any time, Officials may remove the approval stickers and require the headrests to be re-inspected and refurbished by Dallara before INDYCAR may consider them for reapproval.

- **14.4.10.2.** Headrests must be attached by the Dallara supplied mechanism. The headrest must be in place during technical inspection.
- **14.4.11.** Additional Headrest Padding Additional side padding must not exceed the height of the stock headrest as viewed from the side. Additional rear padding must not exceed the height or width of the headrest as viewed from the front. All padding must be contained in the original headrest dimensions as looked at in plan view.

The additional headrest padding, if used, must consist of separate pieces; left side, right side and/or rear. The side pieces are restricted to 15.000 inches in overall length and must have a minimum 3.000 inch leading edge taper from the front edge when viewed from the top (plan view).

The additional padding must be removable independently of each other without the use of tools. All additional padding must be manufactured completely of foam, each piece may have a single layer Kevlar backing to assist in the mounting providing that it remains flexible and does not interfere with the original function of the stock headrest.

Any covering used on the additional padding must remain flexible and be approved by INDYCAR. Additional padding may not be taped along any surface. All additional pads and coverings must be inspected and approved by INDYCAR prior to use.

**14.4.12.** Roll Hoop – The Drivers helmet must be a minimum of 7.000 inches below the chassis mounting face of the roll hoop camera.

#### 14.4.13. Car Tracking System, In-Car Cameras and On Board Video Equipment

- **14.4.13.1.** Car Tracking System The INDYCAR- approved car tracking system must be installed in the designated location(s) on each Car and must be operational at any time the Car is participating in on-Track Events unless otherwise notified by INDYCAR.
- **14.4.13.2. In-Car Cameras** As requested by INDYCAR, each Car must use the cameras or dummy equipment as supplied by Broadcast Sports Inc. (BSI)/INDYCAR.

#### 14.4.13.3. On Board Video Equipment -Entrants are permitted to use their own on

board video equipment, provided it be used during practice only and pre-approved by INDYCAR.

## 14.5. Dimensions

## 14.5.1. Wheelbase

- **14.5.1.1.** The maximum wheelbase is 121.500 inches and minimum is 117.500 inches.
- **14.5.1.2.** The wheelbase of the Car, left to right, may have a maximum variance of 0.750 inch.
- 14.5.2. Track Width (measured at axle center line)
  - **14.5.2.1.** A maximum shim of 4mm may be used under the top rear wishbone studs and the rear toe link studs only. Spacers must be the same thickness for both front and rear wishbone legs.
  - **14.5.2.2.** Oval Events Front and rear are limited to a minimum of 75.750 inches and a maximum of 76.750 inches.

## 14.5.2.3. Road & Street Course Events - Front

and rear are limited to a minimum of 75.500 inches and a maximum of 76.500 inches.

## 14.5.3. Track Width Offset

- **14.5.3.1. Oval Events** The Car can be between 0.150 inches off center to the left or 0.600 inches off center to the right.
- **14.5.3.2.** Road & Street Course Events A maximum of plus or minus 0.250 inches is permitted left or right of center.

#### 14.6. Aerodynamics - All Aero Kits

- **14.6.1.** Engine installation components specific to each Aero Kit must be used as supplied by the approved Engine Manufacturer.
- **14.6.2.** Attachments or devices that are movable or adjustable while the Car is in motion and which may affect airflow or aerodynamics are not permitted.

#### 14.6.3. Wickers

- a) Maximum height of 1.000 inches;
- b) Maximum mounting face 0.750 inches long;
- c) Securely fixed with a minimum of three (3) mechanical fasteners if greater than 6.000 inches in length;
- d) Wickers less than 6.000 inches must have a minimum of 2 mechanical fasteners;
- e) Must be at 90 degrees to the trailing edge;
- f) Must be rigid and between 0.043 and 0.100 inches thick;

- **g)** Must be parallel, stepped or tapered in profile with no sharp corners;
- h) The only form of fastener permitted for the rear wing wicker attachment is bolts. No tape or adhesive is permitted.
- **14.6.3.1.** Center Line Wicker At all Events, chassis' run with a Honda Aero Kit must be fitted with a center line wicker as supplied by Aerodine Composites. A chassis run with a GM or Dallara Aero Kit must not be fitted with a center line wicker.



#### 14.6.4. Front Wing

#### All Events

**14.6.4.1.** No painting, adhesive film, or any other substance may be added to the lower wing mainplane surface from a point two (2) inches behind the leading edge of the wing to the trailing edge of the wing.

#### Road / Street & Short Oval Events

**14.6.4.2.** The maximum overall width of the mainplane is 59.100 inches and minimum is 58.800 inches.

- **14.6.4.3.** The bottom surface of the wing mainplane shall be no less than 2.950 inches above the chassis reference plane.
- **14.6.4.4.** The maximum amount of front wing deflection will be 0.300 inches with a suspended load of 75 pounds applied at each outer end of the front wing end fences.
- **14.6.4.5.** Shimming of the front wing mainplane is permitted to achieve heights and wing angle within the Rules.
  - **14.6.4.5.1.** The front wing mainplane angle must be set at 1.92 degrees, nose down plus or minus 0.15 degrees.

# Indianapolis 500<sup>®</sup> Mile Race & Speedway Events

**14.6.4.6.** The front wing deflection allowed will be 0.425 inches with a suspended load of 50 pounds applied at each outer end of the front wing end fences.

## 14.6.5. Rear Wing

## All Events

- **14.6.5.1.** No shimming of the rear beam wing is permitted.
- **14.6.5.2.** The top surface of the mainplane must be covered with 3M-IJ180C White ControlTac with Comply and 3M-8518 Gloss Over laminate. The mainplane wrap must start at the trailing edge and extend one (1) inch around the leading edge of the lower surface. The mainplane wrap may have printing and decals applied, provided the printing or decals are approved by INDYCAR prior to use.

- **14.6.5.3.** One 2.0 inch strip of helitape may be placed along the leading edge of the mainplane, provided it is applied 1.0 inch below and 1.0 inch above the centerline of the leading edge of the mainplane.
- **14.6.5.4.** During initial technical inspection at each Promoter Test and Race, the rear wing mainplane must be presented without the mainplane wrap.

## Road / Street & Short Oval Events

- **14.6.5.5.** The rear wing mainplane must be set at 0.0 degrees plus or minus 0.50 degrees.
- **14.6.5.6.** The mainplane trailing edge height, as measured from the chassis reference plane is 28.060 inches plus or minus 0.050 inches.

# Indianapolis 500<sup>®</sup> Mile Race & Speedway Events

- **14.6.5.7.** When the Dallara mainplane is used (IR1205B001), the mainplane trailing edge height, as measured from the chassis reference plane, is 27.960 28.210 inches.
- **14.6.5.8.** The rear wing end plate camera must be set at 0.0deg +/-0.50deg. The only exception is if the rear wing mainplane angle is adjusted after the Race has started.

## 14.6.6. Sidepod / Underwing

**14.6.6.1.** For all Events, the 2015 underwing IR1203A032/33 must be used. The underwing protectors IR1203A034/35/37 & 38 are mandatory at all times.

**14.6.6.2.** Standard sidepods / underwing as supplied in technical inspection must be able to fit and be fastened at any time, utilizing all original fasteners.

## 14.6.6.3. Radiator Screens

- a) Radiator screens and blockers are open to development (mesh and honeycomb); vertical internal turning vanes may be added to the radiator ducts.
- **b)** Front and/or rear radiator panels are permitted. These parts are manufactured by an Entrant and must be mounted on the front or backside of the radiator. Panels must be flat and may have a small return whose sole purpose is to provide a fixing to maintain the location.
- **14.6.6.4.** Sidepods and underwings must be able to withstand two (2) load checks.
  - a) The maximum front deflection allowed will be 0.275 inches with a suspended load of 75 pounds.
  - b) The maximum rear deflection allowed will be 0.200 inches with a suspended load of 75 pounds.
- **14.6.6.5.** No paint may be added to the underwing surface from a point 2.000 inches behind the leading edge of the underwing. From this point aft, only clear coating may be applied.

**14.6.6.6.** The underwing step plane heights must adhere to the following illustration. The heights of 1.200 inches, 1.250 inches, 1.370 inches and 1.400 inches have a tolerance of plus 0.200 inches and minus 0.000 inches.



- **14.6.6.7.** The complete underwing as provided by Dallara cannot be modified or altered. The underwing must be used in the configuration designated by INDYCAR for any specific on-Track Event.
- **14.6.6.8.** Ballast cannot be bolted or bonded to the underwing.
- **14.6.6.9.** The exit of the underwing height is 7.600 inches with a tolerance of plus 0.050 inches and minus 0.050 inches.
- **14.6.6.10.** Overall width of the bodywork may not exceed 79.100 inches.

#### 14.6.7. Bodywork

- **14.6.7.1.** An Entrant's Car must be able to fit a standard IndyCar Series chassis and standard INDYCAR tech parts must fit the Entrant's Car. All intended shapes and radii must remain as designed.
- **14.6.7.2.** All included fasteners must remain and be in the locations as delivered. Entrants are permitted to add extra fasteners.
- **14.6.7.3.** The sidepods and/or shelves, fuel cell covers, buckeye covers and exhaust outlet panels must remain removable.
- **14.6.7.4.** The sidepods and/or shelves, fuel cell covers and underwing to tub may be blended to reduce the mounting lip. There must be a distinct join line defining each part from its mounting surface.
- **14.6.7.5.** The anti-intrusion panel, the 2014 chassis reinforcement panels and the 2015 FLWB anti-intrusion plate (Dallara etching must remain

visible) may be blended until there are no distinctions between parts.

- **14.6.7.6.** The headrest to the chassis cockpit rim interface cannot be blended.
- **14.6.7.7.** All of the remaining underwing parts (splitters, sidewall extensions etc.) must remain as supplied with the exception of adding extra fasteners or the permitted trim to the underwing sidewall.
- **14.6.7.8.** All other parts may be fitted to reduce gaps and uneven heights. In these instances, the chassis should remain as supplied to ensure standard parts can fit. No tongue-and-groove, dovetail, or other types of body fitting will be allowed.
- **14.6.7.9.** Tape may be applied to bodywork and chassis junctions or openings providing there is no change to the intended shape or profile of the original part.
- **14.6.7.10.** Bell housing cooling duct (Dallara part #: IR1221A004) is optional. The mating part bonded to the radiator inlet duct may be removed.
- 14.6.8. Skids application according to <u>Rule 14.7.5</u> and <u>Rule 14.8.5.</u>

#### 14.6.8.1. Flat Skids

**14.6.8.1.1.** Skids must be made to the drawings supplied by Dallara. Split lines are open to development and original puck holes may be removed.

- **14.6.8.1.2.** Skids must be made from 3.0mm material or 0.125 inch material.
- **14.6.8.1.3.** Approved materials are brass, carbon, stainless steel, aluminum, jabroc and plastic.

#### 14.6.8.2. Domed Skids

- **14.6.8.2.1.** Domed skids must be used as supplied by Dallara without modification.
  - **14.6.8.2.1.1.** New Ti skid blocks must be used as supplied by Dallara without modification for qualifications. These blocks must be removed after qualifying and only be refitted for the Race.
- **14.6.8.2.2.** Domed skid wear will be subject to penalty.
- 14.6.9. Air horn The air horn must be used as supplied. An INDYCAR-approved air filter in the designated location must be used.

#### 14.7. Aerodynamics - Dallara Aero Kit

**14.7.1. Wickers** – Wickers are permitted in the following places (see illustrations) and have the following restrictions:

#### 14.7.1.1. Road/Street Courses/Short Oval Events

- a) Must be mounted on the top surface of wing elements at the trailing edge;
- **b)** Rear wing end fence wickers are only permitted on Road/Street Course Events.
- c) The front end plate wicker (Dallara Part #IR12-04B023) may be trimmed to increase brake cooling.
d) On the underwing trailing edge, Road/Street & Short Oval Events only









### 14.7.1.2. Indianapolis 500<sup>®</sup> Mile Race and Speedway Events

- a) Must be mounted on the top surface of wing elements at the trailing edge, with the exception of <u>Rule 14.7.2.2.b</u>;
- b) A single reverse wicker may be mounted on the bottom outboard trailing edge of the front wing with a maximum length of 6.000 inches.









# All Events

- **14.7.2.1.** The complete front wing assembly must be used as supplied without modification and used in the configuration designated by INDYCAR.
- **14.7.2.2.** The trailing edge thickness of the front wing mainplane and flaps must be 0.075 inches plus 0.050 inches or minus 0.025 inches.
- **14.7.2.3.** The overall thickness of the end fence is 0.800 inches plus or minus 0.050 inches.

# Road / Street & Short Oval Events

- **14.7.2.4.** The lower flap width is 14.910 inches, plus or minus 0.050 inches.
- **14.7.2.5.** The upper flap width is 14.930 inches, plus or minus 0.050 inches.
- **14.7.2.6.** The width of the end fence foot is 6.000 inches, plus or minus 0.050 inches.

## Indianapolis 500® Mile Race and Speedway Events

- **14.7.2.7.** The overall width of the mainplane is maximum of 58.350 inches and minimum of 58.050 inches.
- **14.7.2.8.** The bottom surface of the wing shall be no less than 4.400 inches above the chassis reference plane.
- **14.7.2.9.** The width of the end fence foot is 4.870 inches plus or minus 0.050 inches.

**14.7.2.10.** The bottom edge of the end fences must be a minimum of 2.550 inches above the chassis reference plane.

# 14.7.3. Rear Wing

# All Events

- **14.7.3.1.** The complete rear wing assembly must be used as supplied without modification and used in the configuration designated by INDYCAR.
- **14.7.3.2.** The overall width of the mainplane is 41.720 inches, plus or minus 0.050 inches.
- **14.7.3.3.** Shimming of the rear wing mounting blocks is permitted to achieve heights, set back and wing angle within the Rules.
- **14.7.3.4.** The rear wheel guard angle is 90 degrees, plus or minus 1.00 degree in the "X", "Z" plane and the "Y", "Z" plane.
- **14.7.3.5.** The trailing edge thickness of the rear wing mainplane and flaps must be 0.080 inches, plus 0.050 inches minus 0.025 inches.
- **14.7.3.6.** No part of the rear wing mainplane may extend rearward of the differential axle centerline more than 26.188 inches.
- **14.7.3.7.** The top edge of the end fence must be parallel to the chassis reference plane, plus or minus 0.250 deg.
- **14.7.3.8.** The maximum amount of rear wing mainplane deflection is 0.100 inches with a 250lb. load applied.

### Road / Street Courses & Short Oval Events

- **14.7.3.9.** The maximum amount of rear flap deflection is 0.500 inches with a 100lb. load applied.
- **14.7.3.10.** The overall width of the flap is 41.700 inches, plus or minus 0.050 inches.
- **14.7.3.11.** Flap must be covered with 3M-IJ180C White ControlTac with Comply and 3M-8518 Gloss Over laminate. It may have printing and decals applied, providing the printing or decals are approved by INDYCAR prior to use. One 2.000 inch strip of helitape may be placed along the leading edge of the flap provided it is applied 1.000 inch below and 1.000 inch above the centerline of the leading edge of the flap.
- **14.7.3.12.** The overall thickness of the end fence is 0.430 inches plus or minus 0.050 inches.
- **14.7.3.13.** Rear wing slot gap The flap angles are in degrees. The slot gaps are in inches.

Top Flap Angle	Min.	Std.	Max.
10	0.250	0.359	0.389
36	0.300	0.412	0.442
38	0.300	0.408	0.440
46	0.290	0.399	0.429

### Speedway Events

**14.7.3.14.** The mainplane trailing edge height, as measured from the chassis reference plane is 27.960 - 28.210 inches.

# 14.7.4. Race Location Specific Configurations

Dallara Aero Kit Race Location Specific Configurations	Phoenix	Indianapolis 500	Texas	Iowa	Pocono	Road & Street
Rear Mainplane IR1205B001	м	0° -> -10.5°	-6° -> -10.5°	м	0° -> -10.5°	м
Rear Flap IR1205C002 max angle	Max 34°	-	-	Max 19°	-	0
Rear Mainplane End Cap IR1205B011(RH)/12(LH)	-	м	м	-	м	-
Rear Indy Wheel Guard IR1205A019/20	U	м	м	U	м	U
Standard Rear Wheel Guard IR1205A003/04	м	U	U	м	U	м
Rear Wing Wicker	0	0	U	0	0	0
Rear Wing End Plate Wickers	U	U	U	U	U	0
Side Wall IR1203A007/08	U	0	0	U	0	U
Trimmed Side Wall IR1203A007/08	U	0	0	U	0	U
Underwing Strake IR1203A003/04	U	U	U	U	U	U
Sidepod Top Infill IR1202A031/32	U	0	0	U	0	U
SWY Front Brake Backing Plate IR1210E001/02	м	0	0	м	0	U
Rear Wheel Backing Plate IR1210H001->011	U	0	0	U	0	U
2/3 Radiator Inlet Shutter IR1202A029/30	0	0	0	0	0	ο
Underwing Knob protector IR1203A039/40	0	0	0	0	0	0
Skid	F	D	D	F	D	F

M= Mandatory O= Optional

**y** 

U= Unapproved

F= Flat D= Domed

### 14.7.5. Sidepods / Underwing

- **14.7.5.1.** Only the following types of radiator blanking are approved:
- a) Dallara Inlet Shutter Entrants are permitted to trim the standard Dallara inlet shutter in the specified locations and are permitted to add mounting flanges to the backside of the panel.



b) Dallara Blanking Panel –This part may be manufactured by an Entrant or purchased from Dallara. Panels must be mounted perpendicular to the Car centerline in the approved location at the entrance to the radiator inlet duct.



**14.7.5.2.** The three (3) screws that hold the two (2) sidepod halves together may be changed to Tridairs but the locations must remain "as is".

# 14.8. Aerodynamics – Non Dallara Aero Kits

- **14.8.1.** Non Dallara Aero Kit Approved Suppliers must supply their respective Entrants and INDYCAR with a list of Homologated Aero Kit components and location of such components on the Car.
  - **14.8.1.1.** Aero Kit Approved Suppliers will be advised of allowable tolerances for technical inspection.
- **14.8.2. Wickers** Wickers are permitted in the following places:
  - a) Where Homologated (and must fit entirely within the Volume box)
  - b) On the underwing trailing edge, Road / Street & Short Oval Events only



- c) On the beam wing trailing edge
- d) On the Road Course Events front wing mainplane trailing edge inboard of the flap

# All Events

**14.8.3.1.** The front wing assembly must be used as supplied without modification and used in a configuration as Homologated.

# Indianapolis 500® Mile Race and Speedways

- **14.8.3.2.** The bottom surface of the wing shall be no less than 4.300 inches above the chassis reference plane.
- **14.8.3.3.** The bottom edge of the end fences must be a minimum of 2.530 inches above the chassis reference plane.
- 14.8.3.4. The maximum amount of front mainplane deflection will be advised by bulletin.

# 14.8.4. Rear Wing

# All Events

- **14.8.4.1.** The rear wing assembly must be used as supplied without modification and used in a configuration as Homologated.
- **14.8.4.2.** The rear wheel guard must be used as supplied without modification and used in a configuration as Homologated.
- **14.8.4.3.** 2015 Homologated rear wheel guards must have blanking panels installed at the Indianapolis 500<sup>®</sup> Mile Race and all Superspeedway Events.
- **14.8.4.4.** The maximum amount of rear flap deflection will be advised by bulletin.

**14.8.4.5.** The maximum amount of rear mainplane deflection will be advised by bulletin.

Manufacturer Aero Kit Race Location Specific Configurations	Phoenix*	Indianapolis 500	Texas*	Iowa*	Pocono	Road & Street
Rear Mainplane IR1205B001	м	0° -> -10.5°	-6° -> -10.5°	м	0° -> -10.5°	м
Aero Kit Indy 500 Rear Mainplane	-	0	U	-	U	-
Rear Wing Wicker	ο	0	U	0	0	ο
Max Rear Wing Flap Height and Setting	Z=34.33	-	-	Z=32.65	-	ο
Side Wall IR1203A007/08	U	0	0	U	0	U
Trimmed Side Wall IR1203A007/08	U	0	0	U	0	U
Underwing Strake IR1203A003/04	U	U	U	U	U	U
SWY Front Brake Backing Plate IR1210E001/02	м	0	0	м	0	U
Rear Wheel Backing Plate IR1210H001->011	U	0	0	U	0	U
Homologated Optional Components	0	0	0	0	0	0
Underwing Knob protector IR1203A039/40	0	0	0	0	0	0
Dallara beam wing flaps IR1205A023 & IR1205A024	U	м	м	U	м	U
Skid	F	D	D	F	D	F

# 14.8.5. Race Location Specific Configurations

\*Subject to additional restrictions

- M= Mandatory
- O= Optional

U= Unapproved

F= Flat D= Domed

# 14.8.6. Sidepods / Underwing

**14.8.6.1.** The inboard bell housing and gearbox stays must be used as Homologated and be fixed in the neutral position.

- **14.8.6.2.** The Dallara underwing stays must remain as supplied or as Homologated by the Aero Kit Approved Suppliers.
- **14.8.6.3.** The Dallara radiator box stays must be fitted at all times and used as supplied.
- **14.8.6.4.** The sidepods and engine cover must be used as supplied without modification and used in a configuration as Homologated.

## 14.9. Fuel System

- **14.9.1.** The fuel system must remain as supplied by Dallara, with the following exceptions:
  - a) Standard collector pot (IR1214B001) must be used but may be modified. It must continue to be made from aluminum and maintain a cylindrical shape. The volume may not increase by more than 0.100 gallons and the mounting must remain as supplied. A drain valve must remain at the base of the collector for inspection purposes. Modifications, additions or removal to flap valves, check valves and breathers are examples of permitted modifications.
  - b) Standard elephant trunk (IR1214C002) must be used but the foot may not be less than 3.000 inches from the floor of the fuel cell. Breather holes may be added or removed.
  - c) Standard fuel bladder vent (IR1214C001) must be used but can be modified for fuel cell height. Breather holes may be added or removed.
  - **d)** Plumbing of the fuel cell lines are open to development.
  - e) Filters may be added or changed.

- f) Pressure sensors may be added provided they otherwise comply with the Rules.
- **14.9.2.** The maximum capacity of the fuel cell shall be 18.500 U.S. Gallons.
  - **14.9.2.1.** The only approved method of fuel cell volume reduction is by the addition of fuel cell airtight balls inside the fuel cell.
- 14.9.3. Lanyard-secured self-sealing breakaway valves must be used on the supply and return lines. All installations are subject to the approval of INDYCAR.
- **14.9.4.** In the event a fuel cell buckeye is not being utilized at a Race Location, a blanking plate with a minimum thickness of 0.250 inches must be securely fitted utilizing all of the holes in the fuel cell.

### 14.10. Steering and Suspension

- **14.10.1.** Steering wheels must incorporate an approved quick release mechanism.
- **14.10.2.** Only the Dallara supplied parts and rack and pinion options may be used. Modifications to the pinion bearing are permitted with INDYCAR approval.
- **14.10.3.** Only the Dallara supplied suspension parts may be used. Steel steering arms may be updated to the Dallara drawing below.

Part Number	Dwg Number
IR1206H004	IR1255103
IR1206H005	IR1255107
IR1206H006	IR1255116

14.10.4. Suspension parts may not be controlled or activated electronically, with the exception of the weight jacker. **14.10.5.** One weight jacker may be used at Oval Events only. This must be fitted on the right rear damper of the Car with a maximum travel of 0.500 inch. This must be controlled through the approved control box to which no modifications are permitted.

## 14.11. Dampers

- 14.11.1. Dampers and inerters are open development components, provided they otherwise comply with these Rules.
- **14.11.2.** Damper is a mechanical device utilizing hydraulic fluid to dissipate energy.
- **14.11.3.** Each corner must have one damper and one spring. Helper springs, bump rubbers and packers are permitted, provided they are fitted with the primary spring.
- **14.11.4.** Front and rear third control springs, bump rubbers and packers are permitted. No front or rear third dampers, inerters or any other device are permitted nor any modification to the manufacturer supplied parts.
- 14.11.5. Carbon and titanium springs are not permitted.
- **14.11.6.** The dampers must operate independently on each corner of the suspension; they must react directly to the input of that corner as connected to the suspension system.
- **14.11.7.** The damper must be attached at one end to the chassis mounting point and the other end directly to the suspension rocker.
- **14.11.8.** Connecting dampers side-to-side or front to rear is not permitted.
- 14.11.9. Dampers cannot be adjusted by the Driver.

- **14.11.10.** The damper assembly must be contained within the approved designated volume. This CAD file is available from Dallara.
- **14.11.11.** No independent inerters or mass dampers are permitted.

## 14.12. Driveshafts / Hubs

- 14.12.1. Only Dallara parts may be used as supplied.
- **14.12.2.** Dallara wheel lock parts must be used as supplied.
- **14.12.3.** Upright bearings and seals must be used as specified by Dallara.
- **14.12.4.** Hybrid and/or ceramic bearings are not approved for use.

# 14.13. Suspension Wheel/Wing Energy Management System (SWEMS)

- 14.13.1. SWEMS must be used as supplied by ARCR/Amick Associates and approved by INDYCAR.
- **14.13.2. Front Suspension** The front suspension restraints must consist of a minimum of three (3) SWEMS per suspension corner. All SWEMS must have a break load of 100 kN. The SWEMS must be mounted in Dallara's designated location. All mounting points must be free of sharp edges.
- 14.13.3. Rear Suspension The rear suspension restraints must consist of a minimum of three (3) SWEMS per suspension corner. All SWEMS must have a break load of 100 kN. The SWEMS must be mounted in Dallara's designated location. All mounting points must be free from sharp edges.

- 14.13.4. Rear Wing SWEMS The rear wing SWEM must consist of:
  - a) One (1) SWEM between the mainplane and the attenuator with a break load of 100 kN and mounted in the Dallara designated location or as Homologated by the Aero Kit Approved Suppliers.
  - b) One (1) SWEM between the attenuator and the gearbox with a break load of 100 kN and mounted in Dallara's designated location.
- 14.13.5. Nose SWEM For superspeedways only, the nose SWEM must consist of one (1) SWEM attached to the chassis with a break load of 30kN and mounted in the designated location.
  - **14.13.5.1.** The superspeedway front mainplane must also be attached by the nose SWEM.
- **14.13.6.** Beam Wing SWEM The beam wing SWEM must consist of two (2) SWEM attached to the attenuator with a break load of 30kN and mounted in the designated location.
- 14.13.7. Rear Wheel Guard SWEM The rear wheel guard SWEM must consist of one (1) SWEM between the rear wheel guard and beam wing with a break load of 30 kN and mounted in the designated location.
- **14.13.8.** The SWEMS must be replaced if damaged or as required by INDYCAR.

### 14.14. Brakes

### All Race Locations

**14.14.1.** Only brake parts as supplied by the approved brake Manufacturer and approved by

INDYCAR are permitted. No modifications are permitted.

- 14.14.2. Cars must be equipped with a dual-braking system to operate the brakes effectively on all four (4) wheels. The use of computer logic to control any function of the braking system is not permitted.
- **14.14.3.** Any devices designed to push or pull back caliper pistons or pads are not permitted (pull back brakes in any form are not permitted).
- **14.14.4.** The following brake disc guards must be used:
  - Front L/R IR1210E008 / IR1210E007
  - Rear L/R IR1210H013 / IR1210H012

### Road/Street Course Events

14.14.5. The following brake ducts are permitted:

### 14.14.5.1. Front

- IR1210F001/2 Main inlet Front Brake Duct Road Course
- IR1210F009/10 Main Inlet Front Brake Duct RC OPTIONAL
- One Aero Kit Approved Supplier Main Inlet Front Brake Duct as Homologated.

# 14.14.5.2. Rear

- IR1210G005/6 Main Inlet Rear Brake Duct RC, -25.4mm
- IR1210G007/8 Optional Brake Scoop
- One Aero Kit Approved Supplier Main Inlet Rear Brake Duct as Homologated.
- One Aero Kit Approved Supplier Snorkel as Homologated.

- **14.14.6.** Internal ducting within the brake scoop to optimize hub, caliper and rotor cooling is permitted.
- **14.14.7.** Only tape, flat panels, or panels that follow the front contour of the brake duct may be used to regulate the airflow in the brake duct.
- 14.14.8. The minimum disc thickness is 0.866 inches.
- 14.14.9. The minimum pad thickness is 0.472 inches.

#### Oval Events

- **14.14.10.** The minimum disc thickness is 0.826 inches.
- **14.14.11.** The minimum pad thickness is 0.432 inches.

### 14.15. Wheels

- **14.15.1.** The rim width for front wheels is limited to 10.000 inches. The rim width for rear wheels is limited to 14.000 inches.
- **14.15.2.** The only wheel designs approved by INDYCAR are BBS, OZ and Avus. Only INDYCAR-approved finishes may be used on wheels.
- **14.15.3.** The use of wheel covers or inserts is prohibited.
- 14.15.4. Any machining or modification of an approved wheel requires the approval of INDYCAR.
- **14.15.5.** The wheel offset may not be modified from design. Subject to <u>Rule 14.15.4</u>, the wheel may be shimmed to bring the wheel back to the designed specification (with the addition of a spacer). The following tolerance must be adhered to when

measuring from the high point of the wheel inboard flange to the wheel mounting face.

- Front wheel min. 6.125", max. 6.225"
- Rear wheel min. 7.750", max. 7.850"
- **14.15.6.** Wheels must be NDT (non-destructive testing) inspected by an INDYCAR-approved inspection station prior to the Entrant's first on-Track Event, prior to the Indianapolis 500® Mile Race Event and prior to the Mid-Ohio Event.
- **14.15.7.** The service life of wheels is six (6) years from the in-service date, or the equivalent of six (6) years in service use. Wheels may only be used beyond the six (6) year period with INDYCAR approval. Wheels used only at the Indianapolis 500<sup>®</sup> Mile Race will be considered to have used  $\frac{1}{2}$  of a year's wheel life.
- **14.15.8.** A copy of all wheel serial numbers must be submitted to INDYCAR for approval before the designated on-Track Events.

### 14.16. Engine

- **14.16.1.** Only lubricants approved by the Engine Manufacturer may be used. No additives may be used.
- **14.16.2.** The exhaust system must be used as Homologated by the Engine Manufacturers.
- **14.16.3.** Exhausts and exit locations must remain as specified by Dallara or as homologated. Exhaust / waste-gate pipes must protrude a minimum of 0.250 inch and a maximum of 1.000 inch through the bodywork.

- **14.16.3.1.** The exhaust opening in the sidepod must remain as Homologated with a tolerance of plus or minus 0.500 inches.
- **14.16.4.** Clutch assembly CP8153-DE03-SN carbon plate with steel housing is the only approved clutch.
- **14.16.5.** The bellhousing must be used as supplied by Dallara.
- **14.16.6.** Entries required to run the INDYCAR supplied torque shaft must maintain a shaft temperature of less than 125 degrees Celsius. Failure to comply will require replacement of INDYCAR equipment, at the Entrant's expense.
- **14.16.7. Heat Shields** Any heat shields or cooling ducts installed on the Car must not block visual access to the serial numbers on INDYCAR provided electronics, the Manufacturer part and serial numbers on the turbo charger, nor the INDYCAR Engine seals.

## 14.17. Cooling

- **14.17.1.** Radiators only the following approved radiators may be used:
  - PWR Water Radiator IR1215A001
  - Mezzo Water Radiator IR1215A009
  - PWR Eng/G Box Oil Cooler IR1216A001
  - **14.17.2.** No form of heating may be used on a Car when the Car is outside its assigned garage.
  - **14.17.3.** The following forms of cooling are permitted outside the assigned garage:
    - a) Cooling towels applied to bodywork;
    - b) Driver cooling fans; and
    - c) Brake cooling fans (in assigned pit box only)

- d) Electronic box cooling fans, through the radiator inlets or direct application only (in assigned pit box).
- **14.17.4.** Cooling the inside of the fuel cell is not permitted at any time.
- **14.17.5.** The only permitted form of cooling while in the garage must be done with blown ambient air with up to two (2) fans that have ducts or shrouds extending no longer than one (1) diameter from the fan blades in either direction and the air may not be cooled in any form as it passes through the fan. The fans can only be used to flow air into the front of the radiator boxes. No component may be installed nor any fluid may be added to the Car at any time at a temperature below that of the ambient air with the exception of the Driver's drink bottle. Use of chemicals to cool the air and/or car components is also prohibited. Violation of this <u>Rule 14.17.5</u> may result in a monetary fine and/or exclusion from qualifications.

## 14.18. Transmission/Differential

- **14.18.1.** Only transmission parts and gear ratios provided by Xtrac may be used with the exception of Rule 14.18.3.c).
- **14.18.2.** Treatments and coatings are open to development; the Xtrac logo/etching must remain on all original parts.
- **14.18.3.** Original rotary lip seals that are etched with the Xtrac part number and logo must be used as below:
  - a) 00P-100-0111A, 40x52x7 Lipseal, Used in Front Cover (Input Shaft) and Rear Cover (Starter Shaft).

b) 00P-100-0109A, 98x120x12 Lipseal, Used in Side Cover (LH Output Flange) and Maincase (RH Output Flange).

- c) Remaining gearbox seals are open.
- **14.18.4.** The approved paddle shift gear selection system must be used at all Race Locations. The paddle shift system must be used as supplied and without modification.
- **14.18.5.** The transmission must maintain all six (6) fully meshed gears during an on-Track Event. Reverse gear must be operational throughout all on-Track Events.
- **14.18.6.** Entrants must provide seal locations comprised of two (2) drilled holes with a minimum of 0.032 inches in each of the following locations:
- a) Transmission to bellhousing
- b) Transmission rear and side covers
- c) Bellhousing to the Engine
- d) Engine to the bulkhead
- **14.18.7.** All parts of the differential assembly must be used as supplied by Xtrac.

XTRAC DIFFERENTIAL PARTS				
Part	Part Number Mandatory Optiona (M) (0)			
Septa Seal	109-0350	-	0	
Modified Grub Screw	00P-137-0043A	-	0	
Disc Spring	00P-190-002B	-	0	
Quad Ring Seal	109-0348	м	-	
Quad Ring Seal	109-0349	м	-	
Preload Piston	1011-449-012A	м	-	

- **14.18.8.** The differential clutch stack may be rearranged and any combination of 10 total friction (199-290-007A) and drive plates (094-290-008A) may be used, provided all parts remain as supplied.
- **14.18.9.** The disc spring (00P-190-002B) is available in multiple stiffnesses from Xtrac and are all approved for use. The disc spring must remain on the right side of the differential assembly in the Xtrac approved location and shimming is not permitted.
- **14.18.10.** The side gear ring (ramps) and cross pin must be used as supplied.
- **14.18.11.** The preload piston is permitted to have inert gas, air or fluid behind the preload piston.
- 14.18.12. At Oval Events either an Xtrac supplied spool or differential with blocker must be used.

### 14.19. Airjack

**14.19.1.** Entrants must leave the airjack receptacle in the INDYCAR-approved location.

- **14.20.1.** All Cars must be equipped with an ignition switch that can be activated by the Driver. The ignition switch must be clearly marked.
- **14.20.2.** The Dallara supplied fire bottle switch must remain in the designated location.
- **14.20.3.** The master switch must be used as supplied by Dallara. The switch must energize the on-board fire extinguisher and shut off the ignition. The switch shall be clearly marked by a decal, which will be supplied by INDYCAR. Entrants must not disconnect the switch. When a master switch is pulled, it must remain in that state until manually reset.
- **14.20.4.** Rain Light Only the Dallara supplied rain light may be used. The assembly must be mounted to the attenuator in the INDYCAR designated location.
- **14.20.5. Electronic Logic Processors** With the exception of an INDYCAR-approved electronic control units (including but not limited to Engine, clutch, gearbox and weight jacker), the use of electronic logic processors or devices to electronically control any Car function or to interrupt direct input or control from the Driver to an INDYCAR-approved control unit is not permitted.
- **14.20.6.** Only the INDYCAR-approved data acquisition system may be used.
- **14.20.7.** Electronic data of any type may not be transmitted wirelessly to a Car.

**14.20.8.** The following Cosworth supplied sensors must be used:

Part Number	Description	Quantity
01B-601454	50mm Linear Lightweight Damper Pot	4
21A-0180	30deg "D" Shaft Twin Track Rotary Throttle Position Pot	1
01B-601222	150 degrees Gearbox Temperature Sensor	1
SG-00648	SG06 Dallara IC2012 Front Strain Gauge Push Rod	2
SG-00649	SG06 Dallara IC2012 Rear Strain Gauge Push Rod	2
21A-0181	345deg Flat Shaft Twin Track Rotary Gear Position Pot	1
01B-606021-C	150mm HighTemperature Wheelspeed Sensor	4

**14.20.9.** The following additional chassis sensors are permitted:

- a) Tire pressure sensors;
- b) Brake pedal position
- c) Brake master cylinder displacement
- d) A maximum of two (2), 3-axis chassis accelerometers;
- e) A maximum of four (4) single axis hub accelerometers, 1 per corner;
- f) Strain gauged suspension parts;
- g) Strain gauged steering shaft;
- h) Beacon receiver;
- i) Laser ride height;
- j) Gyro or angular rate sensors;

k) Roll bar positions;

- I) Weight jacker position;
- m) Clutch position;
- n) Any chassis pressure (pitot, underwing, etc.) limited to diaphragm-type sensors;
- o) Any chassis temperature;
- p) Fluid level sensor; and
- q) Piezoelectric sensor
  - **14.20.9.1.** Entrants are permitted to relocate the rear laser provided the laser remains inside the bodywork and rear end cover (IR-1203A009). The location and mounting must be submitted to IRIS for INDYCAR approval.
  - **14.20.9.2.** Any sensors listed in <u>Rule 14.20.9</u> are permitted to be fitted to the Engine with approval from the Engine Manufacturer.
- **14.20.10.** The weight jacker position can only be controlled by the Driver.
- **14.20.11.** The TCR and antenna must be mounted in the INDYCAR-designated location.
  - **14.20.11.1.** The TCR dash light must be mounted in the INDYCAR-approved location.
  - **14.20.11.2.** A minimum of two TCR steering wheel lights must activate simultaneously with the TCR dash lights.
- **14.20.12.** A black flag indicator (text and/or light) must be included in the dash/steering wheel

configuration, to be triggered through the timing transponder by INDYCAR.

- **14.20.13. LED Display Panel** must be used as supplied and in the position designated by INDYCAR.
- **14.20.14.** Chassis and Engine looms must be used as supplied by Cosworth and the Engine Manufacturers.
- **14.20.15.** At the conclusion of qualifications, the Race and/or as directed by INDYCAR, Entrants shall not connect to the Car until released by INDYCAR.

### 14.20.16. Push to Pass Parameters

**14.20.16.1.** The total overtake time per push and the maximum number of push to pass activations per Race are as follows:

INDYCAR CONTROLLED PUSH TO PASS PARAMETERS					
Event	Total Pushes	Time Per Pu <i>s</i> h	Total Time		
St. Petersburg	10	15	150		
Long Beach	10	20	200		
Barber	10	20	200		
IMS Road Course	10	20	200		
Detroit	10	15	150		
Road America	10	20	200		
Toronto	10	20	200		
Mid-Ohio	10	20	200		
Boston	10	15	150		
Sonoma	10	15	150		

14.20.16.2. Any attempt to reset

NOvertakeRemaining during a Race Event will result in a penalty.

**14.20.17.** At any Event where Cosworth (Live on Air) telemetry is supported or at any Event where point-to-point telemetry is utilized, the following data must be transmitted via CAN or serial to INDYCAR:

Channel Name	Hz
Car Number	10
Vehicle Speed	10
Engine Revs	10
Engine Throttle	10
Gear Number	10
Front Brake Pressure	10
tOvertakeRemaining	5
NOvertakeRemaining	5
Tire Type	5
Front Left Tire Sensor ID	1
Front Right Tires Sensor ID	1
Rear Left Tire Sensor ID	1
Rear Right Tire Sensor ID	1
Steering Angle	10
Lap Distance	10
Longitudinal Acceleration	10
Lateral Acceleration	10
Vertical Acceleration	10

- **14.20.18.** At all Events, Engine Manufacturers will be provided with a pre-event bulletin with the required ECU software version and parameter values for the locked INDYCAR calibrations. The bulletin will be provided no later than 48 hours before the start of the first practice for a given Race Event or Promoter Test.
- **14.20.19.** At all Events, Engine Manufacturers must log the following channels on all Cars:

Parameter Name	Rate (Hz)
aGearDrum	100
BDriverCmdStatusGearButtonEmergency	20
BEngineKillAntiStall	100
BFuelProbeForceNeutral	20
BGearButtonEmergency	20
BOverBstMonitorActive	1
mFuelUsed	20
nAntistallActivationThreshold	1
NAntiStallActiveECU	100
NAntistallStatus	100
nEngHardLmtOfsOverBst	1
nEngineLimiterTarget	5
nEngineOverBst	100
nEngSoftLmtOverBst	20
nEngSpeedInst	200
NOvertakeRemaining	1
NOvertakeStatus	100
NpPlenumOverBstStatus	100
NRotarySwitch2Position	20
NRotarySwitchPosition	20
NStateShift	100
NTorqSensFmDeltaRaw	1,000
NTorqSensFtDeltaRaw	1,000
NTorqSensKey	1,000
NTorqueSensorID	1
pFuelDirect	100
pFuelIndirect	100
pPlenum1	100
pPlenum2	100
rClutch	100
rnEngLmtOfstOverBst	20
rThrottleL	100
rThrottleOverBst	100
rThrottlePedal	100
rThrottleR	100
tOvertakeActivationDelayTimer	1
tOvertakeLockoutTimer	1
tOvertakeRemaining	1
VFuel Probe	20

- **14.21.1.** Fuel must be used as supplied by INDYCAR with no modification (e.g. removal or addition).
- **14.21.2.** The addition of any performance-enhancing substance to the fuel, air, or fuel/air mixture is prohibited.
- **14.21.3.** The fuel contained in the Car's fuel system must not be cooler than five (5) degrees Fahrenheit below ambient temperature.
- 14.21.4. Fuel allotments are as follows:
  - **14.21.4.1.** For Indianapolis 500® Mile Race and Superspeedway Races, the quantity of fuel allotted in the pit tank is equal to 4mpg for the Race distance. The Race distance includes parade and pace laps.
  - **14.21.4.2.** For Road/Street Courses/Short Oval Races, the quantity of fuel allotted in the pit tank is equal to 3mpg for the Race distance. The Race distance includes parade and pace laps.
  - **14.21.4.3.** For all Races, each Entrant may choose the quantity of fuel in the Car prior to entering pit lane for the Race. Fuel must be added to the Car from the fuel depot.
  - **14.21.4.4.** For all Races, Entrants are not permitted to have their fuel hose hooked up to the pit lane tank when the Race allotment of fuel is dispensed into the tank. Entrants are not permitted to hook-up and flood the fuel hose until 1-hour prior to the "grid the cars" call on the Team Broadcast Frequency.
- **14.21.5.** Prior to gridding, each Entrant will be permitted to plug in its fuel hose to top off its Car's fuel tank.

- **14.21.6.** Portable containers are not permitted on pit lane.
- **14.21.7.** Fuel may not be stored in the Entrant's garage.
- **14.21.8.** NFPA (National Fire Protection Association), state and/or local code are a part of the Rules.

### 14.22. Refueling

- **14.22.1.** The use of the MSE (MS1233) fuel probe sensor and system is mandatory.
- **14.22.2.** All refueling nozzles and refueling receptacles must be returned to the manufacturer for servicing prior to the, Indianapolis 500<sup>®</sup> Mile Race and Milwaukee Race.
- **14.22.3.** During a Race, all refueling must be performed by the INDYCAR-approved dry-break disconnect system. During refueling, only the fuel hose and vent hose can be attached to the fueling system. The fuel flow must be gravity-flow only and the vent hose must not have any evacuation assist devices attached.
  - **14.22.3.1.** The refueling coupling must be used as supplied by Red Head Valves Model VF 1100 M-3 fuel probe with Red Head Valves Model VF 1100 F-3 receiver.
  - **14.22.3.2.** All refueling hoses must be used as supplied by the Salem Republic Rubber Company (part #P7310-7F-A1298-030-1050GG). Refueling hoses must have a minimum length of ten (10) feet.
  - **14.22.3.3.** Fuel hose supports may not be longer than forty eight (48) inches and must be approved by INDYCAR prior to use.

- **14.22.3.4.** All refueling hose-to-probe connectors must be used as supplied by Rapid Prototyping & Engineering, Inc. (part #INDYCAR 0512).
- **14.22.3.5.** The refueling hose-to-tank connector remains open to development. The inside diameter of all refueling hoses, fittings and connectors shall not exceed three (3) inches.
- **14.22.4.** Only Dallara supplied fuel cell and vent systems may be used.
- **14.22.5.** Entrants may be required to demonstrate the performance of all system parts prior to use. All refueling probes and fuel hoses must be inspected and sealed by INDYCAR before being placed on fuel storage tanks prior to each on-Track Event.

## 14.22.6. Practice Fueling

- **14.22.6.1.** A single hose with a maximum outside diameter of 1.500 inches incorporating an approved self-closing valve at the tank end must be used.
- **14.22.6.2.** Fuel may only be added through the dry-break vent system. The Engine must be shut off during any practice refueling. A crewmember must operate fire equipment during any refueling.

# 15.1. General

- **15.1.1.** Only tires provided by Firestone and approved by INDYCAR may be used. The tires must be used in the designated location. No Entrant may sell, trade, or otherwise reassign, give or transfer tires to any other Entrant.
- **15.1.2.** Changing tires on the pre-Race grid is not permitted without INDYCAR approval.
- 15.1.3. Tire warmers are not permitted.
- 15.1.4. No substance may be applied to the tires.
- **15.1.5.** The only tires which may remain in an Entrant's possession are tow tires, set-up tires, rig testing tires and two (2) sets of rain tires. All other tires must be returned to Firestone immediately following the on-Track Event (including all Testing) in which they were used. Tires not returned shall be deducted from the Entrant's allotment at the following Event.
- **15.1.6.** Only nitrogen or compressed air may be used to inflate tires.

# 15.2. Tire Allotments

2014 Tire Allegation						
	2016 Tire Allocation					
Event Location	Prime	Alternate	<b>5 Lap</b> (15.2.1.1)	Rookie/Outside Top 10 (15.2.1.2.)		
St. Petersburg	7	3	1	-		
Phoenix	9	-	-	1		
Long Beach	4	3	1	1		
Barber	7	3	1	-		
Indianapolis RC	7	3	1	-		
Indianapolis 500	36	-	-	-		
Detroit	8	3	1	1		
Texas	11	-	-	-		
Rd America	8	3	1	-		
Iowa	9	-	-	1		
Toronto	4	3	1	1		
Mid-Ohio	8	3	1	-		
Pocono	14	-	-	-		
Boston	5	3	1	1		
Sonoma	7	3	1	-		
Sta	ndalone P	romoter/S	afety Day			
Phoenix (26-27 February)	6	-	-	-		
Indianapolis 500 (April 6)	4	-	-	-		
Indianapolis 500® Mile Race						
ROP	3	-	-	-		
Refresher	2	-	-	-		

# **15.2.1.** Each Entry is permitted the following:

- **15.2.1.1.** With the exception of Oval Events, Entries completing five (5) or more laps during the first on-Track session of the Event will earn an additional set of primary tires. These laps may consist of any combination of "in" and/or "out" laps.
- **15.2.1.2.** At the Race Locations referenced in <u>Rule 15.2.1</u>, Rookie Drivers and Entries outside the top 10 in Entrant point standings have the option to purchase one (1) additional set of tires for use during practice session one (1). If purchased, the additional set of tires must be returned at the conclusion of practice session 1.
- **15.2.2.** After a Street Course Event, the quantity of new sets of tires remaining may be carried forward to a future Street Course Events as primary tires. A maximum of one (1) additional set of tires carried forward may be added to the Entry's primary allotment at a future Street Course Event.
- **15.2.3.** After the Indianapolis Motor Speedway Road Course Event, if new tires are remaining in an Entry's allotment a maximum of one (1) additional set of tires may be added to the Entry's primary allotment for the Mid-Ohio Event.
- **15.2.4.** An Entry is permitted a total of twenty four (24) sets of tires (primary or wet tires) for Team Testing Days, made up of the following: Team Tests and Full Size Wind Tunnel Tests. Promoter Test allocations are listed in <u>Rule 15.2.1</u> either separately or included in the Event Location allocation.
  - **15.2.4.1.** A limit of six (6) sets of tires will be applied per Entry to each individual Team Test or Manufacturer day subject to availability.
- **15.2.5.** Each additional Test Day approved by INDYCAR will be allocated up to three (3) sets of additional tires.
- **15.2.6.** Any remaining new tires from an Entry's Race Location allotment may be added to the Entry's Test allotment per <u>Rules 15.2.2</u> and <u>15.2.3</u>. These tires are not Race Location specific and subject to availability. Alternate tires will not be released for Testing. Remaining new sets of alternate tires will be returned to the Entrant as primary tires.
  - **15.2.6.1.** Promoter tires that are returned per <u>Rule 15.4.2</u> may only be added to an Entrant's Test allocation.
- **15.2.7.** The Event replacement tire allotment is eight (8) tires for the racing season and replacement must be approved by Firestone:
  - Primary: 2 tires (max) per Race Location
  - Alternate: 1 tire (max) per Race Location (5 for season)
    - **15.2.7.1.** Unused replacement tires returned to the mounting area will be credited to the Entry's allotment.
- **15.2.8.** Wet Tires: Wet tires may not be used prior to the Race without approval of INDYCAR.
- a) Single Event Race Weekends: A maximum of five (5) sets of "wet" tires (including two (2) sets transported by the Entrant).
- b) Double-Header Event Race Weekends: A maximum of seven (7) sets of "wet" tires (including two (2) sets transported by the Entrant).

#### 15.3. Race Tire Rules

**15.3.1.** Within thirty (30) minutes of the completion of the session prior to the Race, an Entrant must declare via instant messaging (to the Timing/Scoring Booth account) which compound it intends to use at

the start of the Race. Failure to comply will result in the Entrant being allocated the compound by INDYCAR.

- **15.3.1.1.** If a Race is declared "wet", and/or conditions warrant changing to "wet" tires during an Event, <u>Rules 15.3.2</u> and <u>15.3.3</u> no longer apply.
- **15.3.1.2.** A Car must complete two (2) official laps on each compound of tire, one of which must begin under a Green Condition as is determined by INDYCAR. The second lap may be completed in pit lane even if the Car is pitted prior to the Start/Finish line. At Race Locations where the pit entry is after the start/finish line, two laps must be completed on-Track. Any Entrant failing to comply with this Rule shall receive a minimum one (1) lap penalty. If a Car receives tire damage due to contact, the two (2) lap requirement for that type of tire will be considered fulfilled.
- **15.3.2. Single Races:** One set of new (sticker) alternate tires and one set of primary tires must be used during the Race prior to receiving the checkered flag.
- **15.3.3.** Double-Header Races: One set of alternate tires and one set of primary tires must be used during each Race prior to receiving the checkered flag.

## 15.4. Practice Tire Rules

- **15.4.1.** All Races not preceded by a Promoter Test, except Indianapolis 500® Mile Race: When practice session 1 consists of two (2) groups, the following will apply:
  - **15.4.1.1. Group A:** Only Rookie Drivers and Entrants outside the top ten (10) in Entrant

point standings may participate in Group A. Entrants shall have the option to purchase one additional set of tires for use during practice session one (1). If purchased, the additional set of tires must be returned at the conclusion of practice session 1. Entrants within the top ten (10) in Entrant point standings are permitted to perform a "system check". At the beginning of practice session 1, the Car leaves pit lane and returns to pit lane without having completed one timed lap on the Racing Surface.

- **15.4.1.2. Group B:** All Entrants entered in the Event may participate in Group B.
- **15.4.1.3.** If Group A and B are combined, those that would have participated in Group A are eligible to purchase the additional set of tires.
- **15.4.2.** Races preceded with a Promoter Test From the three (3) sets of tires allocated for the Promoter Test, two (2) sets must be returned to Firestone at the conclusion of the Promoter Test. One (1) set of used tires may be carried forward to the first practice following the Promoter Test and then must be returned to Firestone at the conclusion of the first practice session.
  - **15.4.2.1.** <u>Rule 15.4.2</u> will apply at the following Events: St. Petersburg, Barber Motorsports Park, Indianapolis Motor Speedway Road Course, Road America, Mid-Ohio Sports Car Course and Sonoma Raceway.

# **16. ENGINE SPORTING REGULATIONS**

## 16.1. Engine Allocation and Management

- **16.1.1.** Only the Engine provided by the Engine Manufacturer or its designee and approved by INDYCAR may be used and no modifications are permitted by the Entrant.
- **16.1.2.** An Entrant may possess a maximum of one Engine at any time unless otherwise approved by INDYCAR.
- **16.1.3.** All Engine use planning will be carried out by the Engine Manufacturer. INDYCAR will randomly assign Engines to Entrants from an Engine Pool, designated by noon (local time of the Event) the Day prior to on-Track activities at the Event. If a new Engine pool is not designated, the Engine pool from the previous Event will carry over. The Engine pool for the Indianapolis 500® Mile Race must be designated by the conclusion of Qualifying. Fitting an Engine that is not from an Event's Engine pool will be classed as an Unapproved Engine Change-Out. Such an Engine will not be eligible to earn Engine Manufacturer championship points.
  - **16.1.3.1.** A single Engine Pool will be presented to INDYCAR by the Engine Manufacturer for each Event with the exception of Indianapolis 500® Mile Race, which allows for one additional Engine Pool to be designated to Entries participating in the Short and Full Indy 500 Engine Programs.
  - **16.1.3.2.** All Engines presented in any Engine Pool must contain Engine components that are Homologated by INDYCAR and applied according to the rules stipulated by the Engine Regulations.

- **16.1.4.** Each Full-Season Entrant is allowed four (4) fresh built Engines from the Season Start of a given season until the Season Start of the following season. Engines beyond the fourth (4<sup>th</sup>) fresh Engine may be fresh or part-used but are not eligible to earn Engine Manufacturer points under <u>Rule</u> <u>10.6.5.</u> Engines beyond the fourth (4<sup>th</sup>) fresh Engine are still liable for points deductions for not completing their planned Engine life cycle according to <u>Rules 10.6.4.3</u> and <u>10.6.4.4</u>.
  - **16.1.4.1.** Any Cars running an Engine beyond the fourth (4th) Engine will be removed from the finishing order used to calculate Race finish points according to <u>Rule 10.6.4</u> for the Engine Manufacturer championship.
- **16.1.5.** INDYCAR will seal Engines prior to installation into the Car. Engine seals may not be broken, tampered with, or removed without the permission of INDYCAR. No Car may participate in on-Track activities during an Event without INDYCAR Engine seals in place.
- **16.1.6.** Only one Engine will be provided for the duration of the Short Indy 500 Engine Programs. At the completion of the Indianapolis 500® Mile Race, all miles remaining on the Engine shall be forfeited.
  - **16.1.6.1.** Full Indy 500 Engine Program entrants will be provided with up to 2 Engines for the duration of the Indianapolis 500 Mile Race Event. The Engine Change-Out for the second Engine must be done according to <u>Rule 16.5.4.</u>
  - **16.1.6.2.** If an Indianapolis 500<sup>®</sup> Mile Race Engine program Entrant's Engine that is sealed prior to the Event with previous Engine miles since the Season Start is used during Indianapolis 500<sup>®</sup> Mile Race practice reaches Change-Out Mileage, it may be changed according to <u>Rule 16.5.2.</u> A Full Indy 500 Engine

Program Entrant may have their second Engine installed during practice with a third installed according to <u>Rule 16.5.4</u>.

# 16.2. Full-Season Mileage Limit

- **16.2.1.** The Full Season Entrant Engine Mileage allotment is 10,000 total miles, for use in all Team Tests, Promoter Tests and Race Events, from the Season Start of a given season until the Season Start of the following season.
  - **16.2.1.1.** Mileage in excess of the sum total of 10,000 miles minus any forfeited miles due to Entrant initiated Unapproved Engine Change-Outs is chargeable by the Engine Manufacturer at a per mile rate.
  - **16.2.1.2.** New Full Season Entrant Mileage is chargeable by the Engine Manufacturer at a per mile rate prior to the Season Start.
  - **16.2.1.3.** Any allotted miles for Full-Season Entrant that remain after the Race season end may be used up by that Entrant only until the Season Start.
  - **16.2.1.4.** If a Driver is replaced at any time, the replacement will be deemed to be the original Driver for the purposes of assessing Engine miles.
- **16.2.2.** Except as provided below, all Engine mileage must be reported to INDYCAR and shall be subtracted from the 10,000 mile allotment, regardless of Use. Mileage will be determined from the official lap count from the timing sheets of each Event multiplied by the official Track length. Race parade and pace laps, Oval qualifying warm-up laps and initial out lap from each practice and qualifying session will also be included.

- **16.2.2.1.** For those Events not run on-Track, such as straight-line Tests or where timing sheets are not available, ECU and boost sensor serial numbers used and Engine mileage (will be calculated from ECU data) must be provided to INDYCAR by the Engine Manufacturer within 48 hours of completing the Test.
- **16.2.2.2.** ECU and boost sensor serial numbers used by the Engine Manufacturer at any Event must be provided to INDYCAR within 48 hours of the completion of the Event for the purpose of mileage tracking.
- **16.2.2.3.** Total mileage will be rounded to the nearest mile for the purposes of enforcing compliance with these Rules.
- **16.2.3.** An Entrant participating in an INDYCAR, Tire, Aero Kit or Engine Manufacturer Test permitted by INDYCAR may fit a Manufacturer Engine for that Test without penalty. Such Engine Change-Outs must comply with <u>Rule 16.3.</u> Engine miles used for such Tests permitted by INDYCAR will not count against the Full Season Entrant Engine Mileage limit.
- **16.2.4.** The Engine Manufacturer may charge an Entrant for miles used in excess of the allotted Full-Season Entrant Engine Mileage during the period detailed in <u>Rule 16.2.1</u>.

## 16.3. Engine Change-Out

- **16.3.1.** Between the last Race of a season and the Season Start of the following season, an Entrant may change-out Engines as it deems appropriate without penalty or without the prior approval of INDYCAR.
- **16.3.2.** From the Season Start until the end of the Race season, no Engine may be changed-out for any reason without the prior approval of INDYCAR and

the Engine Manufacturer. Engine Change-Outs by an Entrant shall be either an Approved Engine Change-Out or an Unapproved Engine Change-Out as determined by INDYCAR.

# 16.4. Engine Repair

- **16.4.1.** All Engine repairs during an Event must have prior approval from INDYCAR. An Engine that requires a minor repair may, with INDYCAR's approval, be removed from the Car for repair without penalty. Using the Car on Track with another Engine will be classed as an Engine Change-Out.
- **16.4.2.** A minor repair shall be a repair that can be performed without the removal of either cam cover and/or removal of any INDYCAR-applied seals.
- **16.4.3.** If any of the INDYCAR-applied Engine seals needs to be broken or removed, the repair cannot be carried out unless an Official is present and INDYCAR gives prior approval. If the repair is carried out at the Engine Manufacturer's shop, the Engine Manufacturer will pay reasonable pre-approved travel expenses for the Official being present.
- **16.4.4.** Components may only be replaced with components to the same design as those removed or with INDYCAR-approved upgrades.
- **16.4.5.** The Engine Manufacturer shall be permitted to run the Engine at their own testing facilities for the purposes of assuring the minor repair is effective. The Engine must be returned to the Entrant before the next scheduled on-Track use of the Car unless it is superseded by another approved Engine Change-Out under <u>Rule 16.5.</u>
- **16.4.6.** If the repair becomes non-minor, <u>Rule 16.5.7</u> will apply.

## 16.5. Approved Engine Change-Outs

- **16.5.1.** The minimum mileage threshold ("Change-Out Mileage") is 2500 miles.
- **16.5.2.** An Engine that has reached its Change-Out Mileage may be changed without penalty. The Engine Manufacturer will be awarded points pursuant to <u>Rule 10.6.5.</u>
- **16.5.3.** After qualifying is complete at an Event, any Engine that would exceed 2850 miles prior to the end of the scheduled Race distance may be changed without incurring a penalty.
  - **16.5.3.1.** After Race One of a double-header weekend is complete, any Engine that would exceed 2850 miles prior to the end of the scheduled Race Two distance may be changed without incurring a penalty.
  - **16.5.3.2.** Engines changed out under <u>Rule 16.5.3</u> or <u>16.5.3.1</u> will be considered to have met <u>Rule 16.5.2</u>.
- **16.5.4.** Full-Season Entrants and Entrants running the Full Indy 500 Engine Program may install a fresh Engine prior to the Indianapolis 500® Mile Race without penalty subject to availability and the following conditions:
- a) The fresh Engine fitted prior to the Indianapolis 500® Mile Race once Indianapolis 500® Mile practice begins shall count as one of the four fresh built Engines allotted to the Full Season Entrant, pursuant to <u>Rule 16.1.4.</u>
- b) Full-Season Entrants have the choice to use up the changed-out Engine or to use up the Indianapolis 500® Mile Race Engine first. Should the changed-out Engine be refitted before the Indianapolis 500® Mile Race Engine reaches its Change-Out Mileage, it

must remain in the Car for the balance of its Change-Out Mileage. Except as under <u>Rules 16.2.3</u>, <u>16.2.4 and 16.5.6</u>, both Engines must reach their Change-Out Mileages before another Engine can be fitted to the Car.

- c) Entrants only participating in the Indianapolis 500<sup>®</sup> Mile Race shall forfeit all unused miles on both the practice and qualifying Engine and/or the Indianapolis 500<sup>®</sup> Race Engine at the conclusion of the Indianapolis 500<sup>®</sup> Mile Race.
- d) Once an Indianapolis 500<sup>®</sup> Mile Race Engine is fitted to the Car, removal of the Engine prior to the Indianapolis 500<sup>®</sup> Mile Race is complete would be considered an Unapproved Engine Change-Out and would be subject to the penalties under <u>Rule 16.6</u>.
- e) After the Indianapolis 500<sup>®</sup> Race, INDYCAR may request that an Entrant's Engine be inspected. In this case, the Entrant may fit its previous Engine to the Car and such Engine must remain in the Car until the Engine's mileage is complete. If an Engine is not available with unused miles, a fresh Engine may be fitted. The inspected Engine will have its inspection complete and be available to be returned to the Entrant within 28 days. Once returned, it must remain in the Car until complete its remaining mileage is complete.
- **16.5.5.** An Engine that has experienced a problem deemed sufficient to require change-out as mutually agreed by INDYCAR and Engine Manufacturer that is beyond the reasonable control of either the Entrant or Engine Manufacturer (such as faulty fuel, damage to the Engines caused by act of God, etc.) may be replaced with an Engine from the Engine pool without penalty.
- **16.5.6.** An Engine that has experienced a problem during participation in a predetermined special/non-Race Event will be classed as an Approved Engine

Change-Out. Such Events will be determined by INDYCAR and Entrants will receive notification prior to the Event.

- **16.5.6.1.** For the pit stop competition held during the Indianapolis 500<sup>®</sup> Mile Race, Manufacturers are free to substitute any Engines for assigned Engines with INDYCAR approval.
- **16.5.7.** An Engine that fails or presents evidence that failure has started and a non-minor repair is required per <u>Rule 16.4</u> may be changed without an Unapproved Engine Change-Out penalty provided the following conditions are met:
- a) The Engine Manufacturer presents the evidence of failure to the INDYCAR Director of Engine Development or his appointed representative;
- b) Engine Manufacturer shall be responsible for repair costs of the Engine including parts, labor, shipping, etc;
- **c)** INDYCAR approves the change and assigns the Entrant with a fresh Engine from the pool;
- **d)** Only one (1) non-minor repair may be carried out on any given Engine during its 2500-mile life.
- **16.5.8.** Changing an Engine before the Change-Out Mileage because it has been operated outside of the Engine Manufacturer's guidelines (such as: Engine spinning backwards, loss of fluids, crash damage, etc.) will be subject to the following:
- a) Entrant shall be responsible for all repair costs of the Engine including parts, labor, shipping, etc.
- b) Only upon payment in full to the Engine Manufacturer for the repair shall the Entrants be credited the unused mileage of the Engine and be

supplied a fresh or a used replacement Engine from the pool.

# 16.6. Unapproved Engine Change-Outs

- **16.6.1.** An Entry choosing to change an Engine without complying with <u>Rule 16.5</u> will be classified as an Unapproved Engine Change-Out.
  - **16.6.1.1.** Any Engine that presents a failure on track (oil, smoke, steam, or fire billowing from the Car) must be inspected by INDYCAR and verified along with the Engine Manufacturer as to whether it is repairable or if the Engine is completely failed. Any sealed parts that clearly show cracks, broken parts, or parts within sealed Engine areas that show similar damage will be considered failed. An Engine that fails on-Track and is not able to be repaired will be classified as an Unapproved Engine Change-Out and is subject to points deductions according to Rule 10.6.5.3.
- **16.6.2.** Any Unapproved Engine Change-Out will result in the following:
  - **16.6.2.1.** For an Entrant initiated Engine Change-Out, the Entry will start the next Race from the back of the grid. If more than one Entry is serving an Unapproved Engine Change-Out penalty at an Event, they will line up at the back of the grid in the order determined by <u>Rule</u> <u>8.1.8.6</u>. If an Entrant makes two Unapproved Engine Change-Outs during an Event, the grid penalty for the second Unapproved Engine Change-Out will be served at the following Race.
  - **16.6.2.2.** Entrant initiated Engine Change-Outs will result in a loss of ten (10) Driver and Entrant points.

- **16.6.2.3.** All mileage remaining on the Engine prior to the Unapproved Engine Change-Out shall be forfeited.
- **16.6.2.4.** <u>Rule 10.6.5</u> will apply for each Unapproved Engine Change-Out.
- **16.6.2.5.** No Engine Change-Out grid penalties will be served during the Indianapolis 500<sup>®</sup> Mile Race but points penalties will be applied. Penalties carried over into or earned at the Indianapolis 500<sup>®</sup> Mile Race will be served at subsequent Races.
- **16.6.2.6.** Entries not participating in consecutive Events will not carry-over any Engine Change-Out penalties.
- **16.6.3.** No Engine Change-Out will be subject to more than one Unapproved Engine Change-Out penalty.
- **16.6.4.** No Engine Change-Out penalty will carry over to the following Race season.
- **16.6.5.** Changing an Engine before the Change-Out Mileage because it has been damaged by the actions of the Entrant ("Team Abuse") including, without limitation, use of non-approved fuels or oils, tampering with the boost control or rev limit functions, continuing to operate the Engine after being informed that a problem has occurred such as overheating, etc. will be subject to the following:
- a) Entrant shall be responsible for all repair costs of the Engine including parts, labor, shipping, etc.
- **b)** All mileage remaining on the Engine prior to the Team Abuse incident shall be forfeited.
- c) Entrant may be subject to other penalties up to and including termination of the Engine service agreement.

- d) The change out will be classed as an Unapproved Engine Change-Out.
- **16.6.6.** Removing the Engine to test a Down on Power ("DOP") claim shall be classed as an Unapproved Engine Change-Out. The procedure for and penalties potentially arising from, a Down on Power claim are set out in <u>Rule 16.7</u>.

#### 16.7. Down On Power Engines

- **16.7.1.** An Entrant claiming an Engine is DOP is required to provide supporting data to the Engine Manufacturer before the claim will be considered. The Engine Manufacturer, in its sole discretion, shall determine if the DOP claim is valid. A DOP claim may not be made after the conclusion of the penultimate Event of the Race season.
- **16.7.2.** If the Engine Manufacture accepts the DOP claim, the Engine Manufacturer shall inform INDYCAR of the issue. INDYCAR shall inspect the supporting data and in its discretion determine if the DOP claim is valid. If it is determined by all parties that the Engine is DOP, INDYCAR shall:
- a) Approve the Engine Change-Out; and
- b) INDYCAR will assign the Entrant with a fresh or a used Engine from the pool for the outstanding miles of the DOP Engine. For Engine mileage accounting purposes, the reworked or rebuilt Engine will count as a substitute for the Engine that was removed.
- c) Rule 10.6.3 will apply
- **16.7.3.** If an Engine Manufacturer does not accept that a DOP claim is valid, the Entrant making the claim may, in its discretion, pay the DOP bond specified in <u>Rule 16.7.4</u> to INDYCAR to have the

Engine removed from the Car and be retested by the Engine Manufacturer.

- **16.7.3.1.** INDYCAR will retain the DOP bond until an Engine power test is completed at the Engine Manufacturer's facility. The retest of the Engine must be completed within 15 Business Days of the DOP claim. INDYCAR reserves the right to be present at the test.
- **16.7.3.2.** In a case where the DOP Engine retests within 2% of its shipping test performance between 9,000 and 12,000 rpm:
- a) The Entrant shall forfeit the DOP bond and INDYCAR shall pay the DOP bond to the Engine Manufacturer;
- b) The Entrant will pay for INDYCAR's costs for being present at the power test; and
- c) The Engine in question shall be returned to the Entrant to be installed prior to the next on-Track activity following the DOP claim test.
- **d)** This Engine is no longer considered to be an Unapproved Engine Change-Out.
- e) Any additional Engine that was in the Car during the DOP claim remains assigned to the Entrant and must be used once the DOP Engine has completed its life cycle.
- **16.7.3.3.** If the Engine retests more than 2% under its shipping test performance between 9,000 and 12,000 rpm:
- a) INDYCAR shall return the DOP bond to the Entrant;
- **b)** The DOP bond will not increase the next time that Entrant makes a claim; and

- c) The Engine Manufacturer will pay for INDYCAR's costs for being present at the power test; and
- d) The Engine Manufacturer will supply the Entrant with an Engine from the pool for the outstanding miles of the DOP Engine. For Engine mileage accounting purposes, the replacement Engine will count as a substitute for the Engine that was removed; and
- e) Rule 10.6.5. will apply.
- **16.7.4.** For an Entrant's first DOP claim, the DOP bond is \$25,000. For an Entrant's second and subsequent claims the DOP bond is \$50,000. For Entrants only participating in the Indianapolis 500® Mile Race, the DOP bond is \$50,000.

# 16.8. Single Race Event Entrants

- **16.8.1.** Engine Manufacturers will support single Race Event Entries approved by INDYCAR. The Single Race Event Entrant may use only the Engine provided by the Engine Manufacturer and assigned by INDYCAR.
- **16.8.2.** The Single Race Event Entrant shall forfeit all unused miles on the Engine at the conclusion of the Event.
- **16.8.3.** If a Single Race Event Entrant participating in consecutive Events must continue to use the same Engine which was installed at the first Event of their program and will be subject to the following:
  - **16.8.3.1.** Any Engine Change-Out before reaching the Change-Out Mileage would incur an unapproved Engine Change-Out penalty according to <u>Rule 10.6.5.3.</u>

- **16.8.3.2.** Once the consecutive chain of events is broken by missing an Event, the Engine may be Changed-Out and returned to the Engine Manufacturer without taking a penalty or points deduction.
- **16.8.3.3.** An Engine Manufacturer may choose to leave an Engine sealed in anticipation of further use by Single Race Event Entrants. In the event that an Engine reaches its Change-Out Mileage during subsequent uses, the Engine Manufacturer will receive points according to <u>Rule 10.6.5.2</u>.
- **16.8.4.** An Entrant is not entitled to a fresh or zero (0) mile Engine.

# 16.9. Engine Inspection

- **16.9.1.** INDYCAR may at any time request that an Engine inspection be carried out on an Entrant's installed Engine after on-Track activities which may require removal of the Engine from the Car. In such a case, a new Engine may be provided to the Entrant from the Engine Manufacturer's pool of Engines, but the inspected Engine must return to the Car within 28 days to complete its remaining mileage.
- **16.9.2.** INDYCAR is not responsible for any rebuild costs incurred during any inspection of an Engine or its related components and subsystems.

# **17.** EQUIPMENT & GARAGES

## 17.1. Fuel Storage Tanks

- **17.1.1.** Refueling supply must be gravity flow only. The use of pressurization or vacuum during refueling is not permitted. All tanks are limited to a single outlet with a maximum inside diameter of 3.000 inches. The outlet can have a maximum 1.500 inch radius from the tank's external face to the outlet. The maximum length of the outlet including any attachments is limited to 14.000 inches from the front face of the tank.
- **17.1.2.** All tanks must be vented to atmosphere at all times using a Protectoseal 3.000 inch flame arrester/vent or equivalent.
- **17.1.3.** All tanks must use a spring-loaded valve between the tank flange and vent pipe (for all Race Locations except the Indianapolis 500® Mile Race Event). The following valves are permitted:
- a) Betts Model WD-305; and
- b) J.C. Carter Model JC-64075
- **17.1.4.** Between the tank outlet and refueling hose, the following valves are permitted:
  - a) Dan Jones Model 06-DJ or J.C. Carter valves: these valves are permitted one (1) splitter with a maximum thickness of 0.300 inches and a maximum length of 3.500 inches. The splitter may be horizontal or vertical, must be mounted in the tank flange and may not protrude into the tank.
  - **b)** Betts valve: this valve is not permitted a splitter. Entrants may not alter the vent or spring-loaded closing valve.

- **17.1.5.** All refueling tanks must have a manual airoperated emergency shut-off valve to the probe.
- **17.1.6.** The height of the refueling tanks must be set and sealed by INDYCAR prior to filling.
- **17.1.7.** No part of the refueling storage tank may be closer than 30.00 inches to the front face of the pit wall. The refueling storage tank outlet must be positioned between the center and 18.00 inches rearward of the center in the assigned pit and be square to the pit wall.
- **17.1.8.** All fuel level sight tubes must have a positive shut-off valve at both ends.
- **17.1.9.** All equipment must comply with the Rules of INDYCAR and where applicable the NFPA (National Fire Protection Association), state and/or local codes.
- 17.1.10. Refueling tanks and probe must be grounded by an INDYCAR-approved method.
- 17.1.11. Tank Capacities and Dimensions:

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Only the fuel tank as supplied by INDYCAR may be used without modification. All fuel tanks must remain on the grounds of the Race Location. Entrants may affix decals to the fuel tanks, but may not paint them. The maximum height of the rear edge of the pit storage tank shall be 80.000 inches measured from the highest point. The maximum height of the front edge of the tank must be 76.000 inches. The slope of the tank must not exceed 4.00 inches. The vent pipe shall be a minimum of 102.00 inches in height. All refueling tank height measurements will be made from the pit lane surface.

## **Other Race Locations**

Refueling tanks must be built to INDYCAR specifications (See Illustration). Refueling tanks must be a single container made of T304 stainless steel or the equivalent and have a capacity of 152.00 U.S. Gallons. All dimensions are overall measurements with the top surface level. The maximum height of the refueling tank shall be 84.00 inches to the top surface, which must be level. The vent pipe shall be a minimum of 102.000 inches in height. All refueling tank height measurements will be made from the pit lane surface. No modifications may be made to the refueling tank specifications. Drawings for fuel tank are available from the INDYCAR office. All dimensions are in inches.







- **17.1.12.** All support legs and height-adjusting devices used with the refueling tank must be approved by INDYCAR prior to use. Tank support legs must include feet with adequate area so that the tank, with fuel, will not sink into the pit surface. Metal or wood may be used under the support legs to distribute the load, provided it is not stacked in a hazardous manner. All tanks must include a mechanism approved by INDYCAR by which the tank may be moved away from the pit wall quickly and easily. Any wheels or castors attached to the tank support stand must be raised from contact with the pit surface.
- **17.1.13.** Cooling of the fuel in the refueling tank is not permitted.
- **17.1.14.** Devices which alter the gravitational flow of fuel are not permitted.

## 17.2. Pit Lane Fire Equipment

- **17.2.1.** The Entrant must have a fully charged ten (10) pound water fire extinguisher in the Car's pit area. A gauge must be attached to each fire extinguisher. The mixture must contain ten percent (10%) Coldfire and be equipped with Atomizing Nozzle (Part # CFSE6HATOM, Cold Fire Southeast)
- **17.2.2.** Each Entrant must have a minimum of two (2) open containers of water in the Entrant's pit area each with a capacity of five (5) gallons.
- **17.2.3.** Each Entrant must have a two (2) pound dry chemical extinguisher in the Entrant's pit area.

# 17.3. Equipment

**17.3.1.** All equipment must remain inside the Entrants designated pit box on the cold side of the pit wall and the fire lane must remain clear.

- **17.3.2.** All nitrogen bottles must be securely fastened and have a cage device or other container approved by INDYCAR to protect all valves and regulators. All nitrogen bottles must be returned to the designated location at the conclusion of each on-Track Event.
- **17.3.3.** The maximum height of the scoring stand is 84.00 inches measured from the ground to the top of the stand excluding awning. The maximum surface area of an awning is 85 square feet in plan view. INDYCAR may determine if an Entrant may use an awning at a particular facility. Scoring stands using wheels or castors must be chocked or secured from moving and awnings, flags, umbrellas or shields may be used, provided they do not block spectator viewing.
- **17.3.4.** Paint or other permanent markings may not be used in the garage, on the pit lane surface or on the pit wall.
- **17.3.5.** Camera booms cannot extend more than 80.000 inches into pit lane and must be approved by INDYCAR.
- **17.3.6.** Where permitted an Entrant may display one flag in its pit box, provided the flag is located behind the front face of the pit wall, is a maximum size of 5  $\frac{1}{2}$  feet wide and 3  $\frac{1}{2}$  feet high and a maximum height of 14 feet off of the pit floor.

#### 17.4. Garage

- **17.4.1.** INDYCAR reserves the right to assign transporter parking, garages and pits.
- **17.4.2.** If garages are available, Entries will receive one (1) garage per Entry. INDYCAR shall allocate a designated amount of space in the garage area for each Manufacturer.

- **17.4.3.** If garages are not available, each Entrant shall receive a maximum 30 feet wide parking location in the garage area to use as a garage for the transporter and tent/awning, unless otherwise noted.
- **17.4.4.** Entrant agrees to reimburse Event Promoter for the cost of repairing any damage to the garage area that occurs during occupancy by the Entrant.
- **17.4.5.** Tailgate cables are mandatory and must be approved by INDYCAR.
- **17.4.6.** Tents will not be permitted to extend beyond the rear of the trailer tailgate or front bumper of the tractor.
- **17.4.7.** Entrants must submit a diagram of their tent and transporter footprint layout 120 days prior to the first Event and seven (7) days prior for any subsequent changes for INDYCAR approval.

#### 17.5. Covers

- **17.5.1.** During the entire Event, no screen, cover or other obstruction which in any way obscures any part of a Car will be allowed at any time.
  - **17.5.1.1.** In addition to the above the following are specifically not permitted;
  - a) Engine, gearbox or radiator covers while Engines are being changed or moved around the garage;
  - **b)** Covers over spare wings;
  - c) Parts such as (but not limited to) spare floors, fuel rigs or tool boxes may not be used as an intentional obstruction.

- **17.5.1.2.** Rain covers may be used during inclement weather conditions. No other form of cover may be used.
- **17.5.1.3.** Covers which are placed over damaged Cars or components are permitted.

- ACCUS-FIA The Automobile Competition Committee of the United States is the ASN of FIA for the United States. ACCUS is comprised of the six (6) major motorsports sanctioning organizations (known by or within the FIA as "member clubs"): IMSA, INDYCAR, NASCAR, NHRA, SCCA and USAC. In accordance with the FIA statutes and the international sporting code, ACCUS-FIA has delegated its sporting power to the member clubs, except for FIA World Championship events.
- Aero Kit All the components of the Car identified by INDYCAR, supplied by an Approved Supplier, approved by INDYCAR and governed by these Rules for all circuit configurations.
- Approved Engine Change-Out An Engine Change –Out approved by INDYCAR and not subject to penalty.
- Approved Supplier A supplier of components that has been approved by INDYCAR, in its sole and absolute discretion.
- ASN The National Sporting Authority of the FIA is a national automobile club or other national body recognized by the FIA as sole holder of sporting power in a country, except that in accordance with the FIA statutes and the international sporting code, an ASN may delegate some or all of its sporting power to its member clubs. An ASN is the liaison between its member clubs, other ASNs and the FIA. An ASN prepares listings on the international calendar, issues international motorsport licenses to its citizens and foreign citizens living in the country, issues authorizations for foreign participation and certifies and maintains domestic and international records.

- Associate The firm(s), corporation(s) or other business association(s) named on an Associate's membership application approved by INDYCAR, remaining in good standing and uniquely identified by a tax identification number. Associate includes Associate and Associate's officers, directors, members, owners, successors, assigns, agents, employees, representatives and affiliates. Associate includes Manufacturers. Associate is responsible for Associate Group.
- Associate Group Associate Participant, Associate Guest and each third party Associate represents.
   "Associate Participant" is defined as any individual who is a Participant by reason of his or her relationship to Associate. "Associate Guest" is defined as any individual whose presence at a Track is at the invitation of the Associate.
- **Backup Car** A spare Car an Entrant may use on Track in place of a primary Car if approved by INDYCAR.
- **Business Day** Any day other than a Saturday, Sunday or other day on which commercial banks are authorized to close under the laws of, or are in fact closed in, the state of Indiana.
- Car A four (4) wheel automotive vehicle consisting of the combination of Aero Kit, Chassis, Engine, transmission and tires. The vehicle must be supplied by Manufacturers or Approved Suppliers, approved by INDYCAR and conform to the specifications, spirit and illustrations in and intent of, the Rules.
- Competitor A Driver, Entrant, crew member, Manufacturer or any other individual or entity who is a Member and participates competitively in an Event.
- Cosworth Cosworth, LLC, an approved electronic supplier. Any reference in the Rules to "Cosworth" is referring to Cosworth, LLC.

- Current Indy Lights Series Driver A Driver that has participated in the current or the previous Indy Lights season and/or approved by INDYCAR.
- Dallara Dallara, LLC, the approved chassis manufacturer. Any reference in the Rules to "Dallara" is referring to Dallara, LLC.
- **Day** The twenty-four (24) hour period within a single calendar day.
- discretion The exercise by INDYCAR of judgment in making a determination as to the application, construction and interpretation of the Rules; and is required because of the need for decisions that depend upon immediacy without reflection and/or that are often subjective.
- Double-Header Race Location A Race Location where more than one Race is held. Double-Header Race Location does not include the Indianapolis Motor Speedway.
- **Driver** The individual named on a Driver's License and membership application approved by INDYCAR and issued by ACCUS-FIA (or another ASN, if applicable) and remaining in good standing. Driver is responsible for Driver Group.
- **Driver Group** Driver Guest and each third party Driver represents. The term "Driver Guest" shall mean and include any individual whose presence at an Event is at the Driver's invitation.
- ECU The INDYCAR approved and supplied engine control unit (ECU), which is the primary device responsible for the control, monitoring and data logging of all Engine induction, fuel and turbo charger control sub-systems.

- Engine An engine supplied by one of the engine Manufacturers or their designees, approved by INDYCAR and conforming to the specifications, spirit and illustrations in and intent of the Rules.
- Engine Change-Out The replacement of an Entrant's Engine with another from the same Manufacturer.
- Engine Pool The Engines presented to INDYCAR by the Engine Manufacturers for random allocation to Entrants. Engines are still considered part of the Engine Pool once they have been allocated to Entrants until they have reached the approved Engine Change-Out mileage or until they are deemed failed and an Unapproved Engine Change Out has occurred.
- Entrant The firm(s), corporation, or other business association(s) named on an Entrant's License and membership application approved by INDYCAR and issued by ACCUS-FIA, remaining in good standing and uniquely identified by a tax identification number and Car number. Entrant includes Entrant and Entrant's officers, directors, members, owners, successors, assigns, agents, employees, representatives and affiliates. Entrant is responsible for Entrant Group.
- Entrant Group Entrant Participant, Entrant Guest and each third party Entrant represents. "Entrant Participant" is defined as any individual who is a Participant by reason of his or her relationship to Entrant. "Entrant Guest" is defined as any individual whose presence at a Track is at the invitation of the Entrant.
- Entry An Entrant's Car and Driver combination on an Entry form displaying the Entrant's Car number and approved by INDYCAR for participation in a designated on-Track Event.
- Event Any racing, promotional and/or entertainment or other activities associated with, sanctioned by

and/or organized in cooperation with INDYCAR or occurring at the site of, contemporaneously with or reasonably connected to said activities. Event does not include any Indy Lights® Series, Pro Mazda Championship or USF2000 Championship events, as those events are covered by separate rules.

- Event Agreement The agreement in effect between INDYCAR and an Event Promoter regarding the conduct of a Race.
- Event Promoter The firm(s), corporation(s) or other business association(s) named on an Event Agreement with INDYCAR and responsible for designated operations of a Race. Event Promoter includes Event Promoter and Event Promoter's officers, directors, members, owners, successors, assigns, agents, employees, representatives and affiliates.
- FIA The Federation Internationale de l'Automobile is the sole international sporting authority entitled to make and enforce regulations based on the fundamental principles of safety and sporting fairness, for the encouragement and control of automobile competitions and records and to organize FIA international championships.
- **Firestone** Bridgestone Americas Tire Operations, LLC, is the approved tire manufacturer. Any reference in the Rules to "Firestone" is referring to Bridgestone Americas Tire Operations, LLC.
- Full Indy 500 Engine Program the guaranteed use of up to two in the Indy 500, practice, qualifying and Race.
- Full Season Entrant An Entrant scheduled to participate in every Race.
- Full-Season Entrant Engine Mileage Engine miles as allotted for a Full Season Entrant.

- **Guaranteed Attempt** A Car's opportunity to present itself for the qualifications process.
- Headings The subject headings are included for purposes of convenience only and shall not affect the construction or interpretation of any of the Rules.
- **Homologated** INDYCAR approved design and location of a component for use at an Event.
- INDYCAR –INDYCAR, LLC, the member club of ACCUS; the owner and operator of the Verizon IndyCar Series; the owner of the Indy Lights Series; and the sanctioning body for the IndyCar Series, Indy Lights Series, Pro Mazda Championship and USF2000 Championship. INDYCAR includes INDYCAR and INDYCAR's officials, officers, directors, members, owners, successors, assigns, agents, employees, representative and affiliates. "INDYCAR" and "Leaders Circle" are registered trademarks with the United States Patent and Trademark Office and cannot be used without authority from INDYCAR or Brickyard Trademarks, Inc. Any reference in the Rules to "INDYCAR" is referring to INDYCAR, LLC.
- INDYCAR Group INDYCAR, FIA, ACCUS-FIA, Event Promoters, other Members and the officers, directors, employees, agents, affiliates, representatives, owners, successors and assigns of each of them.
- IndyCar Series The Verizon IndyCar Series owned, operated and sanctioned by INDYCAR. INDYCAR includes INDYCAR and INDYCAR's officials, officers, directors, members, owners, successors, assigns, agents, employees, representative and affiliates. IndyCar Series (and design) are registered trademarks of Brickyard Trademarks, Inc., used with permission. Verizon is a registered trademark of Verizon Trademark Services LLC. Any reference in the Rules to the IndyCar Series may include INDYCAR and any

reference to INDYCAR may include a reference to the IndyCar Series, if the context so requires.

- IRIS INDYCAR Information System is the official online communication platform for Competitors.
- Lapped Car A Car that is at least one lap behind all Cars that are on the Race leaders lap.
- Leaders Circle The program whereby INDYCAR provides benefits to Leaders Circle participants in exchange for their participation in all of the Races. Leaders Circle participants must enter into an agreement with INDYCAR, remain in good standing and be uniquely identified by a tax identification number, Car number and Driver. Leaders Circle designations may not be transferred, and/or otherwise assigned without prior written permission by INDYCAR. INDYCAR may limit the number of Leaders Circle participants as it deems appropriate.
- License An authorization approved by INDYCAR and issued by ACCUS-FIA (or another ASN, if applicable) to an individual or entity to participate in a Race as a Driver, Entrant or Official.
- Manufacturer The firm(s), corporation(s) or other business association(s) named on an Associate's membership application approved by INDYCAR, remaining in good standing and uniquely identified by a tax identification number. Manufacturer includes the Aero Kit, approved chassis, tire, Engine and transmission Manufacturers and their officers, directors, members, owners, successors, assigns, agents, employees, representatives and affiliates.
- Member An individual, firm, corporation or other business association entity named on an annual membership application, approved by INDYCAR and remaining in good standing. Without limitation, each Competitor and Official must be a Member. Member is responsible for Member Group.

- **Member Group** Member Guest and each third party Member represents. The term "Member Guest" shall mean and include any individual whose presence at an Event is at my invitation.
- Non-Qualified Car A Car not successfully completing qualifications. A Non-Qualified Car may be approved by INDYCAR to participate in a limited manner in a Race.
- Officials Any and all authorized INDYCAR officers, employees, agents, representatives and subcontractors. Officials specifically referenced in the Rules include:

"Chief Executive Officer", Mark Miles

"President of Competition and Operations", Jay Frye

"Race Director", Brian Barnhart

"Medical Director" Michael Olinger, MD, Geoff Billows, MD

"Medical Review Officer" Douglas Aukerman, MD

INDYCAR my replace any Official with another Official(s) at any time. The above-named Officials may delegate their authority in whole or in part to another Official(s) at any time.

- **Oval/Oval Events** A Race Location where all on-Track turns are left-handed.
- Participant Any individual or entity along with their officers, directors, members, owners, successors, assigns, agents, employees, representatives and affiliates that are in any way associated or connected with Track premises, Testing facilities or other INDYCAR-approved locations or Event including, without limitation, facility owners, Event Promoters,

municipalities, racing associations, sanctioning organizations, series organizers, Officials, workers, volunteers, Entrants, Car owners, Drivers, pit crew members, safety crew members, medical/rescue personnel, sponsors, Manufacturers, suppliers, designers, advertisers, inspectors, construction contractors, engineers, architects, insurers and all individuals in a restricted area.

- Promoter Test A Promoter Test is a period of on-Track activity to: i) promote the Race at the venue and ii) to prepare Participants for the Race and for other Races at other venues.
- Provisional Starting Car An Entrant who is granted permission to start a Race based on previous championships, current points or other means as determined by INDYCAR or the Indianapolis Motor Speedway as applicable.
- **Qualified Car** A Car that successfully completes qualifications.
- **Race** The IndyCar Series race(s) occurring at an Event for which points are awarded.
- Race Location A location where on-Track activities take place.
- Race Procedure Is the manner in which an Event is conducted. It includes, but is not limited to, At Track Procedures described in <u>Rule 7</u> (On Track Conditions, Flag Codes and Track Condition Panels, Timing and Scoring, Pit Locations/Selection, Race Start, Race Restart, Mechanical Conditions, Performance Standards, Pit Procedures, Pit Safety Violations) and Qualifications described in <u>Rule 8</u>.
- Race Stewards The Race Stewards (individually referred to as a Race Steward) are three Officials stationed in race control who shall determine violations of the sporting regulations of the Rules

during On Track Events. The President of Competition and Operations will expect and be the sole judge that each Race Steward is unbiased and has adequate knowledge of the sporting regulations of the Rules and racing as established by these Rules and the interpretations and determinations of the President of Competition and Operations. The President of Competition and Operations may remove any Race Steward at any time if he deems such Race Steward is unsuitable or has a conflict. At each Race, the President of Competition and Operations will select one of the Race Stewards to be the Senior Steward.

- **Racing Surface** The area designated by INDYCAR as eligible for use during competition. It may be formed by boundaries such as a wall or a line. It does not include grass or runoff areas.
- **Registration** The unique identity number assigned to a specific Car by Dallara.
- **Road Course Event** A purpose-built permanent Track where turns are left and right-handed.
- Rookie A Driver is a Rookie in the IndyCar Series if the Driver has i) not participated in more than four (4) IndyCar Series Races in a racing season or ii) participated in less than eight (8) IndyCar Series Races in his/her career.
- Rookie Indianapolis 500 A Driver is a Rookie in the Indianapolis 500<sup>®</sup> Mile Race if the Driver has not participated a previous Indianapolis 500<sup>®</sup> Mile Race.
- Rookie Orientation Program ("ROP") A three

   (3) phase Test, supervised by INDYCAR to
   demonstrate Car control, placement and a consistent
   driving pattern by a Rookie Driver.
- Rules This 2016 IndyCar Series Rule Book, including without limitation, Aero Kit Regulations, Engine Regulations, supplementary guidelines, Substance

Abuse Policy, Social Media Policy, Style Guide and bulletins issued by Officials, whether containing Rules applicable only to a particular Event(s) or containing Rules of general applicability. The Rules are made available in electronic format. Because of the ability to alter the electronic format, the electronic copy issued by INDYCAR controls as to any discrepancies. Notwithstanding the foregoing, Rules may be issued verbally in mandatory meetings such as Drivers meetings held during Events.

- Safety Car An official Safety Car approved by INDYCAR, driven by an authorized individual and used during on-Track activities.
- Season Start A designated date or the first Promoter Test or the first on-Track day of the first Race of a given calendar year, whichever comes first.
- Short Indy 500 Engine Program the guaranteed use of one Engine in Indianapolis 500, practice, qualifying and Race.
- Short Oval Events –An Oval/Oval Event with a Track distance of 1.1 miles or less.
- Single Race Event Entrant An Entrant whose participation is scheduled for only one Event at a time, even if participating in consecutive Events.
- Speedway/Superspeedway Events An Oval/Oval Event with a Track distance greater than 1.1 miles.
- Steward An individual designated by the President of Competition and Operations to have specific authority to assist with the application, construction, interpretation and enforcement of the Rules.
- Street Course Event A purpose-built temporary Track where turns are left and right-handed.

- Team One or more Entrants under common ownership and/or control as determined by INDYCAR.
- **Test** Any period during which a Member conducts any Testing.
- Testing The use of the approved chassis, Engine, Aero Kit, transmission and tires, separately or in any combination during a period other than practice, qualifying or a Race.
- Title Sponsor Verizon Wireless, its affiliates and the successors, assigns, officer, directors, owners, members and representatives and employees of each of them.
- Track The area including without limitation the Racing Surface, pit entrance lanes, pit lane and pit exit lanes located between the outer and innermost retaining walls.
- Track Condition The status under which on-Track activity is conducted.
- Unapproved Engine Change-Out An Engine change not approved by INDYCAR and subject to a penalty.
- Use This is defined as being propelled under power generated by the Engine.
- Xtrac Xtrac, Inc, the approved transmission manufacturer. Any reference in the Rules to "Xtrac" is referring to Xtrac, Inc.